#### **Pseudotriangulations and the Expansion Polytope**

A *pointed pseudotriangulation* of a set of points in the plane is a partition of the convex hull into pseudotriangles: polygons with three convex corners and an arbitrary number of reflex vertices. This geometric structure arises naturally in the context of rigidity of frameworks and *expansive motions*: motions of points in the plane where no pairwise distance decreases. The set of expansive infinitesimal motions is a polyhedron. By perturbing its facets, one arrives at a polytope whose vertices are in one-to-one correspondence with the pointed pseudotriangulations. The expansion polytope can also be considered in one dimension. It leads to the wellknown associahedron in this case.

The expansion polytope provides an indirect existence proof of infinitesimal expansive motions for a polygonal chain, which is a crucial step in the solution of the Carpenter's Rule Problem: Every planar polygonal chain can be straightened without self-intersections.

# **Pseudotriangulations** and the Expansion Polytope Günter Rote Freie Universität Berlin, Institut für Informatik

Séminaire Combinatoire Algébrique et Géométrique, Paris October 13, 2005

#### PLANE GEOMETRY:

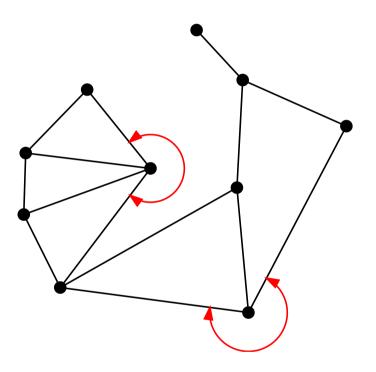
1. Pseudotriangulations: basic definitions and properties RIGIDITY AND KINEMATICS:

2. The Carpenter's Rule Problem POLYTOPES:

3. The expansion cone and the pseudotriangulation polytope

## **Pointed Vertices**

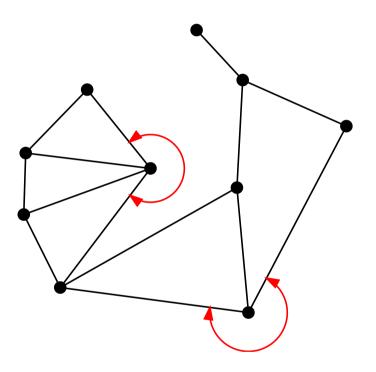
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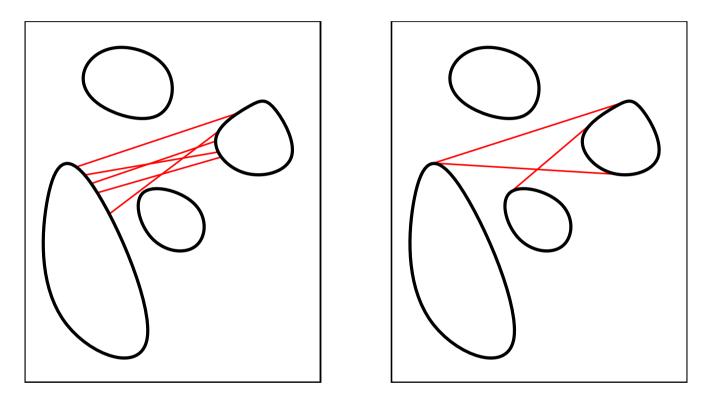


A straight-line graph is pointed if all vertices are pointed.

Where do pointed vertices arise?

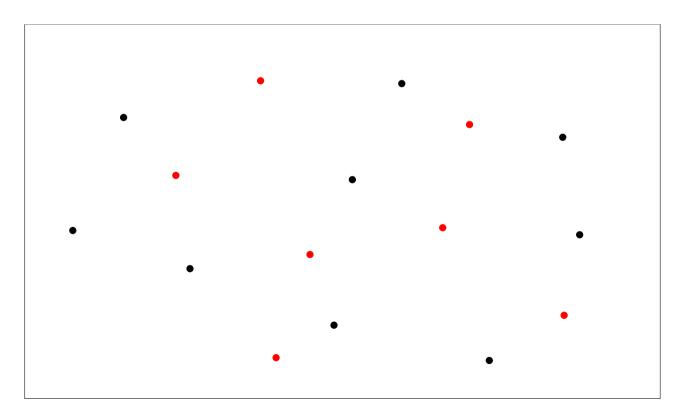
## Visibility among convex obstacles

Equivalence classes of *visibility segments*. Extreme segments are *bitangents* of convex obstacles.

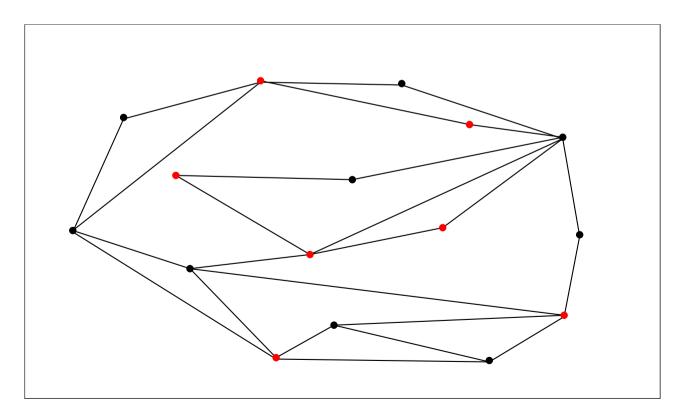


[Pocchiola and Vegter 1996]

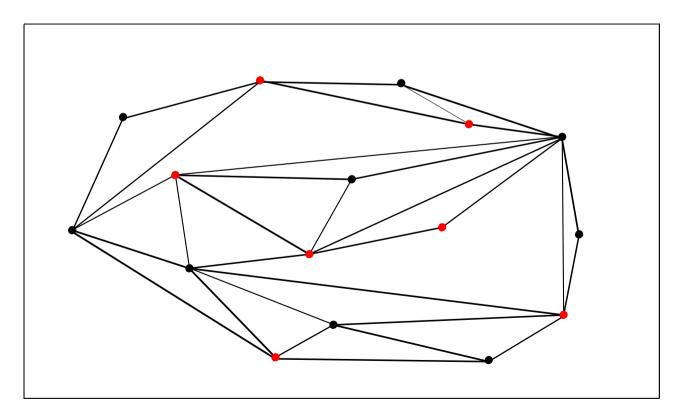
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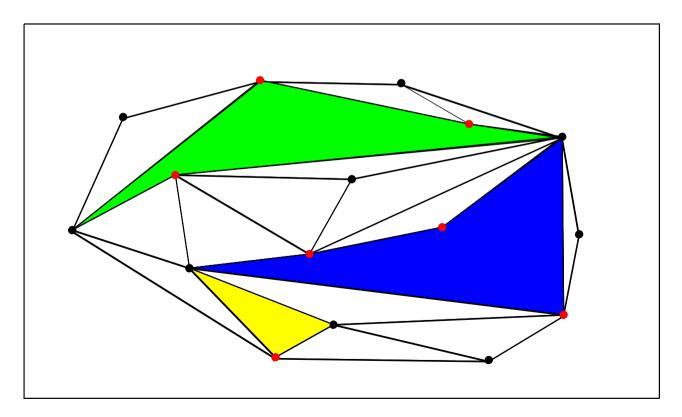
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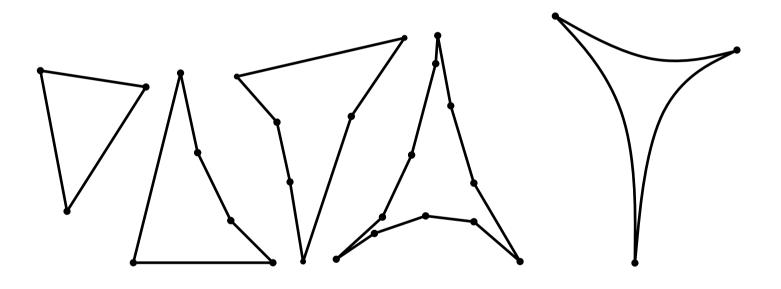


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#### **Pseudotriangles**

A pseudotriangle has three convex *corners* and an arbitrary number of reflex vertices (>  $180^{\circ}$ ).



