

# Minimum-Weight Triangulation is NP-hard

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A triangulation of a planar point set  $S$  is a maximal plane straight-line graph with vertex set  $S$ . In the *minimum-weight triangulation* (MWT) problem, we are looking for a triangulation of a given point set that minimizes the sum of the edge lengths. We prove that the decision version of this problem is NP-hard, using a reduction from PLANAR 1-IN-3-SAT. The correct working of the gadgets is established with computer assistance, using dynamic programming on polygonal faces, as well as the  $\beta$ -skeleton heuristic to certify that certain edges belong to the minimum-weight triangulation.

Categories and Subject Descriptors: F.2.2 [Nonnumerical Algorithms and Problems]: Geometrical problems and computations; G.2.2 [Graph Theory]: Graph algorithms

General Terms: Algorithms, Theory

Additional Key Words and Phrases: Optimal triangulations, PLANAR 1-IN-3-SAT

## 1. INTRODUCTION

Given a set  $S$  of points in the Euclidean plane, a *triangulation*  $T$  of  $S$  is a maximal plane straight-line graph with vertex set  $S$ . The *weight* of  $T$  is defined as the total Euclidean length of all edges in  $T$ . A triangulation that achieves the minimum weight is called a *minimum-weight triangulation* (MWT) of  $S$ .

The problem of computing a triangulation for a given planar point set arises naturally in many applications such as stock cutting, finite element analysis, terrain modeling, and numerical approximation. The *minimum-weight* triangulation has attracted the attention of many researchers, mainly due to its natural definition of optimality, and not so much because it would be important for the mentioned applications. We show that computing a minimum-weight triangulation is NP-hard. Note that it is not known whether the MWT problem is in NP, because it is an open problem whether sums of radicals (namely, Euclidean distances) can be compared in polynomial time [Blömer 1991]. This problem is common to many geometric optimization problems, like, perhaps most famously, the Euclidean Traveling Salesperson Problem. To get a variant of the problem that is in NP, one can take the weight of an edge  $e$  as the rounded value  $\lceil \|e\|_2 \rceil$ . Our proof also shows that this variant is NP-complete.

Our proof uses a polynomial time reduction from POSITIVE PLANAR 1-IN-3-SAT, a variant of the well-known PLANAR 3-SAT problem, which is a standard tool for showing NP-hardness of geometric problems.

### 1.1 Optimal Triangulations

Usually, a planar point set has (exponentially) many different triangulations, and many applications call for triangulations with certain good properties (see Figure 1). Optimal triangulations under various optimality criteria were extensively surveyed

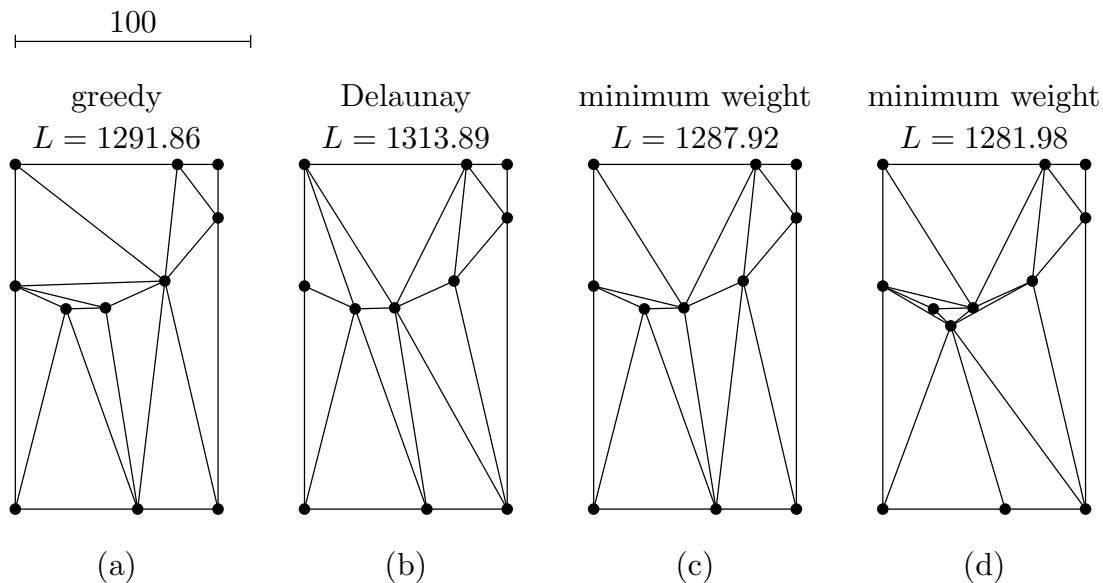


Fig. 1. A planar point set and different ways to triangulate it. The greedy triangulation (a) is constructed incrementally, always adding the shortest possible edge. In this example, it is shorter than the Delaunay triangulation (b), which avoids skinny triangles. A minimum weight triangulation for the point set is shown in (c). The length  $L$  of the MWT can decrease when additional Steiner points are allowed (d).

by Bern and Eppstein [1992].

The *Delaunay triangulation* is perhaps the best known triangulation. It arises as the dual of the Voronoi diagram and can be computed optimally in  $O(n \log n)$  time, using classical techniques [de Berg et al. 2000]. Since it simultaneously optimizes many objective functions (it maximizes the smallest angle, it minimizes the maximum circumcircle, as well as the maximum smallest enclosing circle of all triangles), it is often the triangulation of choice.

Certain other criteria, such as the minimum maximum angle, the maximum minimum height, or the minimum maximum distance of a triangle from its circumcenter, can be optimized in polynomial time using the *edge insertion* technique [Bern et al. 1993; Edelsbrunner et al. 1992], a sophisticated application of dynamic programming.

It makes a difference whether it is allowed to add new points, so-called *Steiner points*, to the planar point set. As Figure 1 shows, this can sometimes help to improve the objective function. Bern and Eppstein [1992] give many similar examples. Here we only mention one result by Eppstein [1994], who showed that the minimum weight *Steiner* triangulation can be approximated up to a constant factor in  $O(n \log n)$  time, using quadtrees.

## 1.2 History of the MWT problem

The minimum-weight triangulation problem dates back to the 1970s and has been called “perhaps the most longstanding open problem in computational geometry” [Bern and Eppstein 1992]. At the end of the classical book of Garey and Johnson [1979] on NP-completeness, there is a list of 12 major problems whose complexity status was open at the time of writing. With the present paper, ten problems from

this list have been resolved by proving NP-hardness or by exhibiting a polynomial-time algorithm (see [Johnson 2005] for a recent status update on the list). As of now, only two problems from the original list remain open, namely Precedence-Constrained 3-Processor Scheduling and the notorious Graph Isomorphism problem.

*Early attempts.* It seems that the MWT problem was first considered by D ppe and Gottschalk [1970] who proposed a greedy algorithm which always adds the shortest possible edge to the triangulation. Later, Shamos and Hoey [1975] suggested using the Delaunay triangulation as a minimum-weight triangulation. Lloyd [1977] provided examples which show that both proposed algorithms usually do not compute the MWT (Figure 1). He also shows that it is NP-complete to decide whether the edge set of a given planar straight-line graph (with crossing edges) contains a triangulation. After this, countless researchers attacked the MWT problem from many different angles. In one line of attack, researchers used classical optimization techniques such as dynamic programming or branch and bound, but this soon became infeasible. In other lines of research, people looked at relaxed variants of the problem: Maybe there exist reasonable restrictions of the problem for which efficient algorithms can be found, and if the problem cannot be solved exactly, maybe good approximations can be computed efficiently. Finally, there was an attempt to gain a better understanding of the geometric properties of the MWT in order to find footholds for effective heuristics. We now describe these approaches in more detail.

*Dynamic Programming.* Gilbert [1979] and Klincsek [1980] independently showed how to compute a minimum-weight triangulation of a simple polygon in  $O(n^3)$  time by dynamic programming. In fact, this problem has become one of the standard textbook examples (or exercises) for illustrating the dynamic programming paradigm. There have also been attempts to attack the general problem with dynamic programming techniques. For example, Cheng et al. [1995] used dynamic programming in order to compute a minimum-weight triangulation of a given point set  $S$  in  $O(n^{k+2})$  time if a subgraph of a MWT of  $S$  with  $k$  connected components is known. Using branch and cut, Kyoda et al. [1997] managed to compute MWTs of 100 points, but for large point sets mere dynamic programming becomes absolutely infeasible.

*Restricted Instances.* For restricted classes of point sets, it is possible to compute the MWT in polynomial time. For example, Anagnostou and Corneil [1993] gave an algorithm to compute the MWT of the vertex set of  $k$  nested convex polygons in  $O(n^{3k+1})$  time. More recently, Hoffmann and Okamoto [2006] showed how to obtain the MWT of a point set with  $k$  inner points in  $O(6^k n^5 \log n)$  time.

*Approximations.* In another line of attack, researchers were looking for triangulations that approximate the MWT. The Delaunay triangulation is not a good candidate, since it may be longer by a factor of  $\Omega(n)$  [Kirkpatrick 1980; Manacher and Zobrist 1979]. The greedy triangulation approximates the MWT by a factor of  $\Theta(\sqrt{n})$  [Manacher and Zobrist 1979; Levcopoulos 1987; Levcopoulos and Krzrnaric 1996]. Plaisted and Hong [1987] showed how to approximate the MWT up to a

factor of  $O(\log n)$  in  $O(n^2 \log n)$  time. Levcopoulos and Krznaric [1996] introduced quasi-greedy triangulations, which approximate the MWT within a constant factor. Remy and Steger [2006] discovered an approximation scheme for MWT that runs in quasi-polynomial time: for every fixed  $\varepsilon$ , it finds a  $(1 + \varepsilon)$ -approximation in  $n^{O(\log^8 n)}$  time.

*Subgraphs and supergraphs.* A different line of research tried to identify criteria to include or exclude certain edges of the MWT. Gilbert [1979] showed that the MWT always contains the shortest edge. Yang et al. [1994] extended this result by proving that edges which join mutual nearest neighbors are in the MWT. A larger subgraph of the MWT, the  $\beta$ -skeleton, was discovered by Keil [1994]. We describe it in Section 4.1. In practice, the  $\beta$ -skeleton has many connected components, and thus does not help much in computing a MWT. Often, the *LMT-skeleton heuristic* described by Dickerson et al. [1997] yields much better results. It uses the simple fact that a MWT is locally optimal in the sense that it cannot be improved by flipping the diagonals of a convex empty quadrilateral in the point set. The LMT-skeleton made it feasible to compute the MWT for larger, well-behaved point sets, and it has been the subject of numerous further investigations [Beirouti and Snoeyink 1998; Cheng et al. 1996; Aichholzer et al. 1999; Belleville et al. 1996; Bose et al. 2002].

Approaching the problem from the other direction, Das and Joseph [1989] defined the diamond test, which yields a supergraph of the MWT: An edge  $e$  can only be contained in the MWT if at least one of the two isosceles triangles with base  $e$  and base angles  $\pi/8$  is empty. This constant was improved to  $\pi/4.6$  [Drysdale et al. 2001] (see Figure 9 below). The diamond test gives an easy criterion to exclude impossible edges from the MWT. Usually, this eliminates all edges except a set of  $O(n)$  remaining candidate edges. (This statement is true for random point sets, with high probability. With bucketing techniques, such a set of  $O(n)$  edges can be found in linear expected time [Drysdale et al. 1995].)

### 1.3 Our Methods and Results

**1.3.1 Historical Perspective.** The crucial necessary condition for a geometric optimization problem to be NP-hard is that the solution depends non-locally on the data.

With the LMT-skeleton heuristic, it became feasible to compute minimum-weight triangulations fast enough that one could carry out experiments and play with various point sets. An instance of a non-local effect was hence discovered by Jack Snoeyink [Beirouti and Snoeyink 1998]: the so-called *wire* is a symmetric polygon that does not have a symmetric minimum-weight triangulation, and hence it has (at least) two different minimum-weight triangulations, see Figure 2.

Wires can be extended to any length, see Figure 3. *A small change in the point set at one end of the wire will cause the optimum triangulation to topple globally throughout the whole wire.* Wires can thus be used to “transmit information” from one area of the plane to a remote area (hence the name “wire”). We use various forms of wires as building blocks for constructing gadgets in our NP-hardness reduction.

Such a non-local effect is usually a strong indication that the problem is NP-hard.

