# Studies in Computational Metaphysics & Computational (Pseudo-)Ethics

#### Christoph Benzmüller

Freie Universität Berlin | University of Luxembourg



Colloquium Cognitive Systems, U Ulm, 29 Nov 2018

# Peaceful coexistence with intelligent autonomous systems (IASs)?

- appropriate forms of machine-control
- appropriate forms of human-machine-interaction



# Peaceful coexistence with intelligent autonomous systems (IASs)?

- appropriate forms of machine-control
- appropriate forms of human-machine-interaction



Existing societal processes are based on:

- rational argumentation & dialog
- explicit normative reasoning (legal & ethical)

Deployment of IASs lacking such competencies? How wise is this?

# Talk Outline

- A Motivation: Explicit Ethical Reasoning
- B Technology: Universal Reasoning in Higher-Order Logic (HOL)
- C Evidence: Analysis of Rational Arguments in Metaphysics
- D Demo(s): Normative Reasoning Experimentation Platform



# Long-term: Emerging Superintelligence Really? Anyhow ...

How to prevent Superintelligence from turning against humanity?

Medium-term: Development of pseudo-ethical skills in IASs

- Which norms? Which reasoning principles?
- What architectural design? What functionalities?
- How to implement, deploy and verify?

Different kinds of systems and approaches:

- [Moor, 2009]:
  - ethical impact agents
  - implicit ethical agents
  - explicit ethical agents
  - full ethical agents
- bottom-up vs. top-down
- [DoranEtAl., 2017]: opaque — comprehensible — interpretable — explainable AI

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#### **Strategical Relevance of Research Direction**

#### Bundesregierung (Nov 2018): Strategie Künstliche Intelligenz

"Ethische und rechtsstaatliche Anforderungen sollten als integraler Bestandteil — und damit Markenzeichen einer "Al made in Europe" — im gesamten Prozess der Entwicklung und Anwendung von KI Beachtung finden. Dies umfasst die Forschung, Entwicklung und die Produktion von KI, aber auch den Einsatz, den Betrieb, die Kontrolle und die Governance KI-basierter Anwendungen. Entwicklung von Verfahren zur Kontrolle und Nachvollziehbarkeit algorithmischer Entscheidungen sollte alle Akteure, inkl. Industrie, einbeziehen."

https://www.bmbf.de/files/Nationale\_KI-Strategie.pdf; page 40

# Ben Goertzel (CEO SingularityNET; Nov 2018): "Toward Democratic, Lawful Citizenship for Als, Robots, and Corporations"

"Being an effective citizen of a nation operating under rule of law requires a form of general intelligence that combines formal linguistic and symbolic knowledge (the legal code) with the ability to abstract patterns from multimodal sensory data and informal linguistic data (corresponding to actual real-life situations to which the law needs to be applied). So an AI Citizenship Test needs to be a particular form of a General Intelligence Test. And it needs to be a test that stresses one of the most interesting issues at the core of modern AI R&D: the fusion of symbolic and subsymbolic knowledge."

https://tinyurl.com/y8h94ouv

"If we had it [a *characteristica universalis*], we should be able to reason in metaphysics and morals in much the same way as in geometry and analysis."

(Leibniz, 1677)

#### Challenges for Explicit Ethical Reasoning Engines: Which Logic(s)?

- Dilemmas, conflicting theories, etc.
- Appropriate modeling-of/reasoning-with notion of obligation
  - Contrary-to-duty (CTD) scenarios

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#### Standard CTD structure (Chisholm)

- 1. obligatory 'a'
- 2. obligatory 'if a then not b'
- 3. if 'not a' then obligatory 'b'
- **4.** 'not a'

(in a given situation)

Danger: Paradox/inconsistency — ex falso quodlibet!

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#### CTD example (X. Parent): EU General Data Protection Regulation (GDPR)

- Personal data shall be processed lawfully. (Art. 5)
  E.g., the data subject must have given consent to the processing. (Art. 6/1.a)
- 2. Implicit: The data shall be kept, for the agreed purposes, if processed lawfully.
- 3. If personal data has been processed unlawfully, the controller has the obligation to erase the personal data in question without delay. (Art. 17.d, right to be forgotten)
- 4. Given situation: Some personal data has been processed unlawfully.