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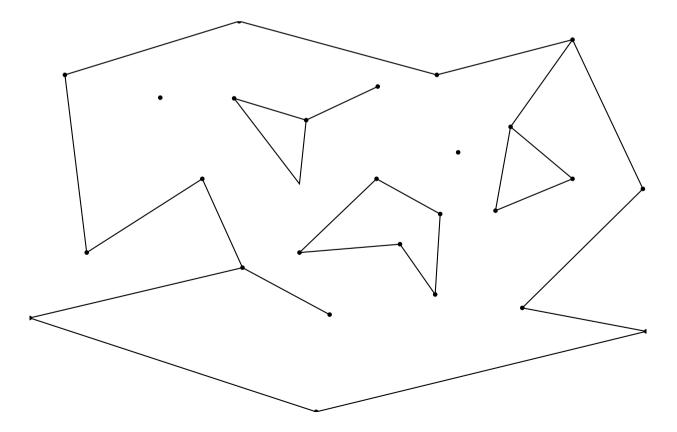
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Proof. (1)  $\implies$  (2) All convex hull edges are in E.  $\rightarrow$  decomposition of the polygon into faces. Need to show: If a face is not a pseudotriangle, then one can add an edge without creating a nonpointed vertex.

**Lemma.** If a face is not a pseudotriangle, then one can add an edge without creating a nonpointed vertex.

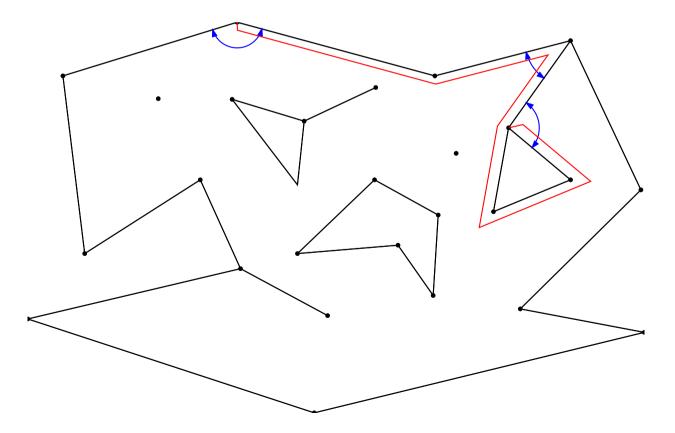
**Lemma.** If a face is not a pseudotriangle, then one can add an edge without creating a nonpointed vertex.

Go from a convex vertex along the boundary to the third convex vertex. Take the shortest path.



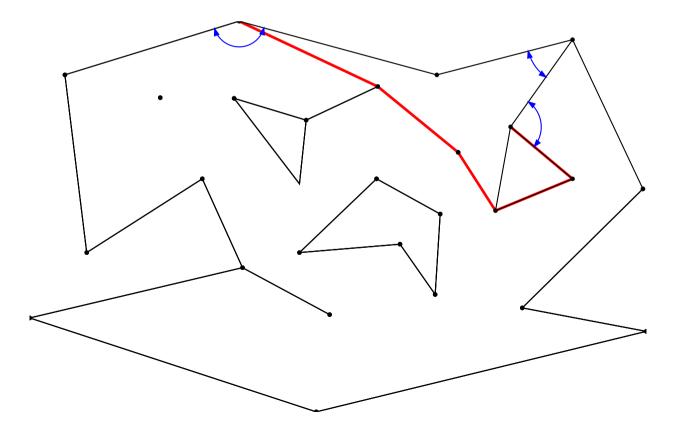
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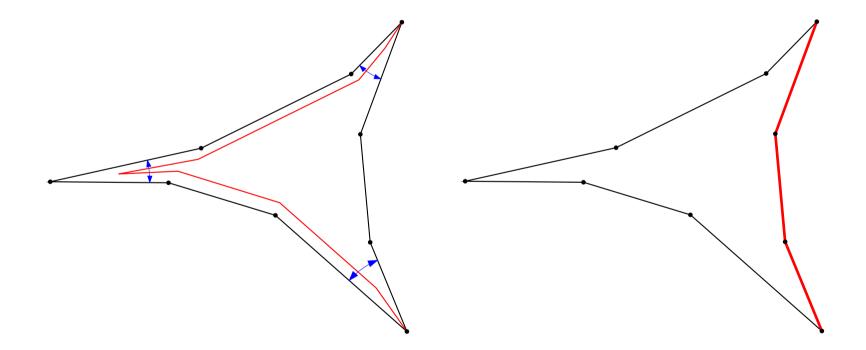
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# Characterization of pseudotriangulations continued

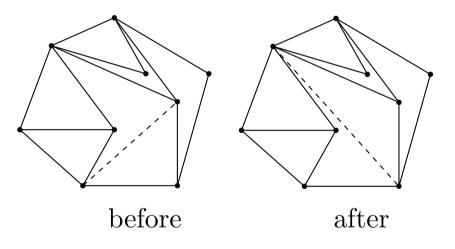
A new edge is always added, unless the face is already a pseudotriangle (without inner obstacles).



[Rote, C. A. Wang, L. Wang, Xu 2003]

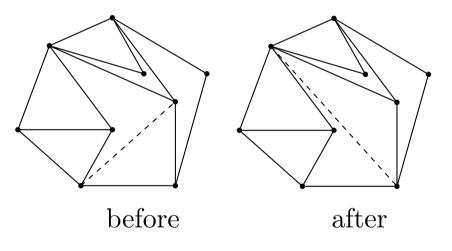
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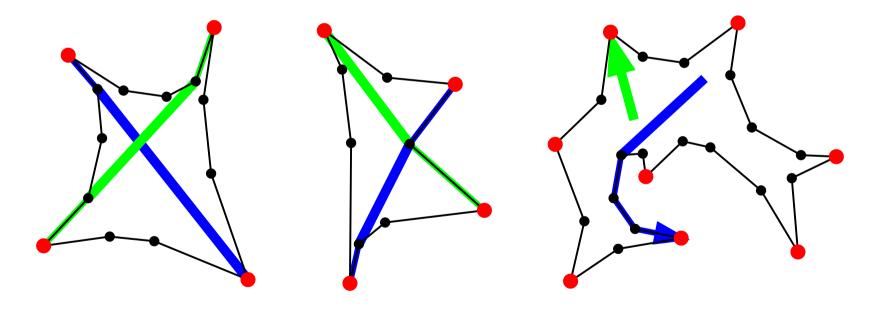
The flip graph is connected. Its diameter is  $O(n \log n)$ .

