

# REMARKS ON RICH SUBSPACES OF BANACH SPACES

VLADIMIR KADETS, NIGEL KALTON AND DIRK WERNER

ABSTRACT. We investigate rich subspaces of  $L_1$  and deduce an interpolation property of Sidon sets. We also present examples of rich separable subspaces of nonseparable Banach spaces and we study the Daugavet property of tensor products.

*Dedicated to Professor Aleksander Pełczyński  
on the occasion of his 70th birthday*

## 1. INTRODUCTION

In this paper we present some results concerning the notion of a rich subspace of a Banach space as introduced in [13]. In that paper (see also [21]), an operator  $T: X \rightarrow Y$  is called *narrow* if for every  $x, y \in S(X)$  (the unit sphere of  $X$ ),  $\varepsilon > 0$  and every slice  $S$  of the unit ball  $B(X)$  of  $X$  containing  $y$  there is an element  $v \in S$  such that  $\|x + v\| > 2 - \varepsilon$  and  $\|T(y - v)\| < \varepsilon$ , and a subspace  $Z$  of  $X$  is called *rich* if the quotient map  $q: X \rightarrow X/Z$  is narrow. We recall that a slice of the unit ball is a nonvoid set of the form  $S = \{x \in B(X): \operatorname{Re} x^*(x) > \alpha\}$  for some functional  $x^* \in X^*$ . Thus,  $Z$  is a rich subspace if for every  $x, y \in S(X)$ ,  $\varepsilon > 0$  and every slice  $S$  of  $B(X)$  containing  $y$  there is some  $z \in X$  at distance  $\leq \varepsilon$  from  $Z$  such that  $y + z \in S$  and  $\|x + y + z\| > 2 - \varepsilon$ . Actually, we are not giving the original definition of a narrow operator but the equivalent reformulation from [13, Prop. 3.11].

These ideas build on previous work in [17] and [11]; however we point out that the above definition of richness is unrelated to Bourgain's in [4]. Narrow operators were used in [2] and [11] to extend Pełczyński's classical result that neither  $C[0, 1]$  nor  $L_1[0, 1]$  embed into spaces having unconditional bases.

The investigation of narrow operators is closely connected with the Daugavet property of a Banach space. A Banach space  $X$  has the *Daugavet property* whenever  $\|\operatorname{Id} + T\| = 1 + \|T\|$  for every rank-1 operator  $T: X \rightarrow X$ ; prime examples are  $C(K)$  when  $K$  is perfect (i.e., has no isolated points),

---

2000 *Mathematics Subject Classification*. Primary 46B20; secondary 46B04, 46M05, 47B38.

*Key words and phrases*. Daugavet property, rich subspace, narrow operator.

The work of the first-named author was supported by a fellowship from the Alexander von Humboldt Foundation. The second-named author was supported by NSF grant DMS-9870027.

$L_1(\mu)$  and  $L_\infty(\mu)$  when  $\mu$  is nonatomic, the disc algebra, and spaces like  $L_1[0, 1]/V$  when  $V$  is reflexive. For future reference we mention the following characterisation of the Daugavet property [12]:

**Lemma 1.1.** *The following assertions are equivalent:*

- (i)  $X$  has the Daugavet property.
- (ii) For every  $x \in S(X)$ ,  $\varepsilon > 0$  and every slice  $S$  of  $B(X)$  there exists some  $v \in S$  such that  $\|x + v\| > 2 - \varepsilon$ .
- (iii) For all  $x \in S(X)$  and  $\varepsilon > 0$ ,  $B(X) = \overline{\text{co}}\{v \in B(X): \|x + v\| > 2 - \varepsilon\}$ .

Therefore,  $X$  has the Daugavet property if and only if  $0$  is a narrow operator on  $X$  or equivalently if and only if there exists at least one narrow operator on  $X$ . It is proved in [13] that then every weakly compact operator on  $X$  with values in some Banach space  $Y$  (indeed, every strong Radon-Nikodým operator) and every operator not fixing a copy of  $\ell_1$  is narrow (and hence satisfies  $\|\text{Id} + T\| = 1 + \|T\|$  when it maps  $X$  into  $X$ ). Consequently, a subspace  $Z$  of a space with the Daugavet property is rich if  $X/Z$  or  $(X/Z)^*$  has the RNP.

Also,  $X$  has the Daugavet property if and only if  $X$  is a rich subspace in itself or equivalently if  $X$  contains at least one rich subspace.

The general idea of these notions is that a narrow operator is sort of small and hence a rich subspace is large. In Section 2 of this paper we study rich subspaces of  $L_1$ . With reference to a quantity that is reminiscent of the Dixmier characteristic we show that a rich subspace is indeed large: a subspace with a bigger ‘‘characteristic’’ coincides with  $L_1$ . As an application we present an interpolation property of Sidon sets. We remark that the counterpart notion of a small subspace of  $L_1$  has been defined and investigated in [8].

These results notwithstanding, Section 3 gives examples of rich subspaces that appear to be small, namely there are examples of nonseparable spaces and separable rich subspaces.

In Section 4 we study hereditary properties for the Daugavet property in tensor products. Although there are positive results for rich subspaces of  $C(K)$ , we present counterexamples in the general case.