Danger: Paradox/inconsistency — ex falso quodlibet!

"If we had it [a *characteristica universalis*], we should be able to reason in metaphysics and morals in much the same way as in geometry and analysis."

CTD: no

CTD: yes

CTD: yes

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#### **Deontic Logic**

- Reasoning about obligations and permissions
- Two groups of approaches:
  - Possible worlds
    - standard deontic logic
    - dyadic deontic logic
  - Norm-based semantics
    - input/output logic

#### Further interests and challenges

- Combination with other logics (other modalities)
- Propositional deontic logic(s) will hardly be sufficient in practice



L. van der Torre



X. Parent



A. Farjami











#### Normative Reasoning Experimentation Platform — Demo in Isabelle/HOL



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Part B Technology: Universal Reasoning in Higher-Order Logic







Logic Zoo

## Logic Zoo

. . .

#### Classical Logic, of order

- 0. Propositional Logic
- 1. First-order Logic
- 2. Second-order Logic

n. Higher-order Logic

#### **Non-Classical Logics**

- Intuitionistic/Constructive Logics (incl. Univalent Foundations)
- Modal Logics , Conditional Logics, Temporal Logis, Spatial Logics
- Many-valued Logics
- Paraconsistent Logics
- Free Logics, Inclusive Logics
- Logics for special applications: Ethics, Social Choice, Legal Reasoning, ...
- Separation Logic, ...

# Example Application in Metaphysics/Philosophy:

**Necessarily**, God exists: Kurt Gödel's definition of God:  $\Box \exists x.Gx$  $Gx := \forall \Phi.Positive \ \Phi \to \Phi x$ 




#### **Example: Modal Logic Textbook**



# STUDIES IN LOGIC

#### PRACTICAL REASONING

VOLUME 3

D.M GABBAY / P. GARDENFORS / J. SIEKMANN / J. VAN BENTHEM / M. VARDI / J. WOODS

EDITORS

# Handbook of Modal Log<u>ic</u>

#### 2 BASIC MODAL LOGIC

In this section we introduce the basic modal language and its relational semantics. We define basic modal syntax, introduce models and frames, and give the satisfaction definition. We then draw the reader's attention to the internal perspective that modal languages offer on relational structure, and explain why models and frames should be thought of as graphs. Following this we give the standard translation. This enables us to convert any basic modal formula into a first-order formula with one free variable. The standard translation is a bridge between the modal and classical worlds, a bridge that underlies much of the work of this chapter.

#### 2.1 First steps in relational semantics

Suppose we have a set of proposition symbols (whose elements we typically write as p, q, r and so on) and a set of modality symbols (whose elements we typically write as m, m', m'', and so on). The choice of PROP and MOD is called the *signature* (or *similarity type*) of the language; in what follows we'll tacitly assume that PROP is denumerably infinite, and we'll often work with signatures in which MOD contains only a single element. Given a signature, we define the *basic modal language* (over the signature) as follows:

```
\varphi \quad ::= \quad p \mid \top \mid \bot \mid \neg \varphi \mid \varphi \wedge \psi \mid \varphi \vee \psi \mid \varphi \rightarrow \psi \mid \varphi \leftrightarrow \psi \mid \langle m \rangle \varphi \mid [m] \varphi.
```

That is, a basic modal formula is either a proposition symbol, a boolean constant, a boolean combination of basic modal formulas, or (most interesting of all) a formula prefixed by a diamond

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#### Example: Modal Logic Textbook

A model (or Kripke model)  $\mathfrak{M}$  for the basic modal language (over some fixed signature) is a triple  $\mathfrak{M} = (W, \{R^m\}_{m \in MOD}, V)$ . Here W, the domain, is a non-empty set, whose elements we usually call points, but which, for reasons which will soon be clear, are sometimes called states, times, situations, worlds and other things besides. Each  $R^m$  in a model is a binary relation on W, and V is a function (the valuation) that assigns to each proposition symbol p in PROP a subset V(p) of W; think of V(p) as the set of points in  $\mathfrak{M}$  where p is true. The first two components  $(W, \{R^m\}_{m \in MOD})$  of  $\mathfrak{M}$  are called the *frame* underlying the model. If there is only one relation in the model, we typically write (W, R) for its frame, and (W, R, V) for the model itself. We encourage the reader to think of Kripke models as graphs (or to be slightly more precise, directed graphs, that is, graphs whose points are linked by directed arrows) and will shortly give some examples which show why this is helpful.