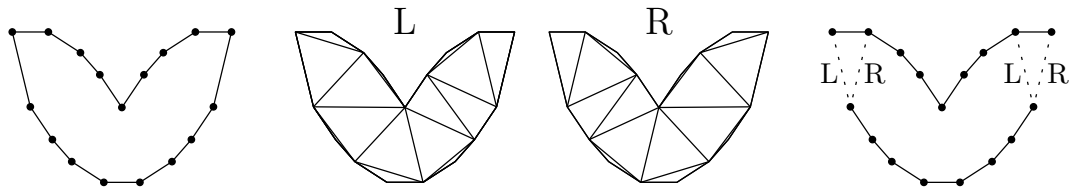


Fig. 2. A wire-piece and its two optimal triangulations. The two optimal triangulations are labeled as L and R, depending on whether the left (L) or right (R) arms of the isosceles terminal triangles, as shown dotted in the right part, belong to the triangulation. This convention is used throughout the paper.

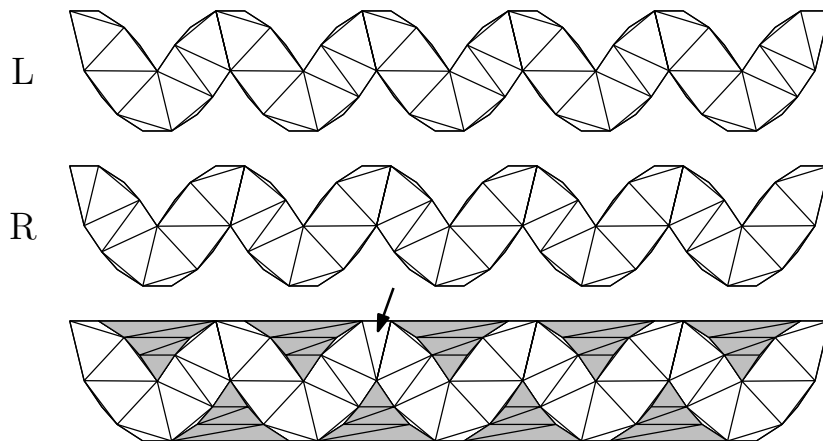


Fig. 3. A longer wire with several optimal triangulations. Besides the two triangulations of type L and R, there are mixed forms where the transition between L and R can occur in intermediate positions, as marked by an arrow in the lower example. If the wire is regarded as a point set, the shaded pockets outside the wire also have to be triangulated. For each pocket, there are four optimal triangulations. However, the pockets are independent of each other, and do not affect the properties of the wire.

Still, it took almost a decade until another crucial building block was designed: a gadget that allows several wires to meet and that carries out “logic” with the information that is transmitted by them (the so-called *C*-connection, see Figure 14). The design of this gadget heavily depended on computer assistance. We used an implementation of the LMT-skeleton heuristic using Otfried Cheong’s program IPE [Schwarzkopf 1995] as a graphical user-interface.

**1.3.2 Computer Assistance.** It seems inevitable that a NP-hardness proof for the MWT problem requires *some* amount of computer calculation, since computing the weight of a triangulation involves Euclidean distances, and thus square roots. Therefore, when comparing two triangulations that differ in more than a pair of edges, it is hard to compare their weight by just looking at them.

However, since the conference version of this paper [Mulzer and Rote 2006], we have made an effort to reduce the part of the proof that depends on computer assistance for verification. We have also made other simplifications of the proof and made it more accessible. (a) We have tried to simplify and specialize the problem as much as possible already at the logical (discrete) level. In particular, the PLANAR 1-IN-3-SAT problem is more specialized than in [Mulzer and Rote

2006] and does not need negations. (b) We designed the gadgets in such a way that the  $\beta$ -skeleton edges already form large connected components. This part of the construction is trivial to check by computer, and is open even to visual inspection. (c) In the proof, the gadgets split into modules (“pieces”) that can be analyzed separately. (d) The analysis of each module boils down to checking a small number of possibilities, each amounting to computing the minimum-weight triangulation of a simple polygon. This can be programmed from scratch in less than an hour’s work by an experienced programmer. (e) To make the computer-assisted part even safer, we have rerun the final calculations with interval arithmetic, using the open-source high-level programming language PYTHON, which automatically supports integer arithmetic of unbounded length.

The final computer runs to verify our gadgets took about 10 hours on a relatively slow computer. The code amounts to approximately 1000 lines. The more essential parts of the code are given in the technical report [Mulzer and Rote 2007, Appendix B].

## 2. OVERVIEW OF THE REDUCTION

We begin with a high-level overview of the geometric construction. We build our point set from a small number of tube-like *pieces* that fit together at the openings, where they share *terminal triangles*, see Figure 4. The boundary edges of the pieces must belong to every optimal triangulation, regardless of how we put the pieces together (Proposition 6.4 below). If we draw these edges we get a system of tubes, separated by polygonal holes. The holes can be triangulated in polynomial time by dynamic programming; The tubes will form the essential part of the gadgets that model the logical structure of a POSITIVE PLANAR 1-IN-3-SAT formula, whose satisfiability is NP-complete to decide, see Section 3.

For the analysis, we will show that at each terminal triangle, we have a choice of two edges to insert (Lemma 5.1). When one of the edges is inserted for every terminal triangle, the edges enclose a simple polygon, whose optimum solution can be computed by dynamic programming. Every piece can therefore be analyzed in isolation, by considering a small number of possibilities.

## 3. POSITIVE PLANAR 1-IN-3-SAT

In this section, we describe the POSITIVE PLANAR 1-IN-3-SAT problem, which we will use for our reduction.

*Definition 3.1.* Let  $\Phi$  be a Boolean formula in 3-CNF. The *associated graph* of  $\Phi$ ,  $G(\Phi)$ , has one vertex  $v_x$  for each variable  $x$  in  $\Phi$  and one vertex  $v_C$  for each clause  $C$  in  $\Phi$ . There is an edge between a variable-vertex  $v_x$  and a clause-vertex  $v_C$  if and only if  $x$  or  $\neg x$  appears in  $C$ .

The Boolean formula  $\Phi$  is called *planar* if its associated graph  $G(\Phi)$  is planar.

Lichtenstein [1982] showed that 3-SAT remains NP-complete if the input is restricted to a planar formula (the PLANAR 3-SAT problem). As Knuth and Raghunathan [1992] observed, Lichtenstein’s proof implies that it suffices to consider formulae whose associated graph can be embedded such that the variables are arranged on a straight line, with three-legged clauses above and below them. The

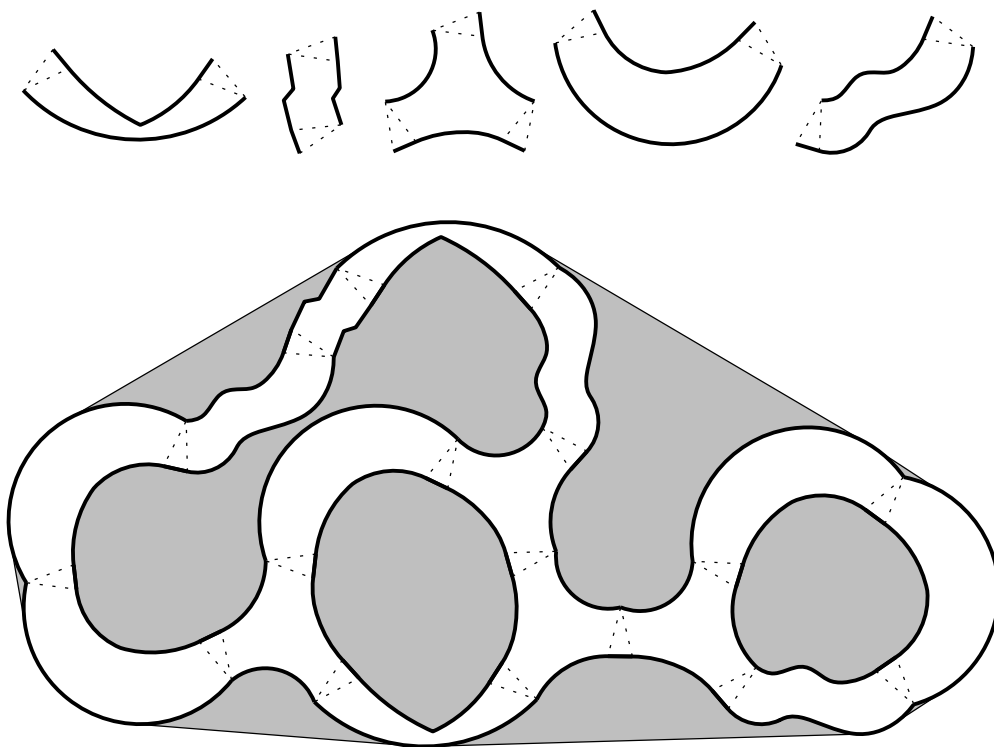


Fig. 4. A schematic view of the construction: From the five types of building blocks shown at the top, one can build a network of tubes like in the lower part. The area within this network is the interesting part where the simulation of the logic takes place. The *holes* inside the structure and the *pockets* between the structure and the convex hull (drawn shaded) form simple polygons that can be optimally triangulated in polynomial time.

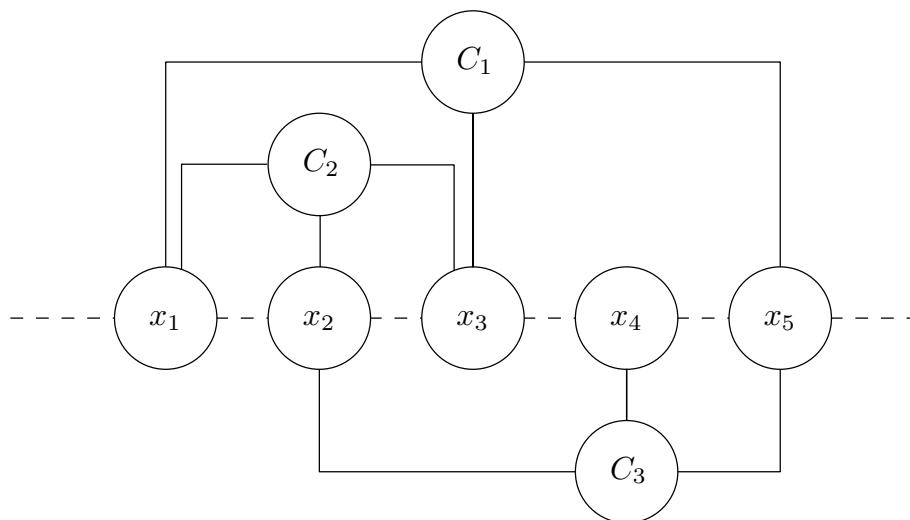


Fig. 5. A rectilinear embedding of graph that is associated with the Boolean formula  $(x_1 \vee \neg x_3 \vee x_5) \wedge (\neg x_1 \vee x_2 \vee x_3) \wedge (x_2 \vee x_4 \vee \neg x_5)$ .

edges between the variables and the clauses are embedded in a rectilinear fashion (see Figure 5).

In our reduction we will use a variant of PLANAR 3-SAT in which there are only positive variables and we ask for an assignment to the variables such that in each clause exactly one variable is set to true.

*Definition 3.2.* In the *POSITIVE PLANAR 1-IN-3-SAT* problem, we are given a collection  $\Phi$  of clauses containing exactly three variables together with a planar embedding of the associated graph  $G(\Phi)$  as described above.

The problem is to decide whether there exists an assignment of truth values to the variables of  $\Phi$  such that exactly one variable in each clause is true.

The POSITIVE 1-IN-3-SAT problem without the planarity restriction was shown to be NP-complete by Schaefer [1978]. This problem can also be interpreted as a SET PARTITIONING problem, where every element of the ground set has precisely three sets by which it can be covered. For completeness, we include a proof that the planar version of the problem is NP-complete.

**PROPOSITION 3.3.** *POSITIVE PLANAR 1-IN-3-SAT is NP-complete.*

**PROOF.** It is easy to verify in polynomial time that a given embedding of a formula is rectilinear (the validity of an instance) and that a given assignment has the 1-IN-3 property (the validity of a certificate). Hence, the problem is in NP. To show completeness, we describe a reduction from PLANAR 3-SAT. Let  $I$  be an instance of PLANAR 3-SAT, i.e. a 3-CNF formula  $\Phi$  and a planar, rectilinear embedding of the associated graph. We describe how to transform  $I$  into an instance of POSITIVE PLANAR 1-IN-3-SAT while maintaining the rectilinear embedding. We consider the clauses of  $\Phi$  one by one.

If clause  $C$  contains only one literal, it can easily be eliminated. If clause  $C$  contains two literals, say  $x$  and  $y$ , we can replace it by two three-variable clauses  $(x \vee y \vee z)$  and  $(x \vee y \vee \neg z)$ , where  $z$  is a new variable.