## 2. RICH SUBSPACES OF $L_1$

Let  $X \subset L_1 = L_1(\Omega, \Sigma, \lambda)$  be a closed subspace where  $\lambda$  is a probability measure. We define  $C_X$  to be the closure of  $B(X)$  in  $L_1$  with respect to the  $L_0$ -topology, the topology of convergence in measure. Note that for  $f \in C_X$  there is a sequence  $(f_n)$  in  $B(X)$  converging to  $f$  pointwise almost everywhere and almost uniformly. In this section, the symbol  $\|f\|$  refers to the  $L_1$ -norm of a function.

In [13, Th. 6.1] narrow operators on the space  $L_1$  were characterised as follows.

**Theorem 2.1.** *An operator  $T: L_1 \rightarrow Y$  is narrow if and only if for every measurable set  $A$  and every  $\delta, \varepsilon > 0$  there is a real-valued  $L_1$ -function  $f$  supported on  $A$  such that  $\int f = 0$ ,  $f \leq 1$ , the set  $\{f = 1\}$  of those  $t \in \Omega$  for which  $f(t) = 1$  has measure  $\lambda(\{f = 1\}) > \lambda(A) - \varepsilon$  and  $\|Tf\| \leq \delta$ . In particular, a subspace  $X \subset L_1$  is rich if and only if for every measurable set  $A$  and every  $\delta, \varepsilon > 0$  there is a real-valued  $L_1$ -function  $f$  supported on  $A$  such that  $\int f = 0$ ,  $f \leq 1$ ,  $\lambda(\{f = 1\}) > \lambda(A) - \varepsilon$  and the distance from  $f$  to  $X$  is  $\leq \delta$ .*

Actually, in [13] only the case of real  $L_1$ -spaces was considered, but the proof extends to the complex case. Indeed, instead of the function  $v$  that is constructed in the first part of the proof of [13, Th. 6.1] one uses its real part and employs the fact that for real-valued  $L_1$ -functions  $v_1$  and  $v_2$  satisfying

$$1 - \delta < \int_{\Omega} |v_1| d\lambda \leq \int_{\Omega} (v_1^2 + v_2^2)^{1/2} d\lambda \leq 1$$

we have  $\|v_2\| \leq \sqrt{2\delta}$ .

**Proposition 2.2.** *If  $X$  is rich, then  $\frac{1}{2}B(L_1) \subset C_X$ .*

*Proof.* Since  $C_X$  is  $L_1$ -closed, it is enough to show that  $f_A := \chi_A/\lambda(A) \in 2C_X$  for every measurable set  $A$ . By Theorem 2.1 there is, given  $\varepsilon > 0$ , a real-valued function  $g_\varepsilon$  supported on  $A$  with  $g_\varepsilon \leq 1$  and  $\int g_\varepsilon = 0$  such that  $\{g_\varepsilon < 1\}$  has measure  $\leq \varepsilon$  and the distance of  $g_\varepsilon$  to  $X$  is  $\leq \varepsilon$ . Clearly  $g_\varepsilon/\lambda(A) \rightarrow f_A$  in measure as  $\varepsilon \rightarrow 0$  and

$$\|g_\varepsilon\| = \|g_\varepsilon^+\| + \|g_\varepsilon^-\| = 2\|g_\varepsilon^+\| \leq 2\lambda(A).$$

Therefore, there is a sequence  $(f_n)$  in  $X$  of norm  $\leq 2$  converging to  $f_A$  in measure.  $\square$

**Proposition 2.3.** *If  $\frac{1}{2}B(L_1) \subset C_Y$  for all 1-codimensional subspaces  $Y$  of  $X$ , then  $X$  is rich.*

*Proof.* Again by Theorem 2.1, we have to produce functions  $g_\varepsilon$  as above on any given measurable set  $A$ . Therefore, we let  $Y = \{f \in X: \int_A f = 0\}$ . By assumption, there is a sequence  $(f_n)$  in  $Y$  such that  $\|f_n\| \leq 2\lambda(A)$  and  $f_n \rightarrow \chi_A$  in measure.

We shall argue that  $\|\text{Im } f_n\| \rightarrow 0$ . Let  $\eta > 0$ . If  $n$  is large enough, the set  $B_n := \{|f_n - \chi_A| \geq \eta\}$  has measure  $\leq \eta$ . For those  $n$ ,

$$0 = \int_A \text{Re } f_n = \int_{A \setminus B_n} \text{Re } f_n + \int_{A \cap B_n} \text{Re } f_n$$

implies that

$$\int_{A \cap B_n} |\text{Re } f_n| \geq \left| \int_{A \cap B_n} \text{Re } f_n \right| = \left| \int_{A \setminus B_n} \text{Re } f_n \right| \geq \lambda(A \setminus B_n)(1 - \eta)$$

and

$$\|\text{Re } f_n|_A\| \geq \lambda(A \setminus B_n)(1 - \eta) + \int_{A \cap B_n} |\text{Re } f_n| \geq 2(\lambda(A) - \eta)(1 - \eta).$$

Hence,

$$2(\lambda(A) - \eta)(1 - \eta) \leq \|\operatorname{Re} f_n|_A\| \leq \|f_n|_A\| \leq \|f_n\| \leq 2\lambda(A),$$

and it follows for one thing that  $\|\operatorname{Im} f_n|_A\|$  is small provided  $\eta$  is small enough (cf. the remarks after Theorem 2.1) and moreover that

$$\|f_n|_{[0,1]\setminus A}\| \leq 2\eta + 2\eta\lambda(A).$$

Consequently,  $\|\operatorname{Im} f_n\| \rightarrow 0$  as  $n \rightarrow \infty$ .