Suppose w is a point in a model  $\mathfrak{M} = (W, \{R^m\}_{m \in MOD}, V)$ . Then we inductively define the notion of a formula  $\varphi$  being *satisfied* (or *true*) in  $\mathfrak{M}$  at point w as follows (we omit some of the clauses for the booleans):

| iff | $w \in V(p),$   |
|-----|---|
|     | always,   |
|     | never,  |
| iff | not $\mathfrak{M}, w \models \varphi$ (notation: $\mathfrak{M}, w \not\models \varphi$ ), |
| iff | $\mathfrak{M},w\models\varphi \ \text{and} \ \mathfrak{M},w\models\psi,$                  |
| iff | $\mathfrak{M}, w \not\models \varphi \text{ or } \mathfrak{M}, w \models \psi,$           |
| iff | for some $v \in W$ such that $R^m w v$ we have $\mathfrak{M}, v \models \varphi$ ,        |
| iff | for all $v \in W$ such that $R^m w v$ we have $\mathfrak{M}, v \models \varphi$ .         |
|     | iff<br>iff<br>iff<br>iff<br>iff   |

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Semantics

| $\mathfrak{M},w\models p$                      | iff | $w \in V(p),$   |
|--|-----|---|
| $\mathfrak{M},w\models\top$                    |     | always,   |
| $\mathfrak{M},w\models\perp$                   |     | never,  |
| $\mathfrak{M},w\models\neg\varphi$             | iff | not $\mathfrak{M}, w \models \varphi$ (notation: $\mathfrak{M}, w \not\models \varphi$ ), |
| $\mathfrak{M},w\models\varphi\wedge\psi$       | iff | $\mathfrak{M}, w \models \varphi \text{ and } \mathfrak{M}, w \models \psi,$              |
| $\mathfrak{M},w\models\varphi\rightarrow\psi$  | iff | $\mathfrak{M}, w \not\models \varphi \text{ or } \mathfrak{M}, w \models \psi,$           |
| $\mathfrak{M},w\models\langle m\rangle\varphi$ | iff | for some $v \in W$ such that $R^m w v$ we have $\mathfrak{M}, v \models \varphi$ ,        |
| $\mathfrak{M},w\models [m]\varphi$             | iff | for all $v \in W$ such that $R^m wv$ we have $\mathfrak{M}, v \models \varphi$ .          |
|  |     |   |

#### **Universal Reasoning in Meta-Logic HOL**



Examples for L we have already studied:

Intuitionistic Logics, (Mathematical) Fuzzy Logics, Free Logic, Modal Logics, Description Logics, Conditional Logics, Access Control Logics, Hybrid Logics, Multivalued Logics, Logics with Neighborhood Semantics, Paraconsistent Logics, Dyadic Deontic Logic, ...

Embedding works also for quantifiers (first-order & higher-order)

 HOL provers become universal logic reasoning engines!

 interactive:
 Isabelle/HOL, PVS, HOL4, Hol Light, Coq/HOL, ...

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#### Isabelle/HOL (one of various Theorem Provers for HOL)



#### https://isabelle.in.tum.de

many other systems:

Coq, HOL, HOL Light, PVS, Lean, NuPrL, IMPS, ACL2, Leo-II/Leo-III, ...