Before we consider clauses with three variables, we first discuss two useful gadgets which enforce equality and inequality between variables in terms of 1-IN-3 clauses.

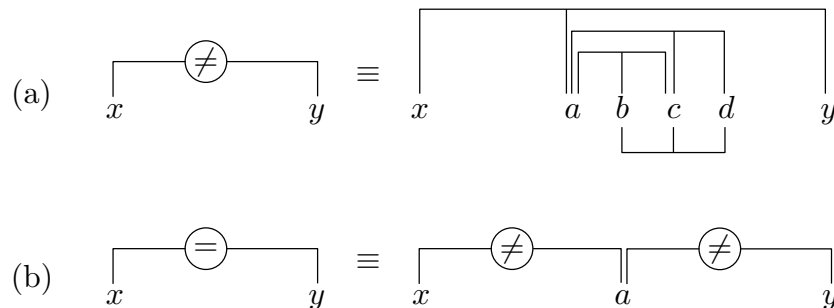


Fig. 6. The gadgets to enforce inequality (a) and equality (b) between two variables.



*The inequality gadget.* The inequality gadget serves to enforce the constraint  $x \Leftrightarrow \neg y$  for two variables  $x$  and  $y$ . We abbreviate it as  $(x \neq y)$ . It is implemented as

$$x \neq y \equiv (x, a, y) \wedge (a, b, c) \wedge (a, c, d) \wedge (b, c, d), \quad (1)$$

where  $a, b, c, d$  are new variables used only inside the gadget (see Figure 6a). Here, as always, we denote a 1-IN-3 clause by a triple  $(v_1, v_2, v_3)$ . The clause  $(v_1, v_2, v_3)$  is *satisfied* if exactly one of the variables  $v_1, v_2, v_3$  is true.

LEMMA 3.4. *Given  $x$  and  $y$ , the expression (1) is satisfiable iff exactly one of  $x$  and  $y$  is true.*

PROOF. The last three clauses enforce that  $a = 0$ , because otherwise we get  $b = c = d = 0$ , and the last clause is not satisfied. The first clause now ensures that exactly one of  $x$  and  $y$  is true.  $\square$

*The equality gadget.* Using two copies of the inequality gadget and an extra variable  $a$ , we can build a gadget to enforce the constraint  $x \Leftrightarrow y$  (see Figure 6b). We abbreviate it as  $(x = y)$ .

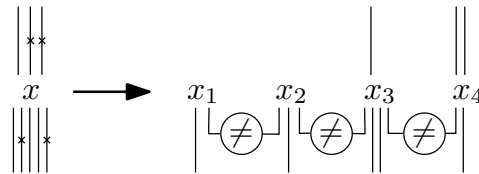


Fig. 7. Eliminating negations: The variable  $x$  in this example has eight connections, some of which are negated, as indicated by crosses. We replace  $x$  by a chain of variables  $x_1, x_2, \dots$  with alternating truth values and place the connections to the clauses containing  $x$  correspondingly.

*Elimination of negated variables.* To eliminate the negated variables in the original 3-SAT clauses, we replace a variable  $x$  by a chain of variables  $x_1, x_2, \dots$  and use the negation gadget to enforce the appropriate relations between them (see Figure 7).

*Transformation of disjunctions.* It remains to handle a disjunctive clause  $C = (x \vee y \vee z)$  with three literals  $x, y$ , and  $z$ .  $C$  can be replaced by

$$(x, u, a) \wedge (y, u, b) \wedge (a, b, q) \wedge (u = c) \wedge (d \neq z) \wedge (c, d, r). \quad (2)$$

See Figure 8. Note that there is space to accommodate the middle legs of the equality and inequality gadgets.

LEMMA 3.5. *Given  $x, y$ , and  $z$ , the expression (2) is satisfiable iff  $x \vee y \vee z$  holds.*

PROOF. The clause  $(c, d, r)$  is equivalent to  $\neg c \vee \neg d$ , since the variable  $r$  appears nowhere else. Hence  $c \rightarrow \neg d \iff u \rightarrow z$  by the third and fourth constraint. Thus, satisfiability of the last three constraints is equivalent to  $u \rightarrow z$ .

Now, if  $u = 1$ , the first three clauses can be satisfied only by  $x = y = 0$ , and we must have  $z = 1$ . The third clause is satisfied by setting  $a = b = 0$  and  $q = 1$ . For  $u = 0$ , the first two clauses reduce to  $x \neq a$  and  $y \neq b$ . Since  $q$  appears nowhere

else, the clause  $(a, b, q)$  is equivalent to  $\neg a \vee \neg b \iff x \vee y$ . The value of  $z$  can be arbitrary in this case.  $\square$

To make sure that  $x$  and  $z$  remain reachable from other clauses, we also add two additional variables  $x'$  and  $z'$  and equality constraints. Clauses that were above the variables and nested between  $x$  and  $y$  can now connect to  $x'$  instead of  $x$ , and those nested between  $y$  and  $z$  can connect to  $z'$  instead of  $z$ .

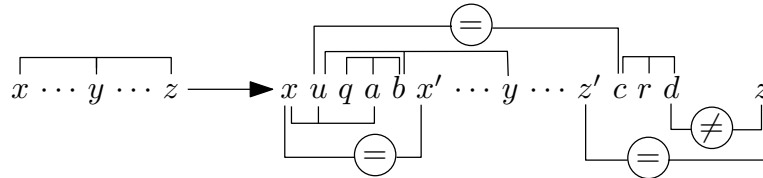


Fig. 8. The modification for a clause  $x \vee y \vee z$  with three literals.

This transformation can be carried out in polynomial time, and by construction, the transformed positive formula has a rectilinear embedding, and it has a 1-IN-3 assignment if and only if the original formula is satisfiable.

#### 4. GEOMETRIC VALIDATION TOOLS

We summarize the geometric and computational tools we need for establishing properties of the gadgets and their minimum-weight triangulations.

##### 4.1 The $\beta$ -Skeleton

The  $\beta$ -skeleton of a point set  $S$  is defined as the set of all edges  $pq$  between two points of  $S$  such that the two circles of diameter  $\beta \cdot |pq|$  passing through  $p$  and  $q$  are empty, see Figure 9. It provides a sufficient condition for including edges in the minimum-weight triangulation:

**THEOREM 4.1.** *The  $\beta$ -skeleton is a subgraph of the MWT for*

$$\beta = \sqrt{1 + \sqrt{4/27}} \leq 1.17682.$$

$\square$

Theorem 4.1 was first proven by Keil [1994] for  $\beta \leq \sqrt{2}$  and was later improved by Cheng and Xu [1996]. The value for  $\beta$  is nearly optimal, since there is a lower bound of  $\sqrt{5/4 + \sqrt{1/108}} \approx 1.16027$  [Wang and Yang 2001]. In Proposition 6.4, we will use the  $\beta$ -skeleton to identify the *boundary* edges of our gadgets, which must belong to every optimal triangulation. Since the  $\beta$ -skeleton is defined by a local condition, it is very easy to verify that a certain edge is a boundary edge. Our gadgets have many points, hence we used a computer to check the  $\beta$ -skeleton property of our boundary edges.

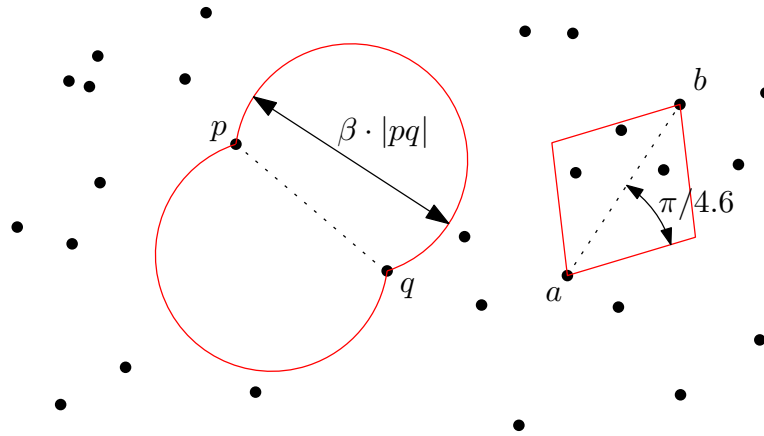


Fig. 9. An edge  $pq$  of the  $\beta$ -skeleton. The edge  $ab$  does not belong the MWT since the diamond test is violated.

## 4.2 Triangulating a Polygon by Dynamic Programming

As we explained above, the analysis of our reduction essentially boils down to computing the minimum-weight triangulation of a small number of simple polygons. Gilbert [1979] and Klincsek [1980] independently showed how to do this:

**PROPOSITION 4.2.** *The minimum-weight triangulation of a simple polygon can be computed in  $O(n^3)$  time.  $\square$*

Proposition 4.2 is proved by a straight-forward application of dynamic programming, and we implemented a variant of the algorithm in PYTHON. (The source code of our implementation is given in the technical report [Mulzer and Rote 2007, Appendix B.2].) The dynamic programming algorithm assumes an idealized model in which arithmetic with edge lengths can be done exactly. This means that sums of radicals (i. e. Euclidean distances) need to be compared in constant time. However, it is not known how to do this even in polynomial time [Blömer 1991]. Therefore, our program uses interval arithmetic to compare sums of distances, and for all our gadgets it computes an unambiguous answer.

Our program identifies the edges in a minimum-weight triangulation  $T$  inside a given simple polygon  $P$ . We call the total weight of these edges the *internal cost* of  $P$  and denote it by  $c(T)$ . The internal costs computed by our program will be discussed extensively in Section 6.1. It is easy to modify the program so that it computes the optimum under the restriction that certain edges are forbidden. This is needed for the proof of Lemma 5.1.

## 5. TERMINAL TRIANGLES

The point set is constructed from small *elementary pieces* that fit together at *terminal triangles*. These terminal triangles are isosceles triangles  $xyz$  with base  $yz$ , that lie symmetrically with respect to a coordinate axis, and they come in two sizes (small and large). The coordinates of vertical terminal triangles are given in Table I. See Figure 10 or 16 for an illustration. These triangles can be rotated by multiples of  $90^\circ$ , and translated by multiples of 0.01 in each coordinate. The large triangle is a scaled copy of the small triangle, by a factor of 4.3.

	$x$	$y$	$z$	$\delta$
small	(0.00, 0.00)	( -2.7 , 11.2 )	( 2.7 , 11.2 )	$\delta_{\text{small}} = 5.655172$
large	(0.00, 0.00)	(-11.61, 48.16)	(11.61, 48.16)	$\delta_{\text{large}} = 24.06$

Table I. Terminal triangles. The “difference terms”  $\delta$  will be described below in Section 5.1.

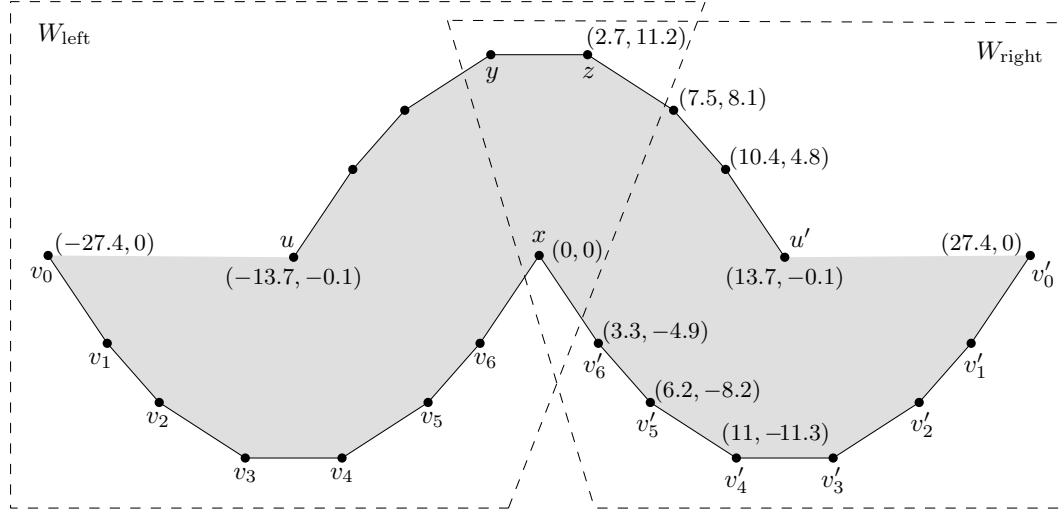


Fig. 10. The point set  $W$  in Lemma 5.1

The basic pieces that we construct are point sets with two or three terminal triangles. The  $\beta$ -skeleton edges will form a simple polygon through these points, with a missing edge at each terminal triangle. We will show that in each terminal triangle, the edge  $xy$  or the edge  $xz$  must be present in every minimum-weight triangulation (Lemma 5.1). Thus, in order to determine how a piece with  $k$  terminals can be triangulated in a minimum-weight triangulation, it suffices to consider  $2^k$  possibilities for the positions of each terminal edge. For each possibility, we find the optimum solution by dynamic programming.