Now let  $\delta = \varepsilon/9$  and choose  $n$  so large that the set  $B := \{|\operatorname{Re} f_n - \chi_A| \geq \delta\}$  has measure  $\leq \delta$  and  $\|\operatorname{Im} f_n\| \leq \delta$ . Then there exists a real-valued function  $h$  such that  $h = 0$  on  $[0, 1] \setminus (A \cup B)$ ,  $h = 1$  on  $A \setminus B$ ,  $\int_A h = 0$  and  $\|h - \operatorname{Re} f_n\| \leq 2\delta$ . Now

$$\begin{aligned} \|h|_A\| &= 2\|h^+|_A\| \geq 2(\lambda(A) - \delta) \\ \|h\| &\leq \|\operatorname{Re} f_n\| + 2\delta \leq 2(\lambda(A) + \delta), \end{aligned}$$

so

$$\|h|_{[0,1]\setminus A}\| \leq 4\delta.$$

Furthermore,

$$\begin{aligned} \|h^+|_A\| &= \|h^+|_{A \cap B}\| + \|h^+|_{A \setminus B}\| \geq \|h^+|_{A \cap B}\| + \lambda(A) - \delta, \\ 2\|h^+|_A\| &= \|h|_A\| \leq 2(\lambda(A) + \delta), \end{aligned}$$

so

$$\|h^+|_{A \cap B}\| \leq 2\delta,$$

and it follows that there is a function  $g = g_\varepsilon$  such that  $g = 0$  on  $[0, 1] \setminus A$ ,  $g = 1$  on  $A \setminus B$ ,  $\int g = 0$ ,  $g \leq 1$  and  $\|g - h\| \leq 4\delta$ . Then

$$\operatorname{dist}(g, X) \leq \|g - f_n\| \leq \|g - h\| + \|h - \operatorname{Re} f_n\| + \|\operatorname{Im} f_n\| \leq 9\delta = \varepsilon,$$

as requested.  $\square$

Since a 1-codimensional subspace of a rich subspace is rich [12, Th. 5.12], Proposition 2.2 shows that Proposition 2.3 can actually be formulated as an equivalence. This is not so for Proposition 2.2: the space constructed in Theorem 6.3 of [13] is not rich, yet it satisfies  $\frac{1}{2}B(L_1) \subset C_X$ .

We sum this up in a theorem.

**Theorem 2.4.**  *$X$  is a rich subspace of  $L_1$  if and only if  $\frac{1}{2}B(L_1) \subset C_Y$  for all 1-codimensional subspaces  $Y$  of  $X$ .*

The next proposition shows that the factor  $\frac{1}{2}$  is optimal.

**Proposition 2.5.** *If, for some  $r > \frac{1}{2}$ ,  $rB(L_1) \subset C_X$ , then  $X = L_1$ .*

*Proof.* Suppose  $h \in L_\infty$ ,  $\|h\|_\infty = 1$ , and let  $Y = \{f \in L_1: \int fh = 0\}$ . Assume that  $B(L_1) \subset sC_Y$ ; we shall argue that  $s \geq 2$ . This will prove the proposition since every proper closed subspace is contained in a closed hyperplane.

Assume without loss of generality that  $h$  takes the (essential) value 1. Let  $\varepsilon > 0$ , and put  $A = \{|h - 1| < \varepsilon/2\}$ ; then  $A$  has positive measure. There is a sequence  $(f_n)$  converging to  $\chi_A$  in measure such that  $\|f_n\| \leq s\lambda(A)$  and  $\int f_n h = 0$  for all  $n$ . Since  $f_n h \rightarrow \chi_A h$  in measure as well, there is, if  $n$  is a sufficiently large index, a subset  $A_n \subset A$  of measure  $\geq (1 - \varepsilon)\lambda(A)$  such that  $|f_n h - 1| < \varepsilon$  on  $A_n$ . For such an  $n$ ,

$$\begin{aligned} \left| \int_{A_n} f_n h \right| &= \left| \lambda(A_n) - \int_{A_n} (1 - f_n h) \right| \\ &\geq \lambda(A_n) - \int_{A_n} |1 - f_n h| \geq (1 - \varepsilon)\lambda(A_n), \end{aligned}$$

and therefore

$$\int_{A_n} |f_n h| \geq (1 - \varepsilon)\lambda(A_n)$$

and, if  $B_n$  denotes the complement of  $A_n$ ,

$$\int_{B_n} |f_n h| \geq \left| \int_{B_n} f_n h \right| = \left| \int_{A_n} f_n h \right| \geq (1 - \varepsilon)\lambda(A_n)$$

so that

$$s\lambda(A) \geq \|f_n\| \geq \|f_n h\| \geq 2(1 - \varepsilon)^2\lambda(A).$$

Since  $\varepsilon > 0$  was arbitrary, we conclude that  $s \geq 2$ .  $\square$

Thus, the rich subspaces appear to be the next best thing in terms of size of a subspace after  $L_1$  itself. At the other end of the spectrum are the nicely placed subspaces, defined by the condition that  $B(X)$  is  $L_0$ -closed. Recall that  $X$  is nicely placed if and only if  $X$  is an  $L$ -summand in its bidual, i.e.,  $X^{**} = X \oplus_1 X_s$  ( $\ell_1$ -direct sum) for some closed subspace  $X_s$  of  $X^{**}$  [9, Th. IV.3.5].