[Bespamyatnikh 2003]

## Flipping

Every pseudoquadrangle has precisely two diagonals, which cut it into two pseudotriangles.

[*Proof.* Every *tangent ray* can be continued to a geodesic path running along the boundary to a corner, in a unique way.]



**Lemma.** A pseudotriangulation with x nonpointed and y pointed vertices has e = 3x + 2y - 3 edges and 2x + y - 2 pseudotriangles.

**Corollary.** A pointed pseudotriangulation with n vertices has e = 2n - 3 edges and n - 2 pseudotriangles.

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$$\underbrace{\sum_t k_t + k_{outer}}_{2e} - 3|T| = y$$

$$e + 2 = (|T| + 1) + (x + y) \quad (Euler)$$

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**Corollary.** A non-crossing pointed graph with  $n \ge 2$  vertices has at most 2n - 3 edges.

## **Pseudotriangulations/ Geodesic Triangulations**

Applications:

- kinetics of bar frameworks, robot motion planning, the "Carpenter's Rule Problem" [Streinu 2000]
- data structures for ray shooting [Chazelle, Edelsbrunner, Grigni, Guibas, Hershberger, Sharir, and Snoeyink 1994] and visibility [Pocchiola and Vegter 1996]
- kinetic collision detection [Agarwal, Basch, Erickson, Guibas, Hershberger, Zhang 1999–2001] [Kirkpatrick, Snoeyink, and Speckmann 2000] [Kirkpatrick & Speckmann 2002]
- art gallery problems [Pocchiola and Vegter 1996b], [Speckmann and Tóth 2001]

## 2A. RIGIDITY, PLANAR LAMAN GRAPHS Infinitesimal motions — rigid frameworks

A *framework* is a set of movable joints (vertices) connected by rigid *bars* (edges) of fixed length.

n points  $p_1, \ldots, p_n$ .

1. (global) motion  $p_i = p_i(t)$ ,  $t \ge 0$ 

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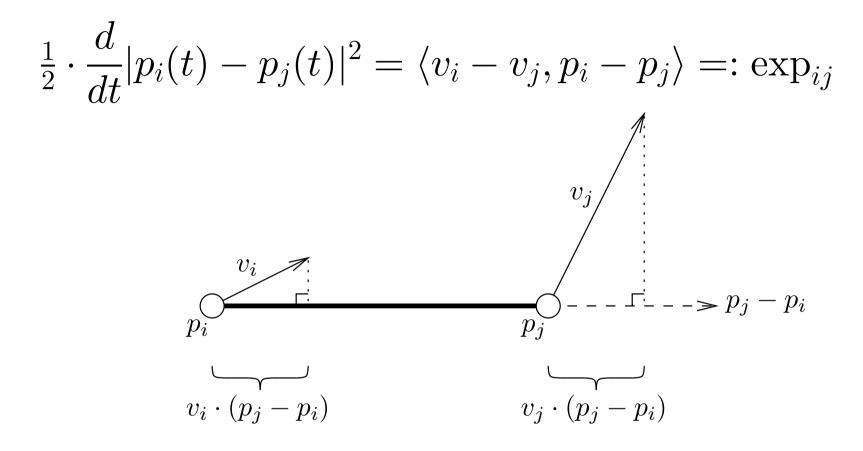
$$v_i = \frac{d}{dt}p_i(t) = \dot{p}_i(0)$$

velocity vectors  $v_1, \ldots, v_n$ .

3. constraints:

 $|p_i(t) - p_j(t)|$  is constant for every edge (bar) ij.

## **Expansion**



expansion (or strain)  $exp_{ij}$  of the segment ij

## The rigidity map

of a framework  $((V, E), (p_1, \ldots, p_n))$ :

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The rigidity matrix:

## Infinitesimally rigid frameworks

A framework is infinitesimally rigid if

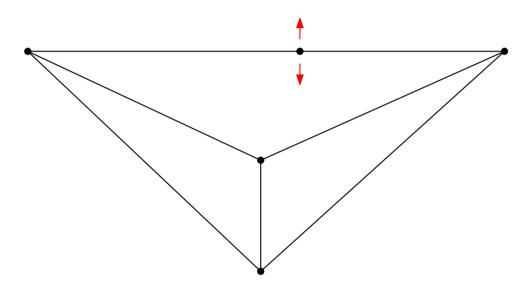
M(v) = 0

has only the trivial solutions: translations and rotations of the framework as a whole.

## **Rigid frameworks**

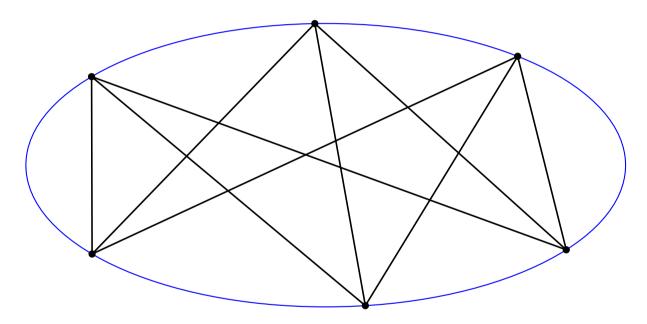
An infinitesimally rigid framework is rigid.

This framework is rigid, but not infinitesimally rigid:



## **Generically rigid frameworks**

A given graph can be rigid in most embeddings, but it may have special non-rigid embeddings:



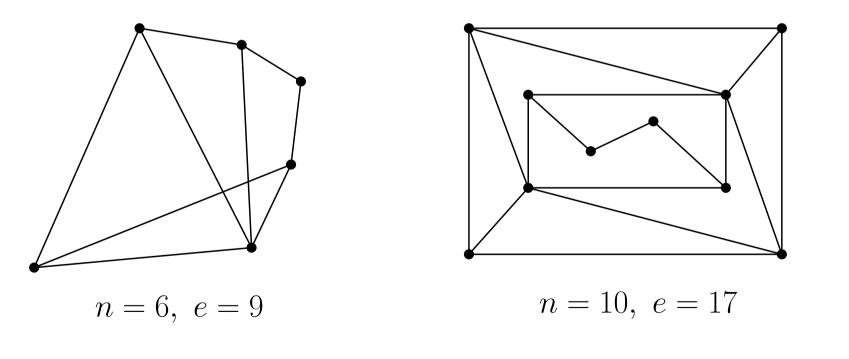
A graph is *generically rigid* if it is infinitesimally rigid in almost all embeddings.

This is a *combinatorial property* of the graph.

## Minimally rigid frameworks

**Theorem.** A graph with n vertices is *minimally rigid* in the plane (with respect to  $\subseteq$ ) iff it has the *Laman property*:

- It has 2n-3 edges.
- Every subset of  $k \ge 2$  vertices spans at most 2k 3 edges.