Let  $W$  be the point set given in Figure 10, which forms part of the wire mentioned in the introduction (see Section 1.3.1). It is symmetric about the  $y$ -axis. The points  $v_0$ ,  $x$ , and  $v'_0$  lie on the  $x$ -axis, and the points  $u$  and  $u'$  lie 0.1 units below this line. The left and right halves of the lower boundary are equal, and they are equal to the upper boundary (turned by  $180^\circ$ ). We denote by  $W_{\text{left}}$  and  $W_{\text{right}}$  the left half and the right half of the set  $W$ , up to and including the points  $x$ ,  $y$ , and  $z$ .

**LEMMA 5.1.** *Let  $P$  be a point set that contains a (translated, scaled, rotated) copy of the point set  $W$ , but no other points in the shaded polygon of Figure 10. Any minimum-weight triangulation  $T$  of  $P$  that contains all edges shown in Figure 10 must contain at least one of the edges  $xy$  or  $xz$ .*

**PROOF.** The proof distinguishes 21 cases and deals with each case by a small calculation.

Since in any triangulation, the point  $u$  must be incident to some edge that emanates into the lower half-plane,  $T$  must contain at least one of the edges  $uv_1, uv_2, \dots, uv_6$ . Similarly,  $T$  must contain at least one of the edges  $u'v'_1, u'v'_2, \dots, u'v'_6$ . Now, for each  $i, j = 1, \dots, 6$ , the edges  $uv_i$  and  $u'v'_j$  enclose a simple polygon.

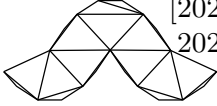


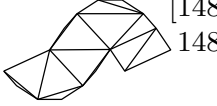



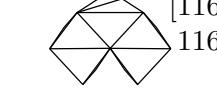


	without $xy$ and $xz$	optimal triangulations	
$v_1, v'_1$ 4.00304	 [202.72577, 202.72594]	 [198.72255, 198.72273]	 [198.72255, 198.72273]
$v_1, v'_6$ 4.50555	 [148.70730, 148.70742]	 [144.20162, 144.20175]	
$v_4, v'_6$ 4.67193	 [116.15557, 116.15566]	 [111.48354, 111.48364]	
$v_5, v'_5$ 3.83677	 [116.25971, 116.25980]	 [112.42284, 112.42294]	 [112.42284, 112.42294]

Table II. The optimum triangulations with and without the edges  $xy$  and  $xz$ , for a selection of polygons bounded by edges  $uv_i$  and  $u'v'_j$ , as indicated in the left column. The second column shows an optimal triangulation without the edges  $xy$  and  $xz$ , together with the interval for its internal cost, calculated to 5 digits after the decimal point. (There are always at least two optimal triangulations, since the upper diagonal in the middle can be flipped. The program has picked one solution whose lower interval bound is smallest.) The remaining columns list all candidates for optimal solutions. One can check that all these solutions contain the edges  $xy$  and  $xz$ . (In fact, one can see that, if there are two optimal solutions in a row, they must have exactly the same weight since they contain parts which can be replaced by symmetric parts.) The lower bound on the difference between the optimum in the third column and the optimum in the second column is given in the first column. The smallest difference (3.83677) occurs for the case  $v_5, v'_5$ . The numbers in this table were computed with a PYTHON program written the authors. (The code is given in the technical report [Mulzer and Rote 2007, Appendix B.4].)

For each polygon, we calculated, by dynamic programming, the optimum triangulation as well as the optimum triangulation that uses none of the edges  $xy$  and  $xz$ . By symmetry, we have to consider only  $1 \leq i \leq j \leq 6$ . A few representative cases are shown in Table II. (The full table with all cases is given in the technical report [Mulzer and Rote 2007].)  $\square$

We remark that the lemma is somewhat robust against perturbations and remains true even if all points of  $W$  are moved by a small distance. Figure 11 shows that small perturbations do not make triangulations feasible or infeasible. The triangulations in Table II contain at most 18 internal edges. If each point is moved by at most  $\sigma := 0.04$ , the length of each edge changes by at most  $2\sigma$ , and the weight of any triangulation changes by at most  $36\sigma = 1.44$ .

Since the difference between the optimal triangulation and the best triangulation without the edges  $xy$  and  $xz$  is bigger than  $3.83677 > 2 \cdot 1.44$ , the claim of the lemma is not invalidated if each point is moved by at most 0.04 in any direction. We will use this observation in Section 6, where we describe a version of the wire-piece in which the offset between the terminal triangles is slightly larger than in the standard wire-piece. This makes it possible to build wires of arbitrary length (see Lemma 6.1).

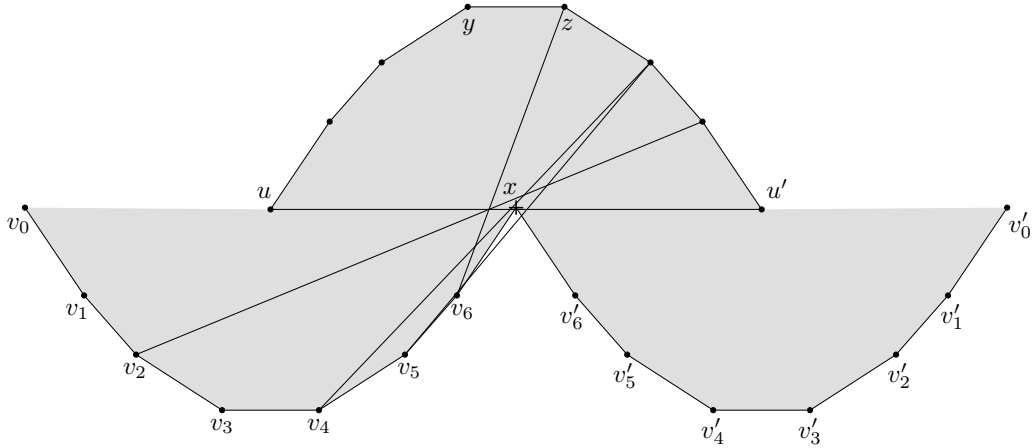


Fig. 11. The point set  $W$  in Lemma 5.1 is in sufficiently general position.

### 5.1 Putting pieces together

When putting pieces together, we make sure that Lemma 5.1 can be applied at the junction: at every terminal triangle, the piece contains a copy of half of the point set  $W$  (possibly rotated, and possibly scaled by 4.3). This allows us to split the input set into components at the terminal triangles. For each terminal triangle  $xyz$ , we need to consider just the two choices  $xy$  and  $yz$  for the *terminal edges*. We denote these choices by L and R, depending on whether we use the left or right arms, as viewed from the tip of the terminal triangle.

When determining the behavior of a piece with  $k$  terminals in a minimum-weight triangulation, we have to compare the optimal triangulations for the  $2^k$  choices for the positions of the  $k$  terminal edges. However, these triangulations cover different areas and cannot be compared directly. Depending on whether the terminal edge  $xy$  or the terminal edge  $xz$  is chosen at some given boundary, the triangulated area excludes or includes the area of the terminal triangle itself, and the optimal triangulation can thus be expected to be cheaper or more expensive. To offset this effect, we define the *reduced weight* of a triangulation  $T$ ,  $\bar{c}(T)$ , by adding a penalty or subtracting a bonus term from the actual weight. This will allow us to see directly which configurations of terminal edges are better than others, and which configurations cannot possibly be part of an optimal triangulation.

More precisely, we define a difference term  $\delta$  that depends on the size of the triangle (large or small), see Table I. When comparing the costs of different triangulations of a piece or of different pieces, we only look at the *internal cost*, the cost of the internal edges, since the boundary edges are fixed. When the triangulated area of a piece includes the terminal triangle, we subtract  $\delta$  from the actual weight; otherwise we add  $\delta$ . The precise values of  $\delta_{\text{small}}$  and  $\delta_{\text{large}}$  are not important and have been chosen to make the proofs convenient. The following lemma holds irrespective of the values of  $\delta_{\text{small}}$  and  $\delta_{\text{large}}$ .

LEMMA 5.2. *Consider a layout of pieces  $S$  where every terminal triangle is shared by two pieces. For each terminal triangle, fix one of its two long sides. Consider the minimum-weight triangulation  $T$  of  $S$  that is restricted to contain those fixed edges as well as all piece boundaries. For each piece, calculate the re-*

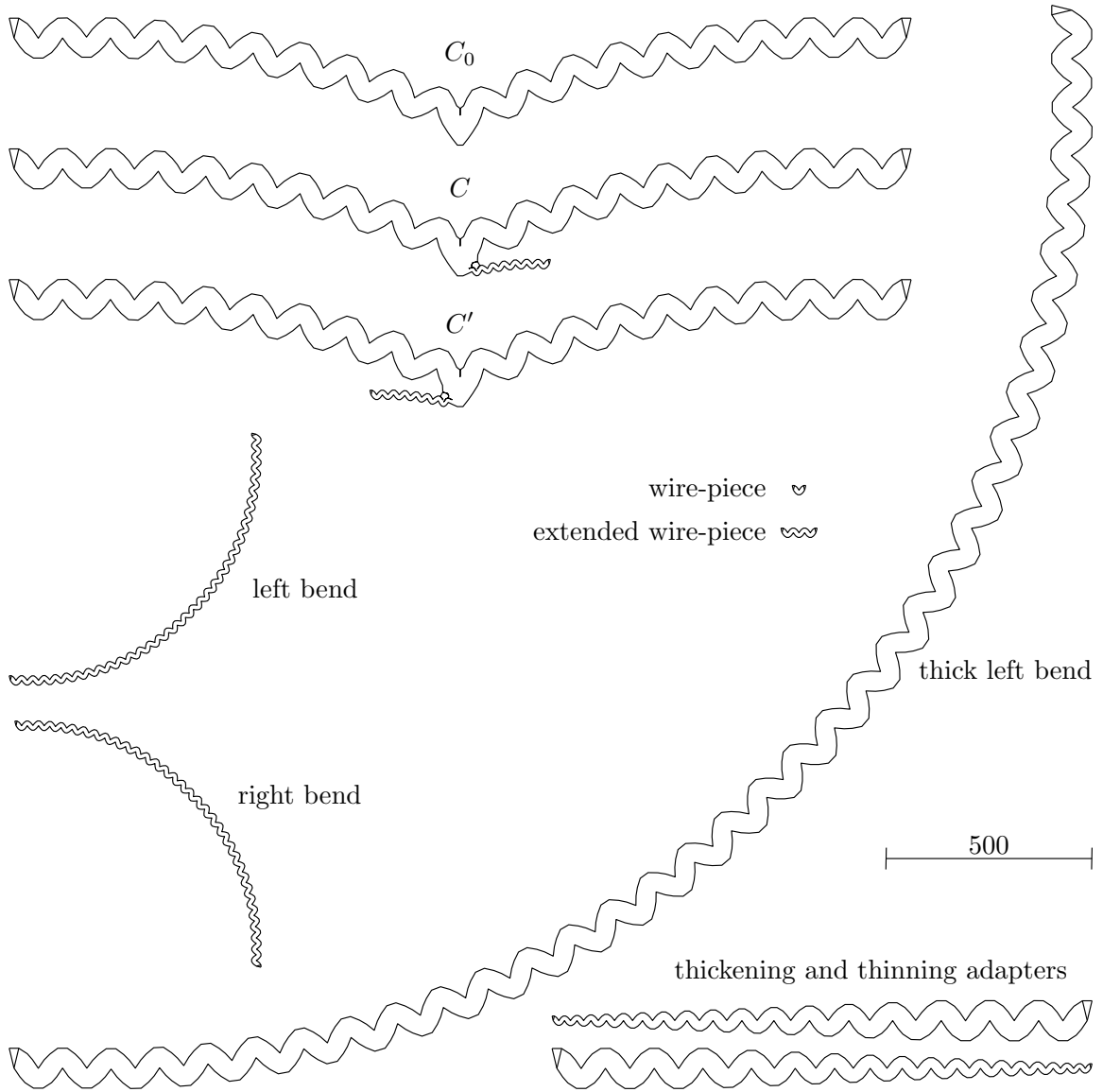


Fig. 12. All elementary pieces

duced internal weight of the minimum-weight triangulation of the piece bounded by the given fixed edges.