We now look at the translation invariant case, and we consider  $L_1(\mathbb{T})$  (or  $L_1(G)$  for a compact abelian group). As usual, for  $\Lambda \subset \mathbb{Z}$  the space  $L_{1,\Lambda}$  consists of those  $L_1$ -functions whose Fourier coefficients vanish off  $\Lambda$ .

**Proposition 2.6.** *Let  $\Lambda \subset \mathbb{Z}$  and suppose that  $L_{1,\Lambda}$  is rich in  $L_1$ . Then for every measure  $\mu$  on  $\mathbb{T}$  and every  $\varepsilon > 0$  there is a measure  $\nu$  with  $\|\nu\| \leq \|\mu\| + \varepsilon$  and  $\widehat{\nu}(\gamma) = \widehat{\mu}(\gamma)$  for all  $\gamma \notin \Lambda$  that is  $\varepsilon$ -almost singular in the sense that there is a set  $S$  with  $\lambda(S) \leq \varepsilon$  and  $|\nu|(\mathbb{T} \setminus S) \leq \varepsilon$ .*

*Proof.* Let  $\mu = f\lambda + \mu_s$  be the Lebesgue decomposition of  $\mu$ , and let  $\delta > 0$ . By Proposition 2.2 there is a function  $g \in L_{1,\Lambda}$  such that  $\|g\| \leq 2\|f\|$  and  $A := \{|f - g| > \delta\}$  has measure  $< \delta$ . Let  $B := \{|f - g| \leq \delta\}$ . Then

$$\|g\chi_A\| \leq 2\|f\| - \|g\chi_B\| \leq 2\|f\| - \|f\chi_B\| + \delta = \|f\| + \|f\chi_A\| + \delta.$$

Therefore we have for  $\nu := \mu - g\lambda$

$$\begin{aligned} \|\nu\| &= \|(f - g)\lambda + \mu_s\| \\ &\leq \|f\chi_A\| + \|g\chi_A\| + \|(f - g)\chi_B\| + \|\mu_s\| \\ &\leq 2\|f\chi_A\| + 2\delta + \|\mu\|, \end{aligned}$$

and hence  $\|\nu\| \leq \|\mu\| + \varepsilon$  if  $\delta$  is sufficiently small.

Clearly  $\widehat{\nu} = \widehat{\mu}$  on the complement of  $\Lambda$ , and if  $N$  is a null set supporting  $\mu_s$ , then  $S := A \cup N$  has the required properties if  $\delta \leq \varepsilon$ .  $\square$

We apply these ideas to Sidon sets, i.e., sets  $\Lambda' \subset \mathbb{Z}$  such that all functions in  $C_{\Lambda'}$  have absolutely sup-norm convergent Fourier series. (See [15] for recent results on this notion.) If  $\Lambda$  is the complement of a Sidon set, then  $L_1/L_{1,\Lambda}$  is isomorphic to  $c_0$  or finite-dimensional [18, p. 121]. Hence  $L_{1,\Lambda}$  is rich by [13, Prop. 5.3], and Proposition 2.6 applies. Thus, the following corollary holds.

**Corollary 2.7.** *If  $\Lambda' \subset \mathbb{Z}$  is a Sidon set and  $\mu$  is a measure on  $\mathbb{T}$ , then for every  $\varepsilon > 0$  there is an  $\varepsilon$ -almost singular measure  $\nu$  with  $\|\nu\| \leq \|\mu\| + \varepsilon$  and  $\widehat{\nu}(\gamma) = \widehat{\mu}(\gamma)$  for all  $\gamma \in \Lambda'$ .*

To show that there are also non-Sidon sets sharing this property we observe a simple lemma.

**Lemma 2.8.** *If  $Z$  is a rich subspace of  $X$ , then  $L_1(Z)$  is a rich subspace of the Bochner space  $L_1(X)$ .*

*Proof.* It is enough to check the definition of narrowness of the quotient map on vector-valued step functions. Thus the assertion of the lemma is reduced to the assertion that  $Z \oplus_1 \cdots \oplus_1 Z$  is a rich subspace of  $X \oplus_1 \cdots \oplus_1 X$ ; but this has been proved in [3].  $\square$

Now if  $\Lambda \subset \mathbb{Z}$  is a co-Sidon set, then  $L_1(L_{1,\Lambda}) \cong L_{1,\mathbb{Z} \times \Lambda}(\mathbb{T}^2)$  is a rich subspace of  $L_1(L_1) \cong L_1(\mathbb{T}^2)$ , and  $\Lambda' = \mathbb{Z} \times (\mathbb{Z} \setminus \Lambda)$  is a non-Sidon set with reference to the group  $\mathbb{T}^2$  for which Corollary 2.7 is valid.