[Laman 1961]

## A pointed pseudotriangulation is a Laman graph

Proof: Every subset of  $k \ge 2$  vertices is pointed and has therefore at most 2k - 3 edges.

[Streinu 2001]

# Every planar Laman graph is a pointed pseudotriangulation

**Theorem.** Every planar Laman graph has a realization as a pointed pseudotriangulation. The outer face can be chosen arbitrarily.

[Haas, Rote, Santos, B. Servatius, H. Servatius, Streinu, Whiteley 2003]

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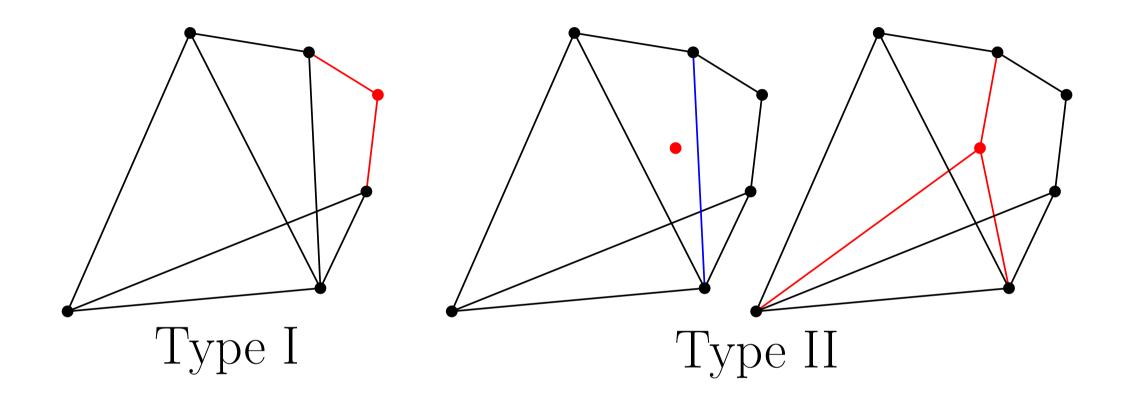
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**Theorem.** Every rigid planar graph has a realization as a pseudotriangulation (not necessarily pointed).

[Orden, Santos, B. Servatius, H. Servatius 2003]

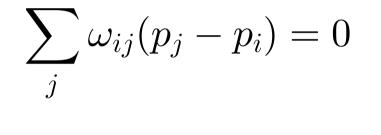
#### Henneberg constructions

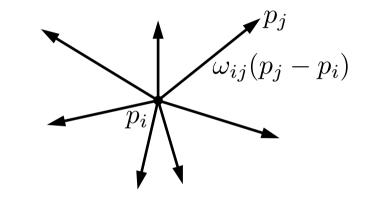


#### Self-stresses

Assign a *stress*  $\omega_{ij} = \omega_{ji} \in \mathbb{R}$  to each edge.

Equilibrium of forces in every vertex *i*:





 $M^{\mathrm{T}}\omega = 0$ 

 $\exp = Mv$ 

# 2B. RIGIDITY AND KINEMATICS Unfolding of polygons — expansive motions

**Theorem.** Every polygonal arc in the plane can be brought into straight position, without self-overlap.

Every polygon in the plane can be unfolded into convexposition.[Connelly, Demaine, Rote 2000], [Streinu 2000]

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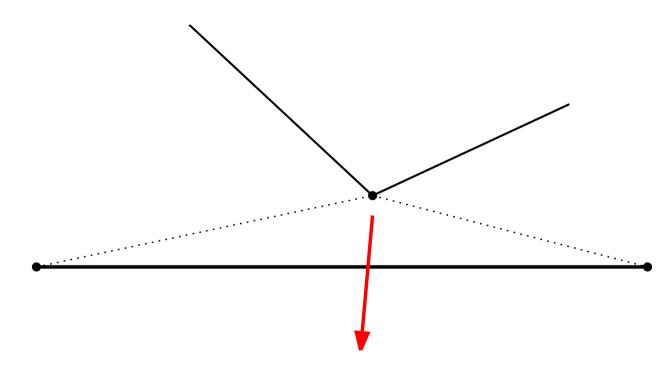
*Proof outline:* 

- 1. Find an *expansive* infinitesimal motion.
- 2. Find a global motion.

#### **Expansive Motions**

No distance between any pair of vertices decreases.

Expansive motions cannot overlap.



#### **Expansive motions**

 $exp_{ij} = 0 \text{ for all } bars ij$ (preservation of length)

 $\exp_{ij} \ge 0$  for all other pairs (struts) ij

(expansiveness)

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$$\exp_{ij} \ge 0$$
 for all other pairs (*struts*)  $ij$  (expansiveness)

... need to show that an expansive motion exists ...