Then the overall reduced internal weight of all pieces differs from the total weight of the triangulation  $T$  by a constant that is independent of the choice of the fixed edges.

PROOF. In total, the effect of adding and subtracting  $\delta$  cancels on the two sides of each terminal triangle. The cost of the terminal edge ( $xy$  or  $xz$ ) itself is not accounted for in the sum of internal costs, but since  $xy$  and  $xz$  have the same length, this amounts to a constant difference. The boundaries are also fixed, and so is the cost of triangulating the pockets and the holes.  $\square$

We will see below (Proposition 6.4) that all boundary edges are part of the MWT, since they belong to the  $\beta$ -skeleton. We know from Lemma 5.1 that, by choosing a terminal edge from each terminal triangle in all possible ways, we are guaranteed

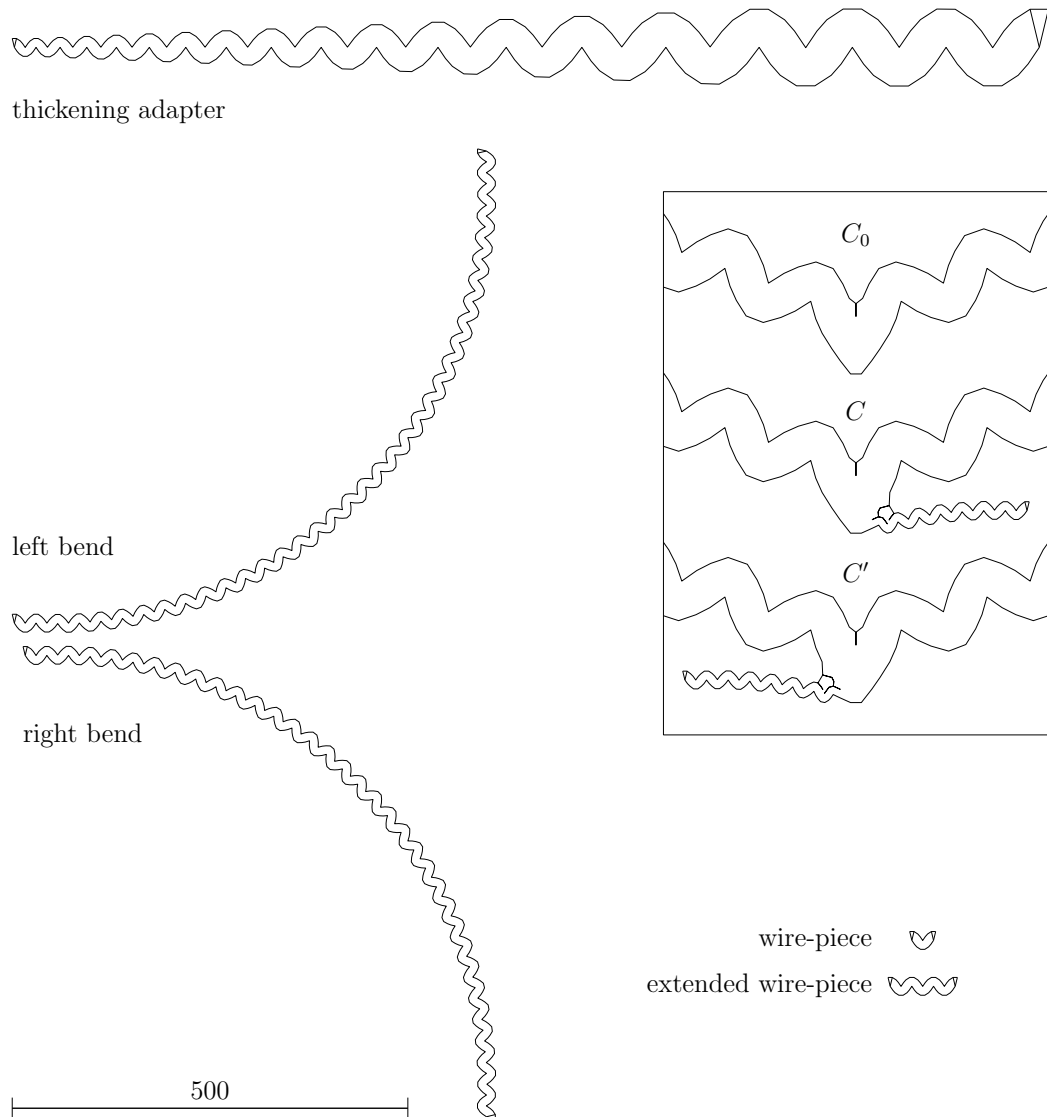


Fig. 13. Enlarged view of the small elementary pieces

to find the optimal triangulation. The consequence of Lemma 5.2 is that we need only look at the reduced (internal) cost when comparing these choices.

## 6. ELEMENTARY PIECES

We have 10 elementary pieces, shown in Figures 12–14: The (i) *wire-piece* (Figure 16) is the main tool achieving a non-locality for conveying information over long distance. As mentioned in the introduction, such wire-pieces were originally conceived by Jack Snoeyink [Beirouti and Snoeyink 1998]. In order to construct wires of arbitrary length, we also have (ii) an *extended wire-piece*. (Figure 16). The horizontal offset between the two terminal triangles of a wire-piece is 27.4, i.e., with these wire-pieces alone, one can bridge distances which are multiples of 27.4. The offset for the extended wire-piece is  $82.21 = 3 \cdot 27.4 + 0.01$ . Thus, with the right combination of wire-pieces and extended wire-pieces, one can form a straight connection of arbitrary length, provided it is long enough:



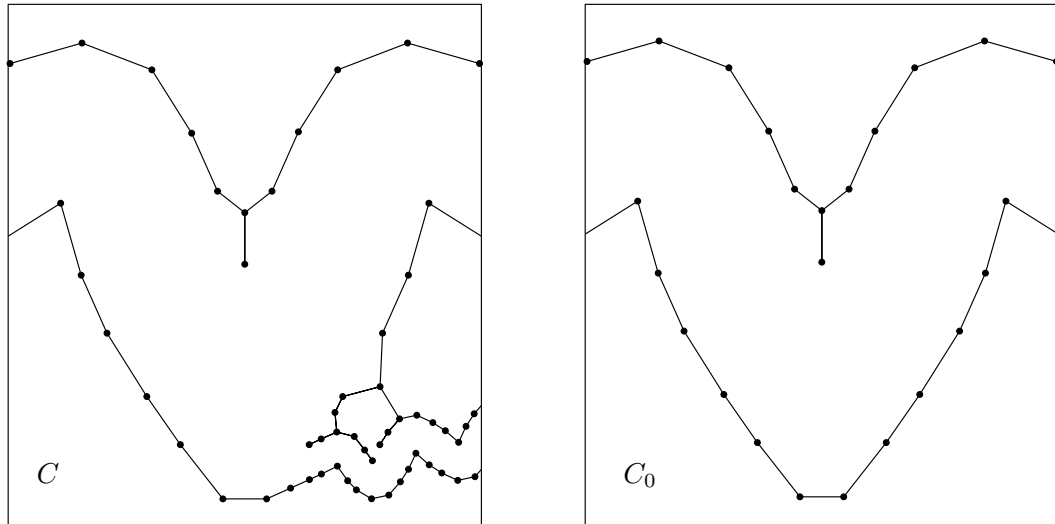


Fig. 14. Enlarged view of the central part of the  $C$  and  $C_0$  connections.

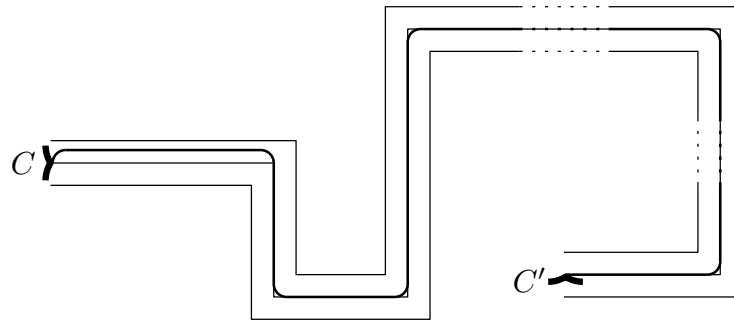


Fig. 15. Tracing a wire through a rectangular corridor, forming a so-called  $C$ - $C'$ -link (see Section 7.2.) The dotted parts are long enough to allow connections of any length (Lemma 6.1).

LEMMA 6.1. *Consider two small vertical terminal triangles at the same height with a horizontal distance  $d > 230\,000$  that is a multiple of 0.01. Then the two triangles can be connected by a sequence of wire-pieces and extended wire-pieces.*

*An analogous statement holds for vertical connections.*

PROOF. If the distance between two terminal triangles is  $d = 0.01 \cdot z$  for some integer  $z \geq 3 \cdot 2740 \cdot 2739 = 22\,514\,580$ , they can be connected by concatenating  $y := z \bmod 2740$  extended wire-pieces with  $\lfloor z/2740 \rfloor - 3y$  wire-pieces.  $\square$

The work-horse of our gadgets is (iii) the  $C$ -connection and its mirror image, (iv) the  $C'$ -connection. These are the only pieces with three terminal triangles, two large ones and a small one. They serve two purposes: they allow us to introduce branches into the network of wires, and they effect “negation” of the information that is transmitted through the wires. We also have a (v)  $C_0$ -connection with only two terminals. It serves as a placeholder for the  $C$  or  $C'$  connection when the third terminal is not needed.

The remaining pieces are variations of the wire-piece for building more flexible wire shapes: The (vi) *left bend* and the (vii) *right bend* allow us to introduce arbitrary turns into wires. The vertical part of the left bend corresponds to a wire-

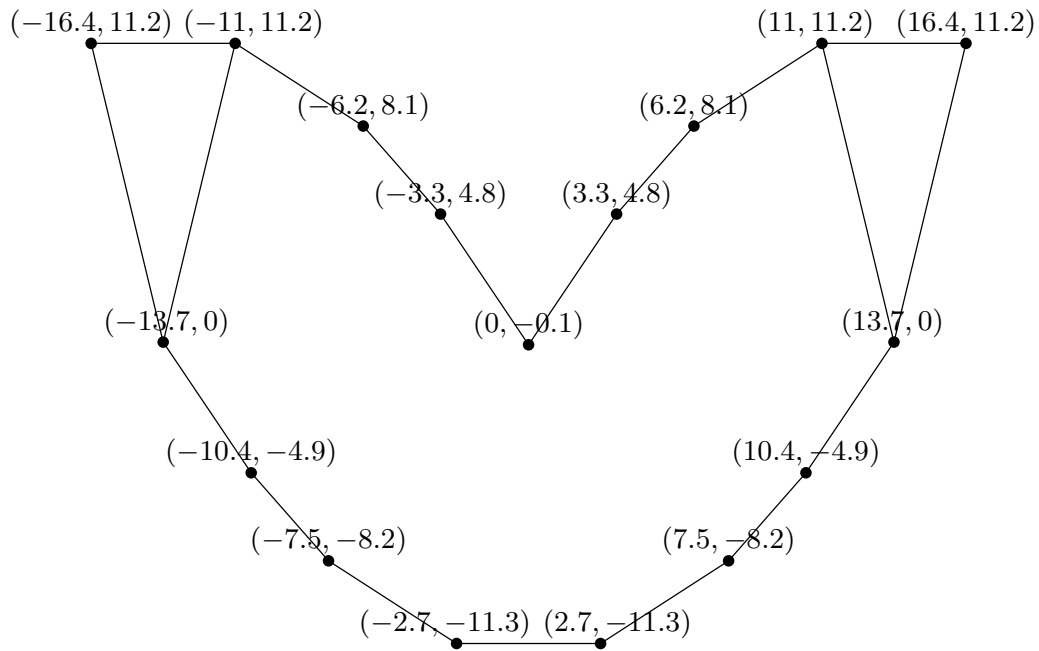


Fig. 16. The coordinates of a wire-piece.

piece that is rotated  $90^\circ$  counter-clockwise, whereas the vertical part of a right bend corresponds to a wire-piece that is rotated  $90^\circ$  clockwise. We need both a left and a right bend, because each piece fits to a given terminal triangle of another piece only at one end.