### 3. SOME EXAMPLES OF SMALL BUT RICH SUBSPACES

In this section we provide examples of nonseparable Banach spaces and separable rich subspaces.

First we give a handy reformulation of richness. We let

$$D(x, y, \varepsilon) = \{z \in X : \|x + y + z\| > 2 - \varepsilon, \|y + z\| < 1 + \varepsilon\}$$

for  $x, y \in S(X)$ .

**Lemma 3.1.** *The following assertions are equivalent for a Banach space  $X$ .*

- (i)  $Z$  is a rich subspace of  $X$ .
- (ii) For every  $x, y \in S(X)$  and every  $\varepsilon > 0$ ,
$$y \in \overline{\text{co}}(y + (D(x, y, \varepsilon) \cap Z)).$$
- (iii) For every  $x, y \in S(X)$  and every  $\varepsilon > 0$ ,
$$0 \in \overline{\text{co}}(D(x, y, \varepsilon) \cap Z).$$

*Proof.* (i)  $\Leftrightarrow$  (ii) is a consequence of the Hahn-Banach theorem, and (ii)  $\Leftrightarrow$  (iii) is obvious.  $\square$

For  $Z = X$ , (ii) boils down to condition (iii) of Lemma 1.1.

In the examples we are going to present  $Z$  will be a space  $C(K, E)$  embedded in a suitable space  $X$ . The type of space we have in mind will be defined next.

**Definition 3.2.** Let  $E$  be a Banach space and  $X$  be a sup-normed space of bounded  $E$ -valued functions on a compact space  $K$ . The space  $X$  is said to be a  $C(K, E)$ -superspace if it contains  $C(K, E)$  and for every  $f \in X$ , every  $\varepsilon > 0$  and every open subset  $U \subset K$  there exists an element  $e \in E$ ,  $\|e\| > (1 - \varepsilon) \sup_U \|f(t)\|$ , and a nonvoid open subset  $V \subset U$  such that  $\|e - f(\tau)\| < \varepsilon$  for every  $\tau \in V$ .

Basically,  $X$  is a  $C(K, E)$ -superspace if every element of  $X$  is large and almost constant on suitable open sets.

Here are some examples of this notion.

**Proposition 3.3.**

- (a)  $D[0, 1]$ , the space of bounded functions on  $[0, 1]$  that are right-continuous and have left limits everywhere and are continuous at  $t = 1$ , is a  $C[0, 1]$ -superspace.
- (b) Let  $K$  be a compact Hausdorff space and  $E$  be a Banach space. Then  $C_w(K, E)$ , the space of weakly continuous functions from  $K$  into  $E$ , is a  $C(K, E)$ -superspace.

*Proof.* (a)  $D[0, 1]$  is the uniform closure of the span of the step functions  $\chi_{[a,b]}$ ,  $0 \leq a < b < 1$ , and  $\chi_{[a,1]}$ ,  $0 \leq a < 1$ ; hence the result.

(b) Fix  $f$ ,  $U$  and  $\varepsilon$  as in Definition 3.2; without loss of generality we assume that  $\sup_U \|f(t)\| = 1$ . Consider the open set  $U_0 = \{t \in U: \|f(t)\| > 1 - \varepsilon\}$ . Now  $f(U_0)$  is relatively weakly compact since  $f$  is weakly continuous; hence it is dentable [1, p. 110]. Therefore there exists a halfspace  $H = \{x \in E: x^*(x) > \alpha\}$  such that  $f(U_0) \cap H$  is nonvoid and has diameter  $< \varepsilon$ . Consequently,  $V := f^{-1}(H) \cap U_0$  is an open subset of  $U$  for which  $\|f(\tau_1) - f(\tau_2)\| < \varepsilon$  for all  $\tau_1, \tau_2 \in V$ . This shows that  $C_w(K, E)$  is a  $C(K, E)$ -superspace.  $\square$

The following theorem explains the relevance of these ideas.

**Theorem 3.4.** *If  $X$  is a  $C(K, E)$ -superspace and  $K$  is perfect, then  $C(K, E)$  is rich in  $X$ ; in particular,  $X$  has the Daugavet property.*

*Proof.* We wish to verify condition (iii) of Lemma 3.1. Let  $f, g \in S(X)$  and  $\varepsilon > 0$ . We first find an open set  $V$  and an element  $e \in E$ ,  $\|e\| > 1 - \varepsilon/4$ , such that  $\|e - f(\tau)\| < \varepsilon/4$  on  $V$ . Given  $N \in \mathbb{N}$ , find open nonvoid pairwise disjoint subsets  $V_1, \dots, V_N$  of  $V$ . Applying the definition again, we obtain elements  $e_j \in E$  and open subsets  $W_j \subset V_j$  such that  $\|e_j\| > (1 - \varepsilon/4) \sup_{V_j} \|g(t)\|$  and  $\|e_j - g(\tau)\| < \varepsilon/4$  on  $W_j$ . Let  $x_j = e - e_j$ , let  $\varphi_j \in C(K)$  be a positive function supported on  $W_j$  of norm 1 and let  $h_j = \varphi_j \otimes x_j$ . Now if  $t_j \in W_j$  is selected to satisfy  $\varphi_j(t_j) = 1$ , then

$$\|f + g + h_j\| \geq \|(f + g + h_j)(t_j)\| > \|e + e_j + x_j\| - \varepsilon/2 > 2 - \varepsilon$$

and

$$\|g + h_j\| < 1 + \varepsilon$$

since  $\|g(t) + h_j(t)\| \leq 1$  for  $t \notin W_j$ , and for  $t \in W_j$

$$\|g(t) + h_j(t)\| \leq \|e_j + \varphi_j(t)x_j\| + \varepsilon/4 \leq (1 - \varphi_j(t))\|e_j\| + \varphi_j(t)\|e\| + \varepsilon/4.$$