## **Every Polygon has an Expansive Motion**

```
Proof I: (Outline)
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Existence of an expansive motion

(duality)

Self-stresses (rigidity)

Self-stresses on planar frameworks

(Maxwell-Cremona correspondence)

polyhedral terrains

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**Proof II:** via pseudotriangulations and the Pseudotriangulation Polytope

[ Streinu 2000 ] [ Rote, Santos, Streinu 2003 ]

# **3. A polyhedron for pointed pseudotriangulations**

**Theorem.** For every set S of points in general position, there is a convex (2n-3)-dimensional polyhedron X whose vertices correspond to the pointed pseudotriangulations of S.

[Rote, Santos, Streinu 2003]

There is one inequality for each pair of points.

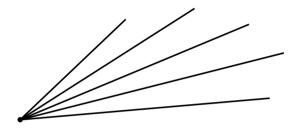
At a vertex of X:

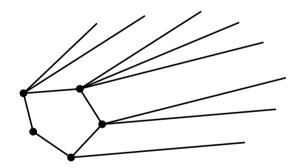
tight inequalities  $\leftrightarrow$  edges of a pointed pseudotriangulation.

## **Cones and polytopes**

[Rote, Santos, Streinu 2002]

- The expansion cone  $\bar{X}_0 = \{ \exp_{ij} \ge 0 \}$
- The perturbed expansion cone = the PPT polyhedron  $\bar{X}_f = \{ \exp_{ij} \ge f_{ij} \}$
- The PPT polytope  $X_f = \{ \exp_{ij} \ge f_{ij}, \\ \exp_{ij} = f_{ij} \text{ for } ij \text{ on boundary } \}$

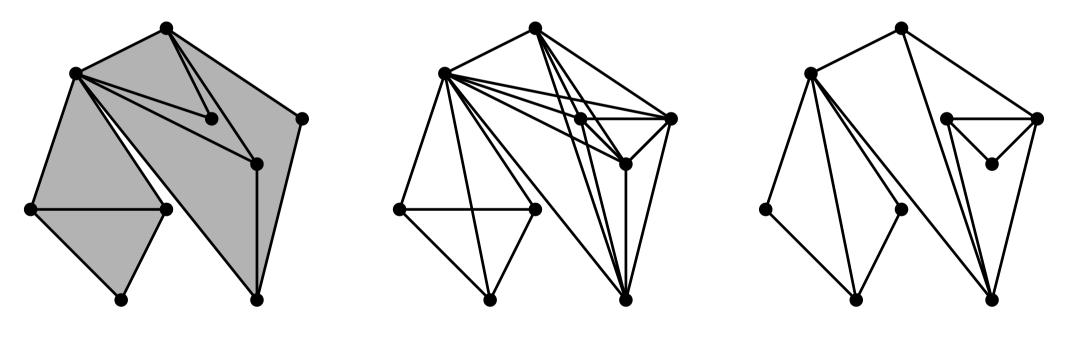






#### Extreme rays of the expansion cone

Pseudotriangulations with one convex hull edge removed yield expansive mechanisms. [Streinu 2000] Rigid substructures can be identified.



## The Dimension of the Polyhedra: Pinning of Vertices

Trivial Motions: Motions of the point set as a whole (translations, rotations).

Normalization: Pin a vertex and a direction. ("tie-down")

$$v_1 = 0$$

$$v_2 \parallel p_2 - p_1$$

This eliminates 3 degrees of freedom.

The polyhedra "live in" 2n - 3 dimensions. (plus a 3-dimensional lineality space).

### A polyhedron for pseudotriangulations

With a suitable perturbation of the constraints " $\exp_{ij} \ge 0$ " to " $\exp_{ij} \ge f_{ij}$ ", the vertices are in 1-1 correspondence with the pointed pseudotriangulations.

 $\rightarrow$  the PPT-polyhedron

$$\bar{X}_f = \{ (v_1, \ldots, v_n) \mid \exp_{ij} \ge f_{ij} \}$$

 $\rightarrow$  an independent proof that expansive motions exist

## **Tight edges**

For 
$$v = (v_1, \ldots, v_n) \in \overline{X}_f$$
,

$$E(v) := \{ ij \mid \exp_{ij} = f_{ij} \}$$

is the set of tight edges at v.

Maximal sets of tight edges  $\equiv$  vertices of  $\bar{X}_f$ .

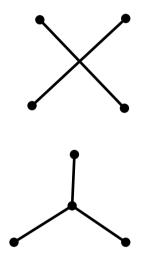
## What are good values of $f_{ij}$ ?

Which configurations of edges can occur in a set of tight edges?

We want:

• no crossing edges

 $\bullet$  no 3-star with all angles  $\leq 180^\circ$ 



## **The PPT-polyhedron**

 $\rightarrow$  For every vertex v, E(v) is non-crossing and pointed.

$$\to |E(v)| \le 2n - 3$$

 $\rightarrow |E(v)| = 2n - 3$  and  $\overline{X}_f$  is a simple polyhedron.

Every vertex is incident to 2n-3 edges.

Edge  $\equiv$  removing a segment from E(v).

Removing an interior segment leads to an adjacent pseudotriangulation (flip).

Removing a hull segment is an extreme ray.

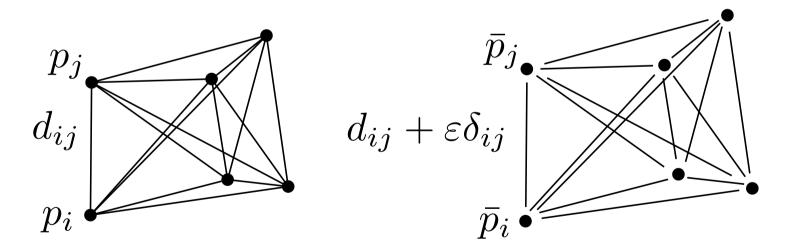
#### Increasing the distances

$$d_{ij} := \|p_i - p_j\|$$