With these pieces, we can arrange wires to follow any rectangular layout, provided we blow the layout up sufficiently, see Figure 15:

**LEMMA 6.2.** *Given a  $C$ -connection piece and a  $C'$ -connection piece and a rectangular path between them, the small triangles of the two pieces can be connected by a sequence of wire-pieces, extended wire pieces, left and right bends, within the corridor of width 2000 around the path, provided that the path contains a straight portion of length at least 250 000, both in the horizontal and in the vertical direction, and the corridor does not interfere with other pieces or intersect itself.*

**PROOF.** The left and right bend both fit into a box of size  $700 \times 700$ , and by Lemma 6.1, we can bridge any distance larger than 230 000 in the horizontal and vertical direction. The wires can be positioned sufficiently far away from the boundary of the rectangular strip to make sure that the boundaries of different connections do not interfere with each other.  $\square$

We also have (viii) a *thick left bend*, which is just a scaled copy of the left bend.

Finally, we have two *resizing wire-pieces*: the (ix) *thickening adapter* and its mirror image, the (x) *thinning adapter*. They are used to interpolate between small and large terminal triangles in the clause gadgets.

Figure 16 shows the coordinates of the wire-piece. The complete data for the pieces are available on the Internet.<sup>1</sup>

<sup>1</sup><http://www.inf.fu-berlin.de/inst/ag-ti/people/rote/Software/MWT/all-coordinates.txt>

The directory <http://www.inf.fu-berlin.de/inst/ag-ti/people/rote/Software/MWT/> also contains

PROPOSITION 6.3. *The elementary pieces have the following properties:*

*All coordinates are multiples of 0.0001. Each piece contains two or three copies of a terminal triangle, together with a copy of  $W_{\text{left}}$  or  $W_{\text{right}}$  (Figure 10), possibly rotated by  $90^\circ$ , and possibly scaled by 4.3. The coordinates of the terminal triangles are multiples of 0.01.  $\square$*

Each piece has two terminal triangles, with the exception of the connection pieces, which have three terminal triangles, as shown in the figures. The edges shown in the figure, with the exception of the two equal sides of each terminal triangle, form the *boundary* of the pieces.

PROPOSITION 6.4. *The boundary edges of all elementary pieces are contained in the  $\beta$ -skeleton, for  $\beta = 1.1806$ . This remains true when the pieces are connected using their terminal triangles, as long as the boundary part of different pieces are sufficiently far apart. Hence, all boundary edges belong to the MWT.*

PROOF. The lemma can be verified manually by inspecting the relevant regions for the boundary edges. Since the number of boundary edges is very large, we have implemented a computer program which computes the  $\beta$ -skeleton. The source code can be found in the technical report [Mulzer and Rote 2007, Appendix B.3].  $\square$

The boundary decomposes into two or three connected *boundary* pieces. As can be seen in Figure 14, some boundary pieces are not just paths, but they form trees.

### 6.1 Analysis of the Pieces

In this section we discuss the exact properties of our gadgets as they were computed by our computer program. We present tables which show the internal cost, the reduced internal cost  $\bar{c}$  (see Section 5.1) and the *relative reduced internal cost*  $\tilde{c}$  (i.e., the difference between the reduced cost of a certain configuration and the minimum reduced cost incurred by any configuration of a gadget).

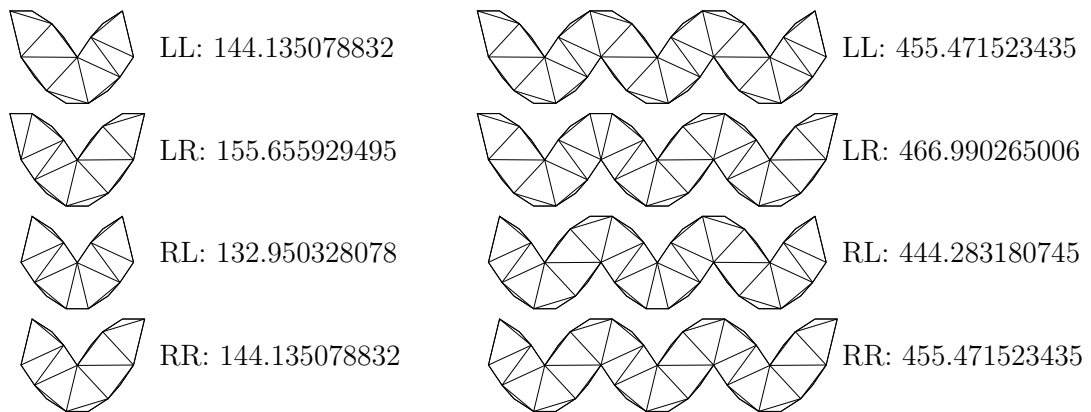


Fig. 17. Optimal solutions for all cases for the wire-piece and the extended wire-piece

For example, let us look at the extended wire-piece. It has two terminal triangles. For each of the four combinations of states, LL, LR, RL, and RR, the right part

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the full version of the technical report, with more illustrations, and the computer programs.

of Figure 17 shows an optimal solution, together with the internal cost. Table III summarizes this information. The second column lists the number of optimal solutions (or potential optimal solutions, i. e., all solutions which the program could not exclude from being an optimal solution, with the given precision of the calculation). Indeed, looking back at Figure 17, one can see that the solution for case LR has a symmetric copy with the same cost. The astute reader may wonder why the multiplicities for cases LR and RL are not larger. For example, it looks as if the isosceles “ $xyz$ ” triangle for case LR could be placed also at the very left end or at the very right end. However, this is not the case, since the three repetitions of the wire-piece that constitute the extended wire-piece are not exact repetitions: the ends have been moved apart, stretching the middle piece and thus causing a deviation from the regular periodical pattern. The third column of Table III lists the internal cost, repeating the information from Figure 17.

pattern	multiplicity	internal cost $c$	reduced internal cost $\bar{c}$	relative reduced cost $\tilde{c}$
LL	1	455.471 523 435	455.471 523 435	0.000 000 000
LR	2	466.990 265 006	455.679 921 006	0.208 397 570
RL	1	444.283 180 745	455.593 524 745	0.122 001 310
RR	1	455.471 523 435	455.471 523 435	0.000 000 000

Table III. Analysis of the extended wire-piece

The fourth column is the reduced internal cost  $\hat{c}$ , obtained by adding or subtracting  $\delta_{\text{small}}$ , as appropriate. For example, for case LR, we have to subtract  $2\delta_{\text{small}} = 11.310\,344$ , since this triangulation covers the larger area on both the left and the right end. For cases LL and RR, addition and subtraction of  $\delta_{\text{small}}$  cancel, and the reduced cost remains unchanged.

The rightmost column reduces all numbers by subtracting the column minimum, to make the differences more visible. It is now plain to see that LL and RR have the same cost, which is also obvious by symmetry. We denote these *relative reduced costs* by  $\tilde{c}$ , and we think of them as *penalties* for deviating from the “ground state” where the smallest reduced cost is achieved. They are non-negative by definition.

The corresponding table for all pieces, together with pictures of the optimal triangulations, are given in the full technical report [Mulzer and Rote 2007, Appendix A]. Table IV condenses the information for all pieces with two terminals.

Since the pieces are symmetric, LL and RR always have the same cost. The only exceptions are the resizing pieces. Let  $\varepsilon_1 \approx 0.000\,051$  be the relative reduced cost of the thickening adapter in state LL.

The  $C$ -connection (and its mirror image,  $C'$ ) is the only piece with three terminals. The results for this piece is shown in Table V. We encode the states by three letters  $ABc$ , where the capital letters  $A$  and  $B$  refer to the left and right large terminal triangles (L or R), as usual, and  $c$  refers to the small terminal triangle (l or r).

All tables were computed using fixed-precision interval arithmetic with 15 decimal digits after the decimal point (i. e., multiples of  $10^{-15}$ ). The resulting intervals were rounded to 9 digits for displaying. It turned out that the intervals were small enough so that they could be rounded to unique 9-digit numbers. Thus, for

	wire-piece	extended wire-piece	thickening adapter	thinning adapter
LL	0.000 000 000	0.000 000 000	0.000 051 402 ... = $\varepsilon_1$	0.000 000 000
LR	0.210 506 663	0.208 397 570	0.018 887 246	0.018 887 246
RL	0.125 593 246	0.122 001 310	0.014 627 250	0.014 627 250
RR	0.000 000 000	0.000 000 000	0.000 000 000	0.000 051 402 ... = $\varepsilon_1$

	$C_0$ connection	left bend	right bend	thick left bend
LL	0.000 000 000	0.000 000 000	0.000 000 000	0.000 000 000
LR	0.044 001 701	0.086 460 895	0.210 506 663	0.891 261 046
RL	0.020 571 757	0.125 593 246	0.125 593 246	0.020 571 757
RR	0.000 000 000	0.000 000 000	0.000 000 000	0.000 000 000

 Table IV. The relative reduced costs  $\tilde{c}$  for the pieces with two terminals

	$C$	relative reduced cost	$C'$	
LLl		0.003 861 076 ... = $\delta_1$		RRr
LLr		0.000 001 575 ... = $\varepsilon_2$		RRl
LRl		0.029 994 716		LRr
LRr		0.026 135 215		LRI
RLl		0.020 571 757		RLr
RLr		0.020 573 332		RLl
RRl		0.000 000 000 = 0		LLr
RRr		0.004 630 878 ... = $\delta_2$		LLl

 Table V. All cases for the analysis of the pieces  $C$  and  $C'$ . Since  $C'$  is the mirror image of  $C$ , it is represented in the same table.

example, 0.000 051 402 denotes a number that is guaranteed to lie strictly between 0.000 051 401 5 and 0.000 051 402 5.

By inspecting Tables IV and V, one can see that there is a penalty for having two triangles of different states. If both terminal triangles of a piece with two terminal triangles, or the two large terminal triangles of  $C$  or  $C'$ , are in a different state, we call this a *breach*. A breach is so expensive that it will never occur in an optimal solution. We summarize this information for later use.

**PROPOSITION 6.5.** *In every piece, a breach has relative reduced cost at least  $\delta_3 := 0.01$ . If there is no breach, the relative reduced cost is zero, except for  $C$ , and  $C'$ , and the resizing wire-pieces. For the resizing wire-pieces, the relative reduced cost is at most  $\varepsilon_1 < 0.000 051$ .  $\square$*

It follows that long wires have a preference to be triangulated uniformly:

LEMMA 6.6 (THE WIRE LEMMA). *Let  $T_1, T_2$  be two small terminal triangles connected by an arbitrary sequence of wire-pieces, extended wire-pieces, and left and right bends. If  $T_1$  and  $T_2$  are in the same state, all the connecting pieces are in that state and the relative reduced cost is 0. If  $T_1$  and  $T_2$  are in different states, the relative reduced cost is at least  $\delta_3 = 0.01$ .  $\square$*

The states of  $C$  and  $C'$  without a breach are LLl, LLr, RRl, and RRr. From Table V, one sees that the preferred states are LLr, and RRl, where the small triangle has the opposite state from the large triangles. We call these two states the *consistent* states, and the states LLl and RRr *inconsistent*. We define

$$\varepsilon_2 := \tilde{c}(C, \text{LLr}) = \bar{c}(C, \text{LLr}) - \bar{c}(C, \text{RRl}) = c(C, \text{LLr}) - c(C, \text{RRl}) - 2\delta_{\text{small}}$$

$$\delta_1 := \tilde{c}(C, \text{LLl}) = \bar{c}(C, \text{LLl}) - \bar{c}(C, \text{RRl}) = c(C, \text{LLl}) - c(C, \text{RRl})$$

$$\delta_2 := \tilde{c}(C, \text{RRr}) = \bar{c}(C, \text{RRr}) - \bar{c}(C, \text{RRl}) = c(C, \text{RRr}) - c(C, \text{RRl}) - 2\delta_{\text{small}},$$

where  $c(C, \text{LLr})$ ,  $\bar{c}(C, \text{LLr})$ , etc. denote the cost of the  $C$ -connection in the respective configuration, see Table V.

PROPOSITION 6.7. *In  $C$  or  $C'$ , every consistent state has relative reduced cost at most  $\varepsilon_2 < 0.000\,002$ . The two inconsistent states have cost  $\delta_1 \approx 0.003\,861$  and  $\delta_2 \approx 0.004\,631$ . Thus, if there is no breach in  $C$  or  $C'$ , the relative reduced cost is at most  $\delta_2$ .  $\square$*

In general, we denote by  $\varepsilon_1, \varepsilon_2$ , “small” quantities that we would like to neglect, and which can be made arbitrarily small by refining the coordinates of the pieces or the digits of  $\delta_{\text{small}}$  and  $\delta_{\text{large}}$ . On the other hand,  $\delta_1, \delta_2, \dots$ , denote “large” quantities whose difference will be made productive for the proof.