This shows that  $h_j \in D(f, g, \varepsilon) \cap C(K, E)$ . But the supports of the  $h_j$  are pairwise disjoint, hence  $\|1/N \sum_{j=1}^N h_j\| \leq 2/N \rightarrow 0$ .  $\square$

**Corollary 3.5.**

- (a)  $C[0, 1]$  is a separable rich subspace of the nonseparable space  $D[0, 1]$ .
- (b) If  $K$  is perfect, then  $C(K, E)$  is a rich subspace of  $C_w(K, E)$ . In particular,  $C([0, 1], \ell_p)$  is a separable rich subspace of the nonseparable space  $C_w([0, 1], \ell_p)$  if  $1 < p < \infty$ .

Let us remark that there exist nonseparable spaces with the Daugavet property with only nonseparable rich subspaces. Indeed, an  $\ell_\infty$ -sum of uncountably many spaces with the Daugavet property is an example of this phenomenon. To see this we need the result from [3] that whenever  $T$  is a narrow operator on  $X_1 \oplus_\infty X_2$ , then the restriction of  $T$  to  $X_1$  is narrow too, and in particular it is not bounded from below. Now let  $X_i$ ,  $i \in I$ , be Banach spaces with the Daugavet property and let  $X$  be their  $\ell_\infty$ -sum. If  $Z$  is a rich subspace of  $X$ , then by the result quoted above there exist elements  $x_i \in S(X_i)$  and  $z_i \in Z$  with  $\|x_i - z_i\| \leq 1/4$ ; hence  $\|z_i - z_j\| \geq 1/2$  for  $i \neq j$ . If  $I$  is uncountable, this implies that  $Z$  is nonseparable.

#### 4. THE DAUGAVET PROPERTY AND TENSOR PRODUCTS

One may consider the space  $C(K, E)$  as the injective tensor product of  $C(K)$  and  $E$ ; see for instance [6, Ch. VIII] or [19, Ch. 3] for these matters. It is known that  $C(K, E)$  has the Daugavet property whenever  $C(K)$  has, regardless of  $E$  ([10] or [12]), and it is likewise true that  $C(K, E)$  has the Daugavet property whenever  $E$  has, regardless of  $K$  [16]. This raises the natural question whether the injective tensor product of two spaces has the Daugavet property if at least one factor has.

We first give a positive answer for the class of rich subspaces of  $C(K)$ ; for example, a uniform algebra is a rich subspace of  $C(K)$  if  $K$  denotes its Silov boundary and is perfect.

**Proposition 4.1.** *If  $X$  is a rich subspace of some  $C(K)$ -space, then  $X \widehat{\otimes}_\varepsilon E$ , the completed injective tensor product of  $X$  and  $E$ , is a rich subspace of  $C(K) \widehat{\otimes}_\varepsilon E$  for every Banach space  $E$ ; in particular, it has the Daugavet property.*

*Proof.* We will consider  $X \widehat{\otimes}_\varepsilon E$  as a subspace of  $C(K, E)$ . In order to verify (iii) of Lemma 3.1 let  $f, g \in S(C(K, E))$  and  $\varepsilon > 0$  be given. Further, let  $\eta > 0$  be given. We wish to construct functions  $h_1, \dots, h_n \in D(f, g, \varepsilon) \cap X \widehat{\otimes}_\varepsilon E$  such that  $\|\frac{1}{n} \sum_{j=1}^n h_j\| \leq 2\eta$ .



There is no loss in assuming that  $\eta \leq \varepsilon$ . Consider  $U = \{t: \|f(t)\| > 1 - \eta/2\}$ . By reducing  $U$  if necessary we may also assume that  $\|g(t) - g(t')\| < \eta$  for  $t, t' \in U$ . Fix  $n \geq 2/\eta$  and pick  $n$  pairwise disjoint open nonvoid subsets  $U_1, \dots, U_n$  of  $U$ ; this is possible since  $K$  must be perfect, for  $C(K)$  carries a narrow operator, viz. the quotient map  $q: C(K) \rightarrow C(K)/X$ . By applying [13, Th. 3.7] to  $q$  we infer that there exists, for each  $j$ , a function  $\psi_j \in X$  with  $\psi_j \geq 0$ ,  $\|\psi_j\| = 1$  and  $\psi_j < \eta/2$  off  $U_j$ . Choose  $t_j \in U_j$  with  $\psi_j(t_j) = 1$ . We define

$$h_j = \psi_j \otimes (f(t_j) - g(t_j)) \in X \widehat{\otimes}_\varepsilon E$$

and claim that  $h_j \in D(f, g, \eta) \subset D(f, g, \varepsilon)$ . In fact,

$$\|f + g + h_j\| \geq \|f(t_j) + g(t_j) + h_j(t_j)\| = 2\|f(t_j)\| > 2 - \eta.$$

Also,  $\|g + h_j\| < 1 + \eta$ , for if  $t \in U_j$ , then

$$\begin{aligned} \|g(t) + h_j(t)\| &\leq \|g(t_j) + h_j(t)\| + \|g(t) - g(t_j)\| \\ &< \|(1 - \psi_j(t))g(t_j) + \psi_j(t)f(t_j)\| + \eta \\ &\leq 1 + \eta, \end{aligned}$$

and for  $t \notin U_j$  we clearly have  $\|g(t) + h_j(t)\| < 1 + \eta$ .