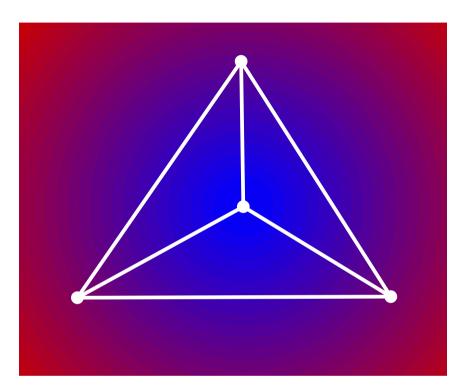
Find new locations  $\bar{p}_i$  such that

$$\|\bar{p}_i - \bar{p}_j\| \ge d_{ij} + \varepsilon \delta_{ij}$$

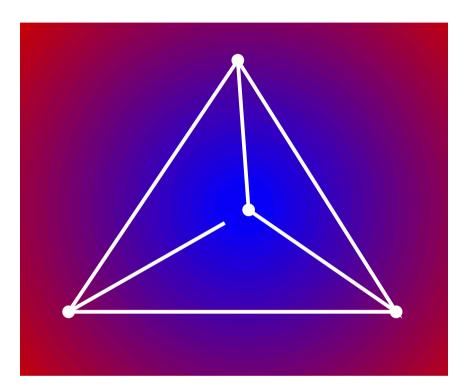
for very small (infinitesimal)  $\varepsilon$  and appropriate numbers  $\delta_{ij}$ .



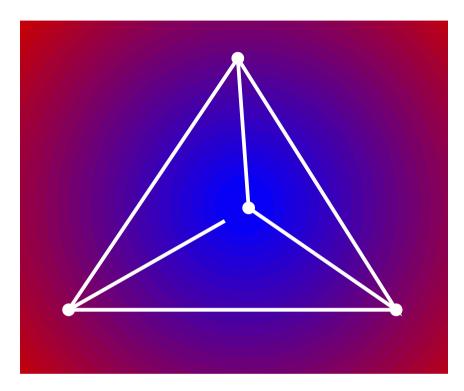
If the new distances  $d_{ij} + \varepsilon \delta_{ij}$  are generic, the maximal sets of tight inequalities will correspond to minimally rigid graphs.



$$\Delta T = |x|^2$$
  
Length increase  $\geq \int_{x \in p_i p_j} |x|^2 ds$ 

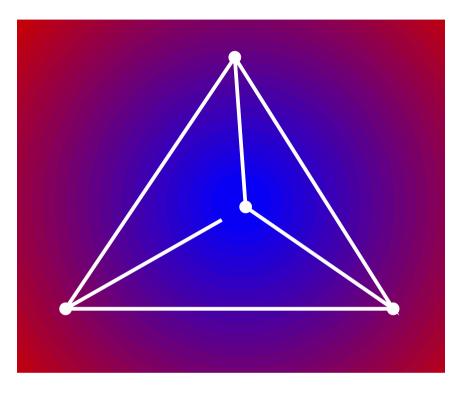


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$$\Delta T = |x|^2$$
  
Length increase  $\geq \int_{x \in p_i p_j} |x|^2 ds$   
 $\delta_{ij} = \int_{x \in p_i p_j} |x|^2 ds$ 

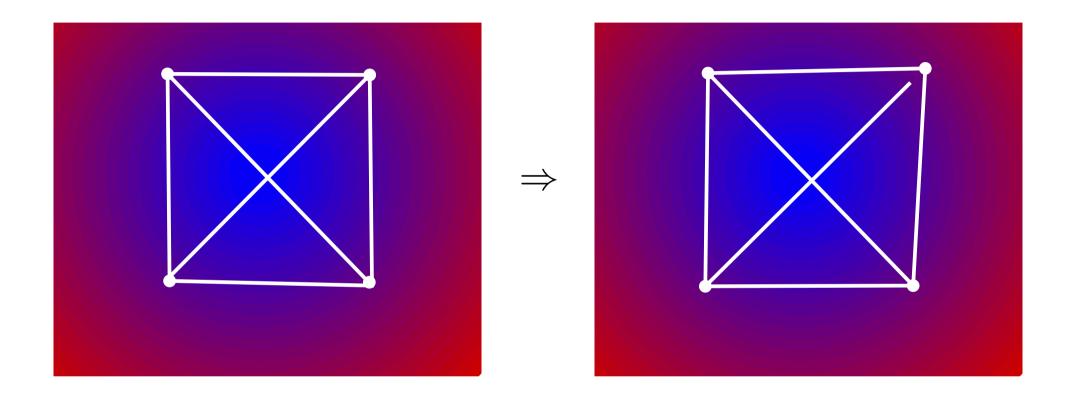
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$$\begin{array}{l} \Delta T = |x|^2\\ \text{-ength increase } \geq \int\limits_{x \in p_i p_j} |x|^2 \, ds\\ \delta_{ij} = \int\limits_{x \in p_i p_j} |x|^2 \, ds \end{array}$$

$$\delta_{ij} = |p_i - p_j| \cdot (|p_i|^2 + \langle p_i, p_j \rangle + |p_j|^2) \cdot \frac{1}{3}$$

# Heating up the bars — points in convex position



#### The PPT polyhedron

$$\bar{X}_f = \{ (v_1, \dots, v_n) \mid \exp_{ij} \ge f_{ij} \}$$
  
•  $f_{ij} := |p_i - p_j|^2 \cdot (|p_i|^2 + \langle p_i, p_j \rangle + |p_j|^2)$ 

#### The PPT polyhedron

$$\bar{X}_f = \{ (v_1, \ldots, v_n) \mid \exp_{ij} \geq f_{ij} \}$$

• 
$$f_{ij} := |p_i - p_j|^2 \cdot (|p_i|^2 + \langle p_i, p_j \rangle + |p_j|^2)$$

• Alternative definition that leads to an equivalent polytope.  $f'_{ij} := [a, p_i, p_j] \cdot [b, p_i, p_j]$ 

[x, y, z] = signed area of the triangle xyza, b: two arbitrary points.

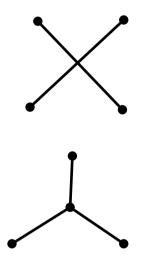
## Good values $f_{ij}$ for 4 points

In a set of tight edges, we want:

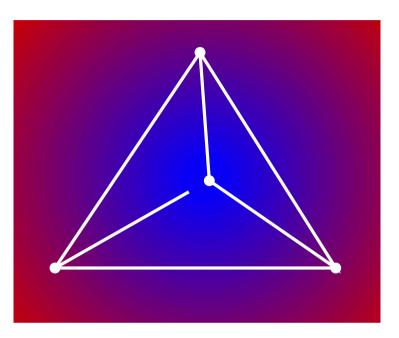
no crossing edges

 $\bullet$  no 3-star with all angles  $\leq 180^\circ$ 





### Good values $f_{ij}$ for 4 points



 $f_{ij}$  is given on six edges. Any five values  $\exp_{ij}$  determine the last one.

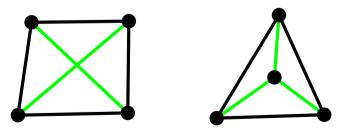
Check if the resulting value  $\exp_{ij}$  of the last edge is feasible  $(\exp_{ij} \ge f_{ij})$  $\rightarrow$  checking the sign of an expression.

## **Good Values** $f_{ij}$ for 4 points

A 4-tuple  $p_1, p_2, p_3, p_4$  has a unique self-stress (up to a scalar factor).

$$\omega_{ij} = \frac{1}{[p_i, p_j, p_k] \cdot [p_i, p_j, p_l]}, \text{ for all } 1 \le i < j \le 4$$

 $\omega_{ij} > 0$  for boundary edges.  $\omega_{ij} < 0$  for interior edges.



#### Why the stress?

If the equation

$$\sum_{1 \le i < j \le 4} \omega_{ij} f_{ij} = 0$$

holds, then  $f_{ij}$  are the expansion values  $\exp_{ij}$  of a motion  $(v_1, v_2, v_3, v_4)$ .

Actually, "if and only if".

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Actually, "if and only if".

$$[M^{\mathrm{T}}\omega = 0, f = \exp = Mv]$$

#### **Good perturbations**

We need

$$\omega_{12}f_{12} + \omega_{13}f_{13} + \omega_{14}f_{14} + \omega_{23}f_{23} + \omega_{24}f_{24} + \omega_{34}f_{34} > 0$$

for all 4-tuples of points  $p_1, p_2, p_3, p_4$ , with

$$\omega_{ij} = \frac{1}{[p_i, p_j, p_k] \cdot [p_i, p_j, p_l]}, \quad f_{ij} = [a, p_i, p_j][b, p_i, p_j]$$