## 7. LARGER GADGETS

We now describe how to assemble the elementary pieces from the last section to obtain larger gadgets which model the logical structure of a PLANAR 1-IN-3-SAT formula. We need *variables*, *clauses*, and the connections between them. The main building block for the variables and clauses is the *bit loop* (Section 7.1). Each bit loop stores a logical state (L or R). To ensure consistency between different bit loops, we use  *$C$ - $C'$ -links* (Section 7.2). By connecting several bit loops, we build clauses (Section 7.3) and variables (Section 7.4). The optimal triangulation of a clause is obtained if and only if exactly one of its inputs is in state L (the 1-IN-3 property). A variable is modeled as a chain of bit loops connected by  *$C$ - $C'$ -links* so that all loops are in the same state. Variables can be connected to clauses “using the wire-pieces from the last section.

### 7.1 The Bit Loop

The *bit loop* is used to represent a logical state (L or R). It is formed by connecting any selection of four connection pieces ( $C, C'$  or  $C_0$ ) into a loop, using four thick bends, as shown schematically in Figure 18.

LEMMA 7.1. *In an optimal triangulation, the 8 large terminal triangles of a bit loop are in the same state (L or R).*

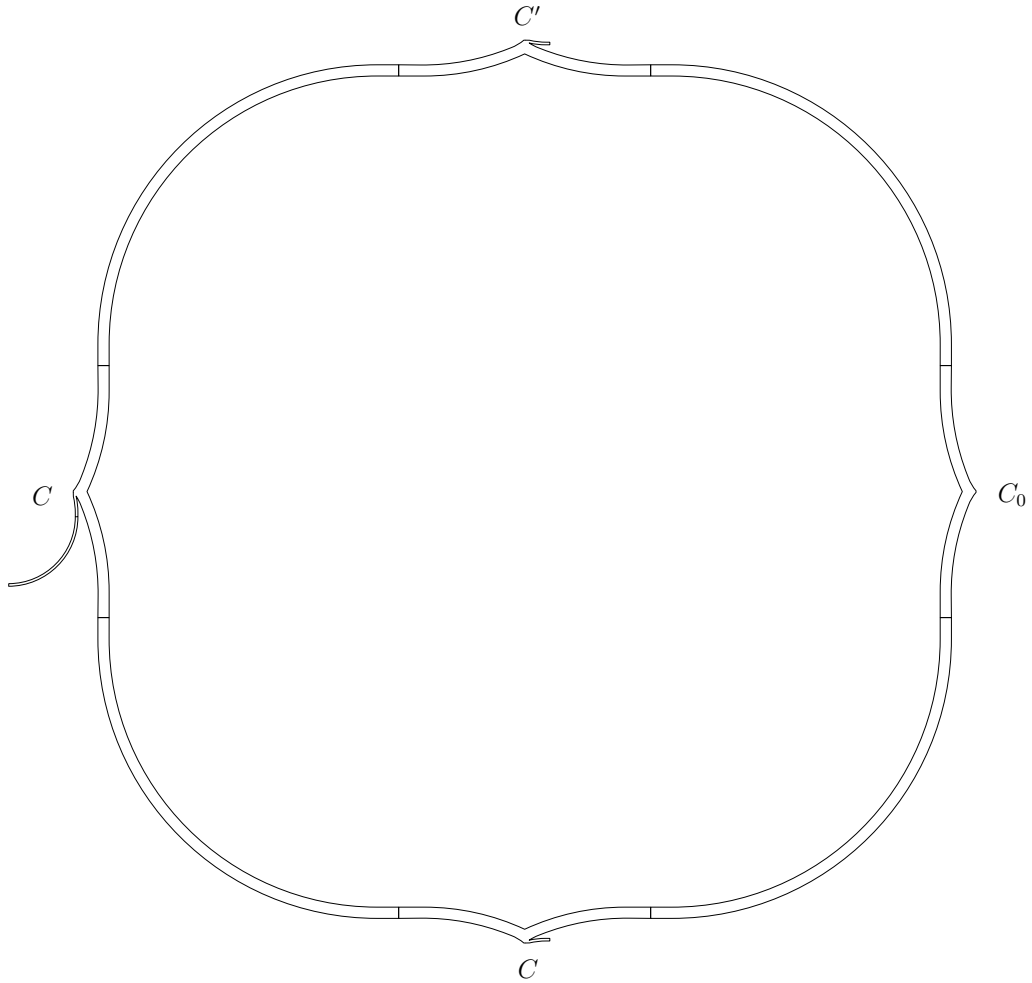


Fig. 18. An instance of a bit loop with two  $C$ -connections and one  $C'$ -connection. At the left  $C$ -connection, a right bend is attached, as the beginning of a wire joining it to another  $C'$ -connection. The drawing is to scale, but the shapes are simplified,

PROOF. The only interaction with other pieces is through the at most four small “exit” triangles. Let us keep the states of these triangles fixed. If we set all 8 large terminal triangles to L or to R, the maximum relative reduced cost is at most  $4 \cdot \delta_2 < 0.02 = 2\delta_3$ , see Proposition 6.7.

On the other hand, if the 8 large terminal triangles are not all equal, there are at least two breaches. Hence, by Proposition 6.5, the relative reduced cost is at least  $2\delta_3$ , and such a triangulation cannot be optimal.  $\square$

We can therefore simply refer to the *state of the bit loop* as the common state of its large terminal triangles. Generally, when it is clear that there is no breach, the state of a  $C$ ,  $C'$  or  $C_0$ -connection refers to the state of its large terminal triangles.

## 7.2 The $C$ - $C'$ -Link

A  $C$ -connection can be joined to a  $C'$ -connection by an arbitrary sequence of wire-pieces, extended wire-pieces, and left and right bends (Lemma 6.2), see Figure 15. We call the two connections at the end together with their joining wire a  $C$ - $C'$ -link.

$C$ - $C'$ -links are used in two contexts: The most common case is when the  $C$ -

connection of the  $C$ - $C'$ -link belongs to a bit loop  $V_1$  and the  $C'$ -connection belongs to another bit loop  $V_2$ . In the clause gadget (Section 7.3), we also have  $C$ - $C'$ -links where the  $C$ -connection is connected to a thickening adapter and a thinning adapter, while the  $C'$  connection is part of a bit loop. By Lemma 7.1 we know that in each of  $V_1$  and  $V_2$ , the large triangles are in a uniform state (L or R), and in Section 7.3 we will see that an analogous statement holds for the large terminal triangles of the thickening and the thinning adapter. We thus have to consider four cases for these states, as shown in Table VI. For each combination, we can work out the optimum state of the small terminal triangles by considering Table V. (The first two and last two rows of Table V are sufficient.) The optimal choice for the thin wire is shown in the third column.

$V_1$	$V_2$	wire	state of $C$	state of $C'$	optimum relative reduced cost
L	L	r	LLr	LLr	$\tilde{c}(C, LLr) + \tilde{c}(C', LLr) = \varepsilon_2$
L	R	l (or r)	LLl	RRl	$\tilde{c}(C, LLr) + \tilde{c}(C', RRr) = \varepsilon_2 + \delta_1$
R	L	l (or r)	RRl	LLl	$\tilde{c}(C, RRr) + \tilde{c}(C', LLl) = \delta_2$
R	R	l	RRl	RRl	$\tilde{c}(C, RRl) + \tilde{c}(C', RRl) = \varepsilon_2$

Table VI. The best state of the wire for a  $C$ - $C'$ -link. For each given combination of states (first two columns), the last column gives the resulting cost.

LEMMA 7.2. *Each  $C$ - $C'$ -link incurs a relative reduced cost of at least  $\varepsilon_2$ . The cost reaches this minimum if and only if the large terminal triangles in the two pieces have the same state. Otherwise, the cost increases to  $\delta_1 + \varepsilon_2$  or  $\delta_2$ , respectively, see Table VI.*

PROOF. We need only exclude the possibility that the two small terminal triangles are in different states. By the Wire Lemma (Lemma 6.6), this would cause a relative reduced cost of at least  $\delta_3 = 0.01$ , which is larger than any of the costs in Table VI.  $\square$

We call the  $C$ - $C'$ -link *inconsistent* if the large triangles in the two connection pieces have different states. An inconsistent  $C$ - $C'$ -link incurs a relative reduced cost bigger than  $\delta_1$ .

### 7.3 The Clause Gadget

The *clause* gadget is formed by 3 pairs of bit loops,  $\alpha$  and  $\hat{\alpha}$ ,  $\beta$  and  $\hat{\beta}$ ,  $\gamma$  and  $\hat{\gamma}$ , see Figure 19. The two loops of each pair are connected by a  $C$ - $C'$ -link in which a thickening adapter, a  $C$ -connection piece labeled DOWN, and a thinning adapter is interspersed, and another similar  $C$ - $C'$ -link with a  $C_0$ -connection piece in the middle. The  $\alpha$ - $\hat{\alpha}$  group has three *external* connections: DOWN, UP, and ENTRY.

The DOWN  $C$ -connection piece of the pair  $\alpha$ - $\hat{\alpha}$  is connected to the UP  $C'$  connection of  $\hat{\beta}$ , and so on in a circular way. Finally, each of  $\alpha$ ,  $\beta$ , and  $\gamma$ , has a  $C$ -connection piece, labeled ENTRY, which will be connected to one of the “input” variables of the clause by a  $C$ - $C'$ -link.

LEMMA 7.3. *In an optimal triangulation,  $\alpha$  and  $\hat{\alpha}$  are in the same state (L or R), and the two large terminal triangles in the DOWN  $C$ -connection are in the opposite state.*



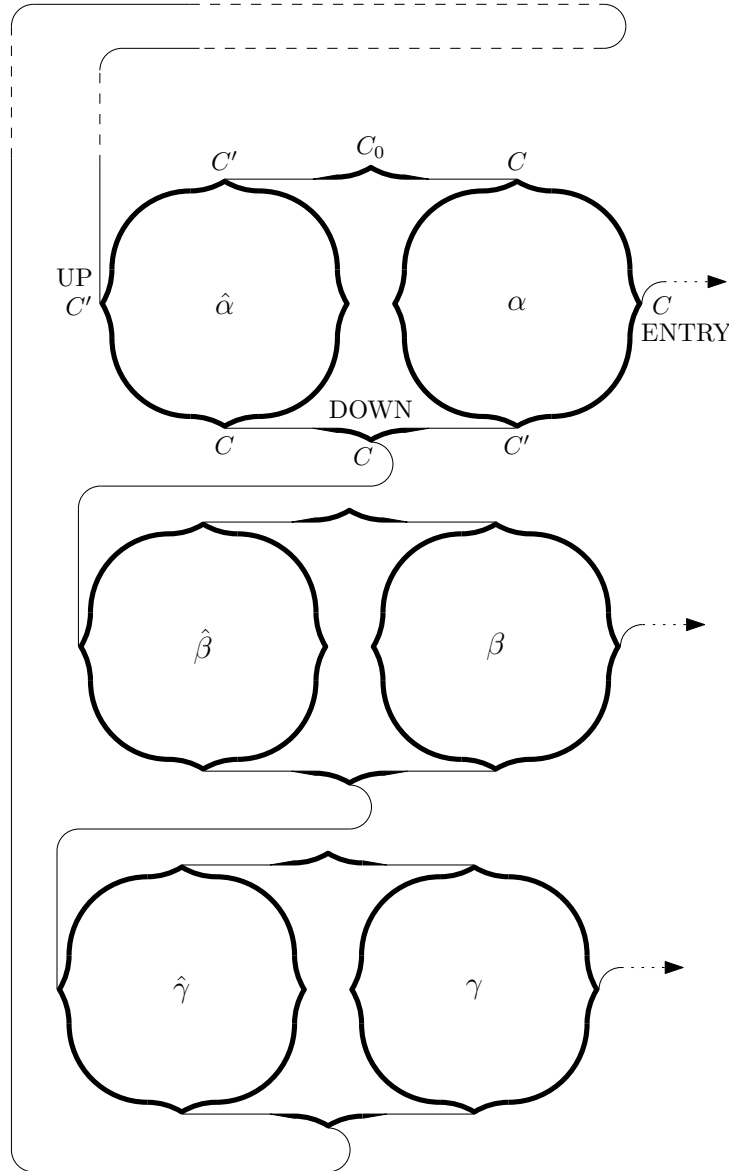


Fig. 19. Schematic view of the clause gadget. The dashed parts of the wires are long enough for sufficiently many copies of the wire-piece and the extended wire-piece to ensure that the wire can reach from the lowest  $C$  connection to the left  $C'$  connection of  $\hat{\alpha}$  (Lemma 6.1).