#### Universal Reasoning in Isabelle/HOL

|   | 🖉 💿 🙆 GodProof.thy   |      |  |  |  |
|---|--|------|--|--|--|
| :   | 🖆 🕭 🛯 🛎 : 🧙 🥐 : 🔏 🕞 🗊 : 🗟 🖓 : 🗂 🖾 🐼 : 🗟 🏾  | *    |  |  |  |
| G   | odProof.thy (~/chris/trunk/tex/talks/2018-DEON/DEMO/)  | ٥    |  |  |  |
| 1   | theory GodProof imports Main   |      |  |  |  |
| <b>⊝ 2</b>  | begin  |      |  |  |  |
| 3   | typedecl i "type for possible worlds"  |      |  |  |  |
| 4   | typedecl $\mu$ "type for individuals"  | D    |  |  |  |
| 5   | type_synonym $\sigma$ = "(i $\Rightarrow$ bool)"   | - C  |  |  |  |
| 6   |  | len  |  |  |  |
| 7   | (* Shallow embedding modal logic connectives in <u>HOL</u> *)  | tati |  |  |  |
| 8   | abbreviation mneg (""[52]53) where " $\neg \varphi \equiv \lambda W. \neg \varphi(W)$ "  | on   |  |  |  |
| 9   | abbreviation mand (inflxr"\"51) where " $\varphi \wedge \psi \equiv \lambda W$ . $\varphi(W) \wedge \psi(W)$ "                     | S    |  |  |  |
| 10  | abbreviation mor (influe 50) where $\varphi V \psi = \lambda w$ , $\varphi(w) \vee \psi(w)$  | ide  |  |  |  |
| 11  | abbreviation minp (infigure $43$ ) where $\psi \rightarrow \psi \equiv \lambda w$ , $\psi(w) \rightarrow \psi(w)$                  | Kic  |  |  |  |
| 12  | abbreviation mean red ("- "[52]53) where " $\overline{\phi} = \lambda x$ , $\overline{\phi}(w) \leftrightarrow \overline{\phi}(w)$ | ~    |  |  |  |
| 14  |  | Sta  |  |  |  |
| 15  | (* Generic box and diamond operators *)  | te   |  |  |  |
| 16  | abbreviation mboxgen (" $\Box$ ") where " $\Box$ r $\varphi \equiv \lambda w$ , $\forall v$ , r w v $\longrightarrow \varphi(v)$ " | 크    |  |  |  |
| 17  | abbreviation mdiagen (" $\diamond$ ") where " $\diamond$ r $\varphi \equiv \lambda w$ . $\exists v$ . r w v $\land \varphi(v)$ "   | leo  |  |  |  |
| 18  |  | ries |  |  |  |
| 19  | (* Shallow embedding of constant domain quantifiers in HOL *)  | •    |  |  |  |
| 20  | abbreviation mall_const (" $\forall$ c") where " $\forall$ c $\Phi \equiv \lambda$ w. $\forall$ x. $\Phi(x)(w)$ "                  |      |  |  |  |
| 21  | abbreviation mallB_const (binder" $\forall$ c"[8]9) where " $\forall$ c x. $\varphi$ (x) $\equiv$ $\forall$ c $\varphi$ "          |      |  |  |  |
| 22  | abbreviation mexi_const ("3c") where "3c $\Phi \equiv \lambda w. \exists x. \Phi(x)(w)$ "  |      |  |  |  |
| 23  | abbreviation mexiB_const (binder" $\exists$ c"[8]9) where " $\exists$ c x. $\varphi$ (x) $\equiv \exists$ c $\varphi$ "            |      |  |  |  |
| 24  |  |      |  |  |  |
| 25  | (* Global validity: truth in all possible worlds *)  |      |  |  |  |
| 26 abbreviation mvalid :: " $\sigma \Rightarrow$ bool" ("[_]"[7]110) where "[p] $\equiv \forall w. p w$ " |  |      |  |  |  |
| 27  |  |      |  |  |  |
| 28  | (* Shallow embedding of varying domain quantifiers in HOL *)   |      |  |  |  |
|   |  |      |  |  |  |
| •   | <ul> <li>Output Query Sledgehammer Symbols</li> </ul>  |      |  |  |  |

#### Universal Logic Reasoning in Isabelle/HOL

Properties of  $\Box$  and  $\diamondsuit$  correlated to structure of transition system between worlds