It is left to estimate  $\|\frac{1}{n} \sum_{j=1}^n h_j\|$ . If  $t$  does not belong to any of the  $U_j$ , we have

$$\left\| \frac{1}{n} \sum_{j=1}^n h_j(t) \right\| \leq \eta,$$

and if  $t \in U_i$ , we have

$$\left\| \frac{1}{n} \sum_{j=1}^n h_j(t) \right\| \leq \frac{n-1}{n} \eta + \frac{1}{n} \|h_i(t)\| \leq \eta + \frac{2}{n} \leq 2\eta$$

by our choice of  $n$ . □

In general, however, the above question has a negative answer.

**Theorem 4.2.** *There exists a two-dimensional complex Banach space  $E$  such that  $L_1^{\mathbb{C}}[0, 1] \widehat{\otimes}_\varepsilon E$  fails the Daugavet property, where  $L_1^{\mathbb{C}}[0, 1]$  denotes the space of complex-valued  $L_1$ -functions.*

*Proof.* Consider the subspace  $E$  of complex  $\ell_\infty^6$  spanned by the vectors  $x_1 = (1, 1, 1, 1, 1, 0)$  and  $x_2 = (0, \frac{1}{2}, -\frac{1}{2}, \frac{i}{2}, -\frac{i}{2}, 1)$ . The injective tensor product of  $E$  and  $L_1^{\mathbb{C}}[0, 1]$  can be identified with the space of 6-tuples of functions  $f = (f_1, \dots, f_6)$  of the form  $g_1 \otimes x_1 + g_2 \otimes x_2$ ,  $g_1, g_2 \in L_1^{\mathbb{C}}[0, 1]$ , with the norm  $\|f\| = \max_{k=1, \dots, 6} \|f_k\|_1$ . To show that this space does not have the Daugavet property, consider the slice

$$S_\varepsilon = \left\{ f = (f_1, \dots, f_6) \in L_1^{\mathbb{C}}[0, 1] \otimes E: \operatorname{Re} \int_0^1 f_1(t) dt > 1 - \varepsilon, \|f\| \leq 1 \right\}.$$

Every  $f = g_1 \otimes x_1 + g_2 \otimes x_2 \in S_\varepsilon$  satisfies the conditions

$$\|g_1\| > 1 - \varepsilon, \quad \max\{\|g_1 \pm \frac{1}{2}g_2\|, \|g_1 \pm \frac{i}{2}g_2\|\} \leq 1.$$

Now the complex space  $L_1$  is complex uniformly convex [7]. Therefore, there exists a function  $\delta(\varepsilon)$ , which tends to 0 when  $\varepsilon$  tends to 0, such that  $\|g_2\| < \delta(\varepsilon)$  for every  $f = g_1 \otimes x_1 + g_2 \otimes x_2 \in S_\varepsilon$ . This implies that for every  $f \in S_\varepsilon$

$$\|1 \otimes x_2 + f\| \leq \frac{3}{2} + \delta(\varepsilon).$$

So if  $\varepsilon$  is small enough, there is no  $f \in S_\varepsilon$  with  $\|1 \otimes x_2 + f\| > 2 - \varepsilon$ . By Lemma 1.1, this proves that this injective tensor product does not have the Daugavet property.  $\square$

For the projective norm it is known that  $L_1(\mu) \widehat{\otimes}_\pi E = L_1(\mu, E)$  has the Daugavet property regardless of  $E$  whenever  $\mu$  has no atoms [12]. Again, there is a counterexample in the general case.

**Corollary 4.3.** *There exists a two-dimensional complex Banach space  $F$  such that  $L_\infty^{\mathbb{C}}[0, 1] \widehat{\otimes}_\pi F$  fails the Daugavet property, where  $L_\infty^{\mathbb{C}}[0, 1]$  denotes the space of complex-valued  $L_\infty$ -functions.*

*Proof.* Let  $E$  be the two-dimensional space from Theorem 4.2; note that  $(L_1^{\mathbb{C}} \widehat{\otimes}_\varepsilon E)^* = L_\infty^{\mathbb{C}} \widehat{\otimes}_\pi E^*$ . Since the Daugavet property passes from a dual space to its predual,  $F := E^*$  is the desired example.  $\square$

## 5. QUESTIONS

We finally mention two questions that were raised by A. Pełczyński which we have not been able to solve.

(1) Is there a rich subspace of  $L_1$  with the Schur property? It was recently proved in [14] that the subspace  $X \subset L_1$  constructed by Bourgain and Rosenthal in [5], which has the Schur property and fails the RNP, is a space with the Daugavet property; however, it is not rich in  $L_1$ .