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# What is the meaning of $\sum_{1 \le i \le j \le 4} \omega_{ij} f_{ij} = 1$ ?

"I believe there is some underlying homology in this situation. Given the fact that motions and stresses also fit into a setting of cohomology and homology as well, the authors might, at least, mention possible homology descriptions."

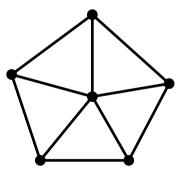
[a referee, about the definition of  $\omega_{ij}$ ]

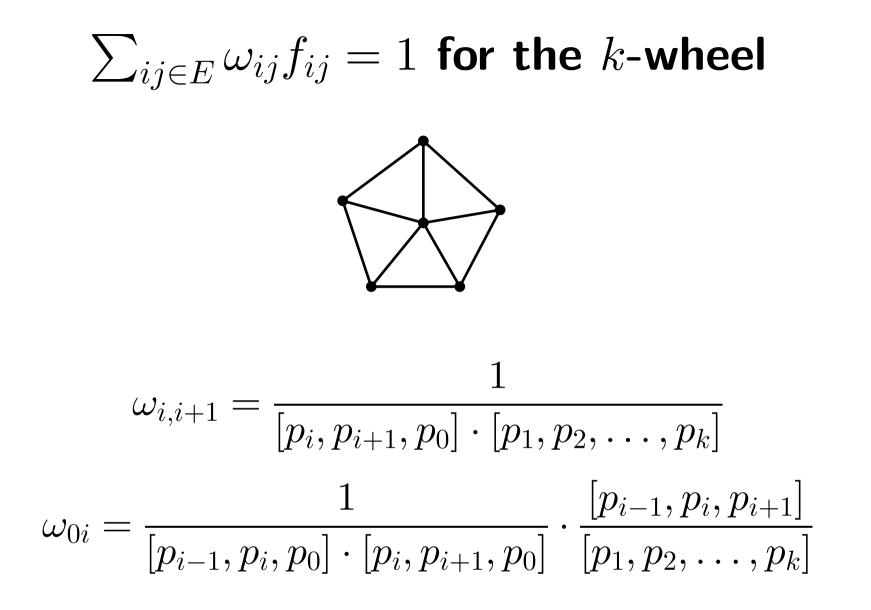
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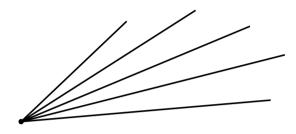
One can define a similar formula for  $\omega$  for the k-wheel.

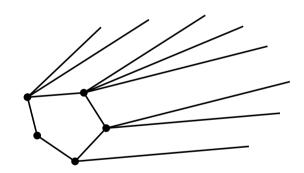




# **Cones and polytopes**

- The expansion cone  $\bar{X}_0 = \{ \exp_{ij} \ge 0 \}$
- The perturbed expansion cone = the PPT polyhedron  $\bar{X}_f = \{ \exp_{ij} \ge f_{ij} \}$
- The PPT polytope  $X_f = \{ \exp_{ij} \ge f_{ij}, \\ \exp_{ij} = f_{ij} \text{ for } ij \text{ on boundary } \}$







# The PPT polytope

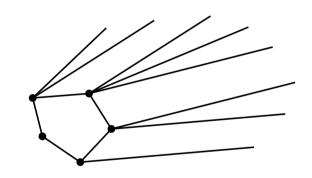
Cut out all rays: Change  $\exp_{ij} \ge f_{ij}$  to  $\exp_{ij} = f_{ij}$  for hull edges.

**Theorem.** For every set S of points in general position, there is a convex (2n-3)-dimensional polytope whose vertices correspond to the pointed pseudotriangulations of S.

# **Cones and polytopes**

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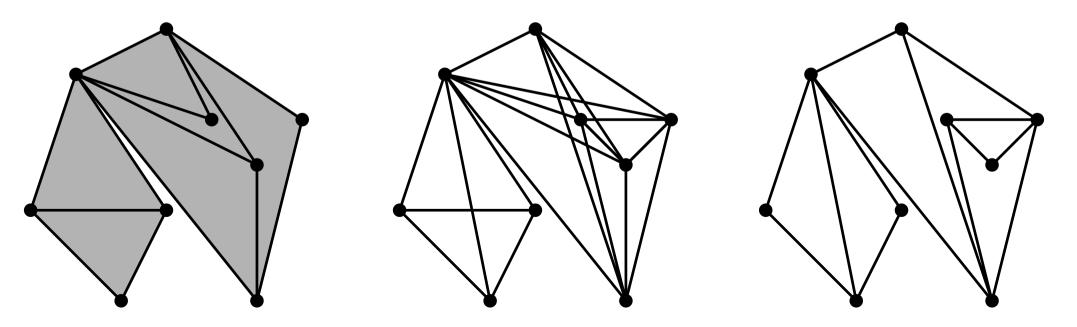


## Extreme rays of the expansion cone

#### The Expansion Cone $\overline{X}_0$ :

collapse parallel rays into one ray.  $\rightarrow$  pseudotriangulations minus one hull edge. Rigid subcomponents are identified.

Pseudotriangulations with one convex hull edge removed yield expansive mechanisms. [Streinu 2000]



# Expansive motions for a chain (or a polygon)

- Add edges to form a pseudotriangulation
- Remove a convex hull edge
- $\bullet \rightarrow expansive mechanism$

**Theorem.** Every polygonal arc in the plane can be brought into straight position, without self-overlap.

Every polygon in the plane can be unfolded into convex position.

[Connelly, Demaine, Rote 2000], [Streinu 2000]

# The PT polytope

Vertices correspond to *all* pseudotriangulations, pointed or not.

Change inequalities  $\exp_{ij} \ge f_{ij}$  to

$$\exp_{ij} + (s_i + s_j) ||p_j - p_i|| \ge f_{ij}$$

with a "slack variable"  $s_i$  for every vertex.

 $s_i = 0$  indicates that vertex *i* is pointed.

A "flip" may insert an edge, changing a vertex from pointed to non-pointed, or vice versa.

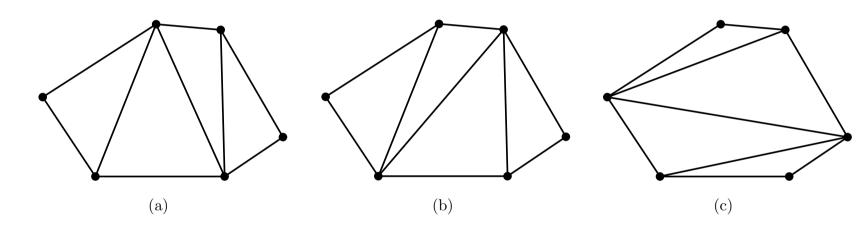
Faces are in one-to-one correspondence with all non-crossing graphs.

[Orden, Santos 2002]

## **Canonical pseudotriangulations**

Maximize/minimize  $\sum_{i=1}^{n} c_i \cdot v_i$  over the PPT-polytope.

 $c_i := p_i$ :

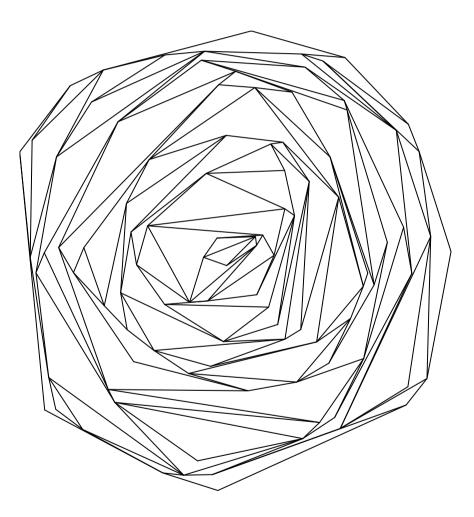


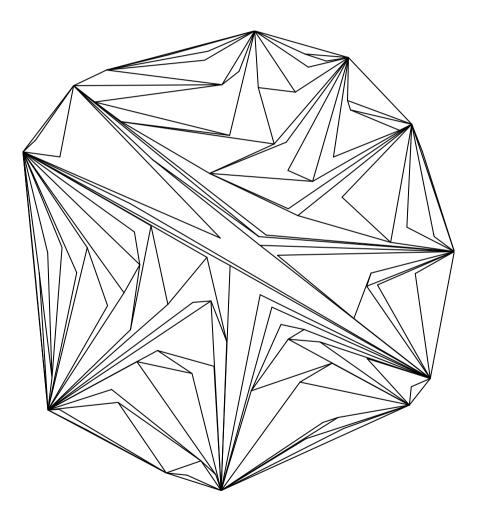
Delaunay triangulation

 $Max/Min \sum p_i \cdot v_i$ (not affinely invariant)

(Can be constructed as the lower/upper convex hull of lifted points.) [André Schulz 2005]

# Two pseudotriangulations for 100 random points





# Which $f_{ij}$ to choose?

- $f_{ij} := |p_i p_j|^2 \cdot (|p_i|^2 + \langle p_i, p_j \rangle + |p_j|^2)$