*Analogous statements hold for  $\beta, \hat{\beta}$  and  $\gamma, \hat{\gamma}$ .*

PROOF. By symmetry, it suffices to consider  $\alpha$  and  $\hat{\alpha}$ . The  $\alpha$ - $\hat{\alpha}$  group has three external triangles UP, DOWN, and ENTRY which connect it to the outside world.

There are two “ground states” with no internal breaches or inconsistencies. In these states,  $\alpha$  and  $\hat{\alpha}$  (including UP and ENTRY) have the same state and DOWN and the upper  $C_0$ -connection piece in the middle are in the opposite state.

If we ignore the three external connections, the relative reduced cost of these two states is  $2\varepsilon_1 + 2\varepsilon_2$ : The contribution from the two  $C$ - $C'$ -links is  $2\varepsilon_2$ . The contribution from the four resizing wire-pieces is  $2\varepsilon_1$  since we have two thickening and two thinning adapters: the “penalty state” for the thickening adapter (LL) is the optimal state for the thinning adapter, and vice versa.

Now, fix a setting for the three small external triangles. If we select the ground state which is consistent with the majority of the three exit triangles, we have at most one inconsistent exit connection, causing an additional penalty of at most  $\delta_2 + 2\varepsilon_2$  (two consistent connections with at most  $\varepsilon_2$ , and one inconsistent connection with at most  $\delta_2$ ).

Thus, there is always a ground state solution with cost at most  $\delta_2 + 2\varepsilon_1 + 4\varepsilon_2 < 0.005$ . If  $\alpha$  and  $\hat{\alpha}$  are not in the same state, or if DOWN is in the same state as  $\alpha$  and  $\hat{\alpha}$ , there must be at least two inconsistencies (or even breaches) in the connections between  $\alpha$ ,  $\hat{\alpha}$ , and DOWN, causing a cost of at least  $2\delta_1 > 0.007$ , which is bigger than for the ground state solution.  $\square$

Since DOWN is always in a different state from UP, ideally, to successive loops in the cyclic sequence  $\alpha\beta\gamma$  should be in a different state. However, since there are three loops, there has to be at least one inconsistency, which provides us the asymmetry necessary for a 1-IN-3-clause.

Thus, we obtain:

**LEMMA 7.4.** *The reduced cost of the clause gadget (excluding the three ENTRY  $C$ -connections) achieves its minimum if and only if exactly one of  $\alpha$ ,  $\beta$ , and  $\gamma$  is in state L. Any other triangulation incurs a cost that is at least  $\delta_4 := 0.0007$  larger.*

**PROOF.** By Lemma 7.3, it suffices to analyze the 8 possible configurations of the pairs  $\alpha$ - $\hat{\alpha}$ ,  $\beta$ - $\hat{\beta}$ , and  $\gamma$ - $\hat{\gamma}$  (ignoring the contribution of the ENTRY connections to the clause).

The “internal” contribution of each pair of  $2\varepsilon_2 + 2\varepsilon_1$  from its resizing pieces and its two internal  $C$ - $C'$ -links is constant, and thus we can ignore this amount when comparing the various possibilities.

Let us look at the  $C$ - $C'$ -link between two successive pairs, say  $\alpha$ - $\hat{\alpha}$  and  $\beta$ - $\hat{\beta}$ . If they are equal, they cause an inconsistency, and the relative reduced cost is  $\delta_2$  if  $\alpha = \beta = L$  and  $\delta_1 + \varepsilon_2$  if  $\alpha = \beta = R$ , according to Table VI. If  $\alpha$  and  $\beta$  are in different states, the link is consistent, and the cost is  $\varepsilon_2$ .

Since the situation is unchanged under cyclic shifts of the sequence  $\alpha\beta\gamma$ , it is enough to consider four cases:

- $\alpha\beta\gamma = LLL$  or  $\alpha\beta\gamma = RRR$ : In these cases, we have three inconsistencies, and the relative reduced cost is bigger than  $3\delta_1 > 0.01$ .
- $\alpha\beta\gamma = LLR$ : the relative reduced cost is  $\delta_2 + 2\varepsilon_2 > 0.0046$ .
- $\alpha\beta\gamma = RRL$ : the relative reduced cost is  $\delta_1 + 3\varepsilon_2 < 0.0039$ .

$\square$

In the previous lemma, we have ignored the relative reduced cost of the ENTRY  $C$ -connections. They will be accounted for as part of the  $C$ - $C'$ -links that they form with the variables, to be described next.

#### 7.4 The Variable Gadget

The gadget that represents a variable of the 1-IN-3 instance is formed by chaining together sufficiently many bit loops and connecting them in a row using  $C$ - $C'$ -links, as shown in Figure 20. The dotted arrows denote potential exit wires that establish

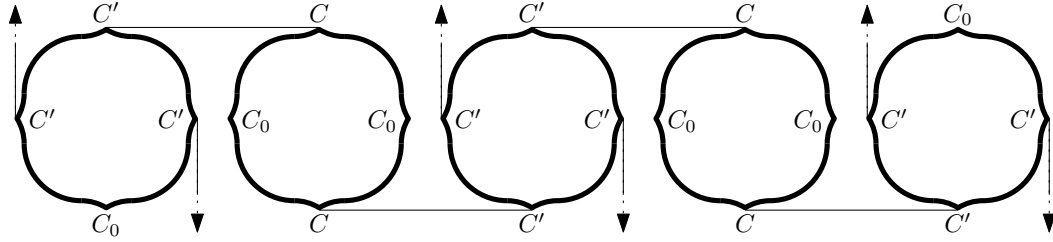


Fig. 20. Schematic view of a variable gadget with three upper and three lower exits. The pattern can be repeated arbitrarily.

$C$ - $C'$ -links with the corresponding clause gadgets of the previous section. Unused exits can be replaced by  $C_0$  connections.

LEMMA 7.5. *Consider a variable chain  $V$  together with the  $C$ - $C'$ -links that connect it to the clauses, including the ENTRY  $C$ -connections in the clauses. The reduced cost of this point set achieves its minimum if and only if all the bit loops and the  $C$ -connections in the clauses are in the same state. Any other triangulation incurs a cost that is at least  $\delta_1$  larger.*

PROOF. This follows directly from Lemmas 7.1 and 7.2.  $\square$

## 8. THE REDUCTION

THEOREM 8.1. *Minimum-weight triangulation is strongly NP-hard.*

PROOF. A rectilinear embedding of the given PLANAR 1-IN-3-SAT formula can be constructed on a grid of size  $O(n) \times O(n)$ . The reduction procedure then simply replaces the edges, variables and clauses of the PLANAR 1-IN-3-SAT formula by the appropriate gadgets, taking care to leave enough space between the individual wires.

This procedure yields a point set  $S$ . By construction, the boundaries of all the gadgets are part of the  $\beta$ -skeleton of  $S$  (Proposition 6.4), and therefore they belong to the minimum-weight triangulation. The faces outside the wires are simple polygons and can be optimally triangulated using dynamic programming. For each gadget, we know the desired “ideal triangulation” (Lemmas 6.6 and 7.1–7.5) and can calculate its weight. Adding up these weights and the weights of the faces outside the wires yields a target weight  $w$ . By construction, the input instance is 1-IN-3 satisfiable if and only if the minimum weight of a triangulation of  $S$  is  $w$ . Otherwise, the weight of the shortest triangulation is at least  $w + 0.0007$  (Lemmas 7.4 and 7.5).

The set  $S$  is a subset of an  $O(n) \times O(n)$  grid, but it does not fill the whole area: it follows the linear structure of the edges of the rectilinear embedding. Therefore,  $S$  has  $O(n)$  points. Hence the triangulation has  $O(n)$  edges of length  $O(n)$ . By calculating all edge lengths with an absolute error of  $O(1/n^2)$ , the reduction algorithm can thus calculate, in polynomial time, a threshold  $\hat{w}$  such that the input formula is satisfiable iff there is a triangulation of length at most  $\hat{w}$ .  $\square$

## 9. CONCLUSION

### 9.1 Running Times for Computer Verification of the Proof

In designing our gadgets and our proof, we have tried to achieve a balance between the number of different pieces, which affects the complexity of the human-readable part of the proof and the number of case distinctions, and the size of the pieces, which affects the complexity (running time) for the mechanical part of the proof that has to be checked by computer (or accepted by faith).

The running time is dominated by the  $O(n^3)$  dynamic programming algorithm for triangulating simple polygons. The largest point sets that we handle have 493 points (the left bends). To total time to run all verifications (with exact integer interval arithmetic) was about 10 hours on a four-year-old moderate PC.

### 9.2 Dimensions.

Our gadgets have constant size, but they consist of several thousands of points and are quite enormous. For example, the clause gadget (see Figure 19) has dimensions on the order of  $250\,000 \times 250\,000$ , with coordinates that are specified as multiples of  $10^{-4}$ . On the other hand, the difference between a satisfiable and an unsatisfiable SAT instance is reflected in a minute difference of 0.0007 in the MWT cost.

With some work, it would be possible to reduce this to some more “reasonable” figures or to amplify the difference between satisfiable and unsatisfiable instances, but we did not find it worth the effort to do so. First of all, the current gadgets are already a result of tedious experiments, pushing points into various directions and trying to understand what happens. Some parts of the design, in particular the  $C$ -connections, are very delicate. Secondly, the dimensions would still be very large. One can certainly reduce the constant 230 000 of Lemma 6.1 by providing a greater variety of extended wire-pieces, but the bit loop, for example, (Figure 18) already has size approximately  $7000 \times 7000$ , and it does not seem easy to push the size very much below these limits, unless one comes up with a completely different design.

### 9.3 Open Problems

Several interesting problems remain open. First of all, it is not known whether the MWT problem is in NP, since it is not known how to compare sums of Euclidean lengths in polynomial time [Blömer 1991], but this difficulty is more of an algebraic nature. To define a variant of MWT which is in NP, one can take the weight of an edge  $e$  as the rounded value  $\lceil \|e\|_2 \rceil$ . With appropriate scaling, our proof also establishes NP-completeness for this variant.

Our reduction shows that it is NP-hard to approximate the MWT with a relative approximation error which is better than  $O(1/n^2)$ : The difference between a satisfiable instance of PLANAR 1-IN-3-SAT and an unsatisfiable instance is reflected in a constant increase of the MWT cost, and, as mentioned in the proof of Theorem 8.1, the total cost of the MWT is  $O(n^2)$ .

One can probably reduce this bound to  $O(n \log n)$ , and thus establish that it is NP-hard to achieve a relative approximation error better than  $O(1/(n \log n))$ , by using the fact that the interior of a convex  $k$ -gon of perimeter  $p$  can be triangulated with weight  $O(p \log k)$ . First, the wires and all gadgets form linear structures of

total length  $O(n)$ ; thus, the length of the gadget boundaries, and the MWT inside the gadgets is only  $O(n)$ . This leaves the holes to be triangulated. It should be quite straightforward to extend our construction in such a way that, apart from  $O(n)$  constant-size holes, only  $O(n)$  convex holes with a total of  $O(n)$  vertices remain: one would insert paths of additional points whose  $\beta$ -skeleton separates the big holes from the jagged wire boundaries and cuts the holes into convex pieces, apart from “linear” structures that cover only  $O(n)$  area.

These non-approximability results do not rule out the existence of a polynomial-time approximation scheme. For a long time, attempts to extend techniques from geometric approximation algorithms to the MWT problem have only led to constant factor approximations (see [Bern and Eppstein 1996] for a survey). Remy and Steger [2006] showed that it is possible to compute a  $(1 + \varepsilon)$ -approximation of the MWT in time  $n^{O(\log^8 n)}$ , providing strong evidence that a PTAS might exist.

In practice, the LMT-skeleton heuristic is extremely fast in computing LMTs. Combined with bucketing techniques and fast preprocessing techniques [Drysdale et al. 1995], one empirically achieves almost linear running times. Thus, in this respect the MWT problem seems to be similar to the Knapsack Problem, which is also NP-hard but easy to solve in practice [Kellerer et al. 2007]. It would be interesting to analyze the LMT-skeleton heuristic for random point sets. The good practical performance indicates that the expected running time for random inputs might be polynomial, or even close to linear. On the other hand, as point sets get huge, they will contain, with non-negligible probability, some larger and larger point configurations that are hard to triangulate.

#### ACKNOWLEDGMENTS

The authors would like to thank Eric Demaine for pointing out that the negations in our original paper are not necessary.

The gadgets in this paper were designed using Otfried Cheong’s extensible drawing editor IPE [Schwarzkopf 1995] as a graphical user interface. We also used the xml-format of IPE to generate many of the illustrations of this paper directly from computer output.

We also thank an anonymous reviewer for extensive remarks that helped to improve the presentation of the paper.

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Received Month Year; revised Month Year; accepted Month Year