(2) If  $X$  is a subspace of  $L_1$  with the RNP, does  $L_1/X$  have the Daugavet property? The answer is positive for reflexive spaces [12], for  $H^1$  [22] and a certain space constructed by Talagrand [20] in his (negative) solution of the three-space problem for  $L_1$  [12].

## REFERENCES

- [1] Y. BENYAMINI AND J. LINDENSTRAUSS. *Geometric Nonlinear Functional Analysis, Vol. 1*. Colloquium Publications no. 48. Amer. Math. Soc., 2000.
- [2] D. BILIK, V. M. KADETS, R. V. SHVIDKOY, G. G. SIROTKIN, AND D. WERNER. *Narrow operators on vector-valued sup-normed spaces*. Illinois J. Math. **46** (2002), 421–441.
- [3] D. BILIK, V. KADETS, R. SHVIDKOY AND D. WERNER. *Narrow operators and the Daugavet property for ultraproducts*. Positivity (to appear). Preprint available from <http://xxx.lanl.gov>.
- [4] J. BOURGAIN. *The Dunford-Pettis property for the ball-algebras, the polydisc-algebras and the Sobolev spaces*. Studia Math. **77** (1984), 245–253.
- [5] J. BOURGAIN AND H. P. ROSENTHAL. *Martingales valued in certain subspaces of  $L^1$* . Israel J. Math. **37** (1980), 54–75.

- [6] J. DIESTEL AND J. J. UHL. *Vector Measures*. Mathematical Surveys 15. American Mathematical Society, Providence, Rhode Island, 1977.
- [7] J. GLOBEVNIK. *On complex strict and uniform convexity*. Proc. Amer. Math. Soc. **47** (1975), 175–178.
- [8] G. GODEFROY, N. J. KALTON, AND D. LI. *Operators between subspaces and quotients of  $L_1$* . Indiana Univ. Math. J. **49** (2000), 245–286.
- [9] P. HARMAND, D. WERNER, AND W. WERNER. *M-Ideals in Banach Spaces and Banach Algebras*. Lecture Notes in Math. 1547. Springer, Berlin-Heidelberg-New York, 1993.
- [10] V. M. KADETS. *Some remarks concerning the Daugavet equation*. Quaestiones Math. **19** (1996), 225–235.
- [11] V. M. KADETS AND M. M. POPOV. *The Daugavet property for narrow operators in rich subspaces of  $C[0, 1]$  and  $L_1[0, 1]$* . St. Petersburg Math. J. **8** (1997), 571–584.
- [12] V. M. KADETS, R. V. SHVIDKOY, G. G. SIROTKIN, AND D. WERNER. *Banach spaces with the Daugavet property*. Trans. Amer. Math. Soc. **352** (2000), 855–873.
- [13] V. M. KADETS, R. V. SHVIDKOY, AND D. WERNER. *Narrow operators and rich subspaces of Banach spaces with the Daugavet property*. Studia Math. **147** (2001), 269–298.
- [14] V. M. KADETS AND D. WERNER. *A Banach space with the Schur and the Daugavet property*. Preprint; available from <http://xxx.lanl.gov>.
- [15] N. KALTON AND A. PELCZYŃSKI. *Kernels of surjections from  $\mathcal{L}_1$ -spaces with an application to Sidon sets*. Math. Ann. **309** (1997), 135–158.
- [16] M. MARTÍN AND R. PAYÁ. *Numerical index of vector-valued function spaces and the Daugavet property*. Studia Math. **142** (2000), 269–280.
- [17] A. M. PLICHKO AND M. M. POPOV. *Symmetric function spaces on atomless probability spaces*. Dissertationes Mathematicae **306** (1990).
- [18] W. RUDIN. *Fourier Analysis on Groups*. Wiley-Interscience Publishers, New York-London, 1962.
- [19] R. RYAN. *Introduction to Tensor Products of Banach Spaces*. Springer, London-Berlin-Heidelberg, 2002.
- [20] M. TALAGRAND. *The three-space problem for  $L^1$* . J. Amer. Math. Soc. **3** (1990), 9–29.
- [21] D. WERNER. *Recent progress on the Daugavet property*. Irish Math. Soc. Bulletin **46** (2001), 77–97.
- [22] P. WOJTASZCZYK. *Some remarks on the Daugavet equation*. Proc. Amer. Math. Soc. **115** (1992), 1047–1052.

FACULTY OF MECHANICS AND MATHEMATICS, KHARKOV NATIONAL UNIVERSITY,  
PL. SVOBODY 4, 61077 KHARKOV, UKRAINE

*E-mail address:* vovakadets@yahoo.com

*Current address:* Department of Mathematics, Freie Universität Berlin, Arnimallee 2–6,  
D-14195 Berlin, Germany

*E-mail address:* kadets@math.fu-berlin.de

DEPARTMENT OF MATHEMATICS, UNIVERSITY OF MISSOURI, COLUMBIA MO 65211

*E-mail address:* nigel@math.missouri.edu

DEPARTMENT OF MATHEMATICS, FREIE UNIVERSITÄT BERLIN, ARNIMALLEE 2–6,  
D-14195 BERLIN, GERMANY

*E-mail address:* werner@math.fu-berlin.de