#### Universal Logic Reasoning in Isabelle/HOL

Properties of 
and 
correlated to structure of transition system between worlds





- Logic K: (no restrictions, any structure)
- ▶ Logic M: reflexiv transition relation,  $\forall P.\Box P \rightarrow P$
- ▶ Logic KB: symmetric transition relation,  $\forall P.P \rightarrow \Box \Diamond P$
- ▶ Logic S5: equivelance relation as transition system, add  $\forall P.\Box P \rightarrow \Box \Box P$

#### Universal Logic Reasoning in Isabelle/HOL

Properties of 
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- ▶ Logic KB: symmetric transition relation,  $\forall P.P \rightarrow \Box \Diamond P$
- ▶ Logic S5: equivelance relation as transition system, add  $\forall P.\Box P \rightarrow \Box \Box P$
- Logic D: serial transition relation,  $\forall P.\Box P \rightarrow \Diamond P$  (Standard Deontic Logic)







#### [Benzmüller\_SBMF 2017]























### Part C Evidence: Analysing Rational Arguments in Metaphysics

[BenzmüllerWoltzenlogelPaleo, ECAI, 2014 + IJCAI, 2016 + KI 2016 + ...]

#### **Computational Metaphysics**

### Ontological Proofs of God's Existence A Long and Continuing Tradition in Philosophy



#### Computational Metaphysics: Kurt Gödel's Ontological Argument

Onto Coy ischer Berreis Fili 10, 1970 P(p) q is positive (& qEP). At 1 P(q) P(q) 5 P(qey) At 2 P(q) + P(cq)  $\begin{bmatrix} 1 & G(x) = (\varphi) \begin{bmatrix} P(\varphi) \supset \varphi(x) \end{bmatrix} \xrightarrow{g(x)} \begin{bmatrix} G(y) & G(y) \end{bmatrix}$  $\int_{-\infty}^{\infty} \varphi E_{\mathcal{M}_{n} \times} = (\psi) [\psi(x) \supset \mathcal{M}(y)] [\varphi(y) \supset \psi(y)] ] \left( E_{\mathcal{M}_{n}} \varphi_{\times} \right)$ p > Ng = N(p>g) Neconstry At 2 P(p) S NP(p) 3 because it follows ~P(p) S N~P(p) 3 from The surface of the perpendiq Th. G(x) > GEM.X Df = E(x) = np[qEux > N = x q(x)] meromany Erithen AX3 P(E) Th. G(x) > N(34) G() Hand (3x) G(x) > N(3)) G(y) " MAX) G(r) > MN (33) G(3) M= pontbolling " > N(33) F(4) any two ensurces of x are mer. equistalant, exclusive on " and for any mumber of Hummanich

M (zx) G(x) means all patoble This is. A+4: P(q). 9.2, Y time SX=X inp ant l X+X in Dut if a yet in 5 of It would mean, that the Aun prop. A (which " positive) vould be x + x Positive means positive in The moral acount sense ( independly of the accidental structure of The avoid ] On yother the at time . It we also means "attenduction" as opposed to privation (or crutain y privation ) - This interprets provides provid Sf = q privacist: (X) N = p(x) = OARDATIES (X) > x+ have x + X yesting both X=X and theraping Ar in the existing proverty and any X i.e. the promot from in terms if ellow program contains a Member without negation.

#### Computational Metaphysics: Kurt Gödel's Ontological Argument

Onto Coy ischer Berrers Fill 10, 1970 P(p) 19 is positive (18 qEP.) At. 1 Pros. Prov 5 Prover in At 2 Prover Prover  $\begin{bmatrix} 1 & G(x) = (\varphi) \begin{bmatrix} P(\varphi) \supset \varphi(x) \end{bmatrix} \xrightarrow{g(x)} \begin{bmatrix} g(x) & g(x) \end{bmatrix}$  $\int_{-\infty}^{\infty} \varphi E_{M,n,X} = (\psi) \left[ \psi(x) \rightarrow M_{(3)} \left[ \varphi(y) \rightarrow \psi(y) \right] \right] \left( E_{M,u,u} \neq_{X} \right)$ p >Ng = N(p>g) Neconstry  $\begin{array}{cccc} At 2 & P(p) & S & N & P(p) \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & \\ T & & & \\ & &$ Th. G(x) > GEM.X Df. E(x) = M [qEnx > N ] x q(x)] mercessary Erichen AX3 P(E) The G(x) > N(34) G(y) hence (3x) G(v) > N(33) G(y) " M(]x) G(r) > MN (]] G(y) " > N(JJ) E(Y) M= pontheling any two ensurces of x are mer. equivalent, exclusive on " and for any mumber of terminanish