- $f'_{ij} := [a, p_i, p_j] \cdot [b, p_i, p_j]$

Go to the space of the  $(\exp_{ij})$  variables instead of the  $(v_i)$  variables.

$$\exp = Mv$$

# Characterization of the space $(\exp_{ij})_{i,j}$

A set of values  $(\exp_{ij})_{1 \le i < j \le n}$  forms the expansion vector of a motion  $(v_1, \ldots, v_n)$ :  $\exp = Mv$ if and only if the vector  $(\exp_{ij})_{1 \le i < j \le n}$  is orthogonal to all self-stresses  $(\omega_{ij})_{1 \le i < j \le n}$ :

 $\omega \cdot \exp = 0$  for all  $\omega$  with  $M^{\mathrm{T}}\omega = 0$ 

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 $\omega \cdot \exp = 0$  for all  $\omega$  with  $M^{\mathrm{T}}\omega = 0$ 

if and only if the equation

$$\sum_{1 \le i < j \le 4} \omega_{ij} \exp_{ij} = 0$$

holds for all 4-tuples.



# A canonical representation

$$\sum_{1 \le i < j \le 4} \omega_{ij} \exp_{ij} = 0, \text{ for all 4-tuples}$$
$$\exp_{ij} \ge f_{ij}, \text{ for all pairs } i, j$$

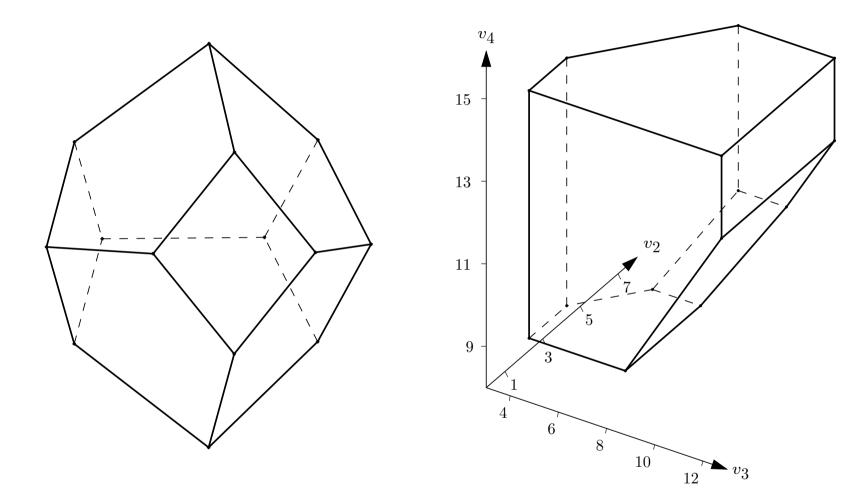
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$$\exp_{ij} \ge f_{ij}, \text{ for all pairs } i, j$$

$$\sum_{1\leq i < j \leq 4} \omega_{ij} f_{ij} = 1$$
, for all 4-tuples  
Substitute  $d_{ij} := \exp_{ij} - f_{ij}$ :

$$\sum_{1 \le i < j \le 4} \omega_{ij} d_{ij} = -1, \text{ for all } 4\text{-tuples}$$
(1)  
$$d_{ij} \ge 0, \text{ for all } i, j$$
(2)

## The associahedron



#### Catalan structures

- Triangulations of a convex polygon / edge flip
- Binary trees / rotation

• (a \* (b \* (c \* d))) \* e / ((a \* b) \* (c \* d)) \* e

# The secondary polytope

Triangulation T of a point set  $\{p_1, \ldots, p_n\}$ :  $T \mapsto (a_1, \ldots, a_n).$ 

 $a_i := \text{total area of all triangles incident to } p_i$ 

The secondary polytope :=

 $\operatorname{conv}\{(a_1,\ldots,a_n)(T) \mid T \text{ is a triangulation}\}$ 

vertices  $\equiv$  regular triangulations of  $(p_1, \ldots, p_n)$ 

 $(p_1, \ldots, p_n)$  in convex position: pseudotriangulations  $\equiv$  triangulations  $\equiv$  regular triangulations.

 $\rightarrow$  two realizations of the associahedron.

These two associahedra are affinely equivalent.

#### Expansive motions in one dimension

$$\{ (v_i) \in \mathbb{R}^n \mid v_j - v_i \ge f_{ij} \text{ for } 1 \le i < j \le n \}$$

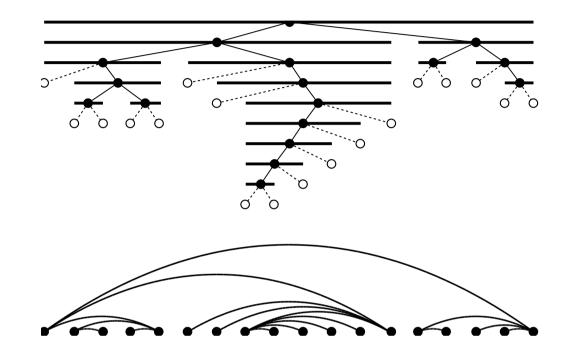
$$f_{il} + f_{jk} > f_{ik} + f_{jl}$$
, for all  $i < j < k < l$ .  
 $f_{il} > f_{ik} + f_{kl}$ , for all  $i < k < l$ .

For example,  $f_{ij} := (i - j)^2$ 

related to the *Monge Property*.

 $\rightarrow$  gives rise to *different* realizations of the associahedron.

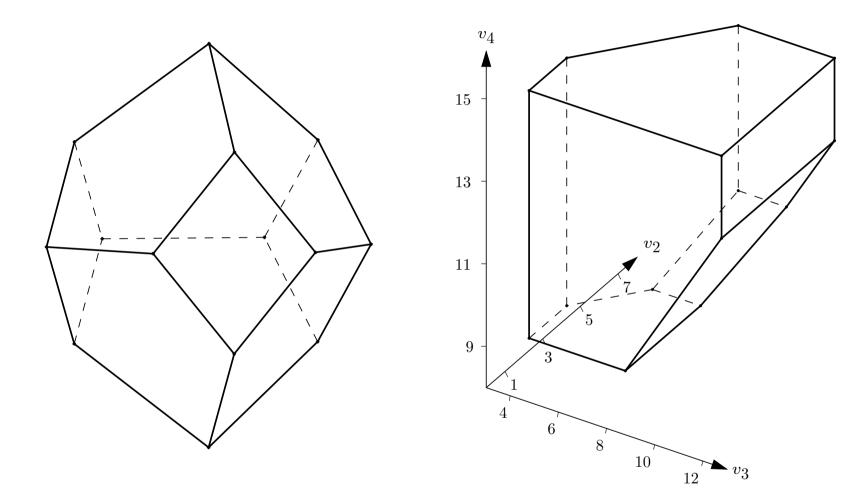
## Non-crossing alternating trees



non-crossing: no two edges ik, jl with i < j < k < l. alternating: no two edges ij, jk with i < j < k.

[Gelfand, Graev, and Postnikov 1997], in a dual setting. [Postnikov 1997], [Zelevinsky ?], [Stasheff 1997]

## The associahedron



# **OPEN:** Pseudotriangulations in 3-space?

Rigid graphs are not well-understood in 3-space.

Alternative approach: Pseudotriangulation of the interior of a *polygon* via *locally convex functions* [Aichholzer, Aurenhammer, Braß, Krasser 2003] This can be extended to 3-polytopes.

[Aurenhammer, Krasser 2005]

#### **INPUT-A NO INPUT**