M (zx) F(x) - means all pos. prope is compatible This is the because of : At 4: P(q). q ), y: > P(y) which my Amor SX=X is possibive and I rtx is negative Dut if a notem 5 of pets, props, vere in com It would mean, that the Aun purp. A (which a positive) vould be x # X Positive means positive in the moral acity sense ( independly of the accidental structure of The avoid ). Only the the at time . It w allos means "attenbution" as opposed to privation (or crutain y privation ) - This interprets provides provid S/ q prover (X) N ~ P(X) - OMONTHE G(X) > x+ have x + X yesting both X=X and theraping Ar to the existence provation X i.e. the prome of firms in terms if allow prop. "Contains a Member without negation.

#### **Computational Metaphysics: Dana Scott's Variant**

**Axiom A1** Either a property or its negation is positive, but not both:  $\forall \phi [P(\neg \phi) \leftrightarrow \neg P(\phi)]$ Axiom A2 A property necessarily implied by a positive property is positive:  $\forall \phi \forall \psi [(P(\phi) \land \Box \forall x [\phi(x) \to \psi(x)]) \to P(\psi)]$ **Thm. T1** Positive properties are possibly exemplified:  $\forall \phi [P(\phi) \rightarrow \Diamond \exists x \phi(x)]$ **Def. D1** A *God-like* being possesses all positive properties:  $G(x) \leftrightarrow \forall \phi[P(\phi) \rightarrow \phi(x)]$ Axiom A3 The property of being God-like is positive: P(G)**Cor. C** Possibly, God exists:  $\diamond \exists x G(x)$ **Axiom A4** Positive properties are necessarily positive:  $\forall \phi [P(\phi) \to \Box P(\phi)]$ **Def. D2** An essence of an individual is a property possessed by it and necessarily implying any of its properties:  $\phi ess. x \leftrightarrow \phi(x) \land \forall \psi(\psi(x) \rightarrow \Box \forall y(\phi(y) \rightarrow \psi(y)))$ **Thm. T2** Being God-like is an essence of any God-like being:  $\forall x[G(x) \rightarrow G ess. x]$ **Def. D3** Necessary existence of an individual is the necessary exemplification of all its  $NE(x) \leftrightarrow \forall \phi [\phi \ ess. \ x \rightarrow \Box \exists y \phi(y)]$ essences: **Axiom A5** Necessary existence is a positive property: P(NE)Thm. T3 Necessarily. God exists:  $\Box \exists x G(x)$ 



24

#### **Computational Metaphysics: Scott's Variant**

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#### Computational Metaphysics: Scott's Variant of Gödel's Ontological Argument

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#### Computational Metaphysics: Scott's and Gödel's Variants - Demo

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|----------|---|
| Axiom A2 |   |
|          | $\forall \phi \forall \psi [(P(\phi) \land \Box \forall x [\phi(x) \to \psi(x)]) \to P(\psi)]$                                    |
| Thm. T1  | $\forall \phi[P(\phi) \to \Diamond \exists x \phi(x)]$  |
| Def. D1  | $G(x) \leftrightarrow \forall \phi[P(\phi) \to \phi(x)]$  |
| Axiom A3 | P(G)  |
| Cor. C   | $\Diamond \exists x G(x)$   |
| Axiom A4 | $\forall \phi[P(\phi) \to \Box P(\phi)]$  |
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| Thm. T3  | $\Box \exists x G(x)$   |
### Computational Metaphysics: Scott's and Gödel's Variants - Demo

| $\forall \phi [P(\neg \phi) \leftrightarrow \neg P(\phi)$   | Axiom A1 |
|---|----------|
| $\forall \phi \forall \psi [(P(\phi) \land \Box \forall x [\phi(x) \to \psi(x)]) \to P(\psi)$                     | Axiom A2 |
| $G(x) \leftrightarrow \forall \phi[P(\phi) \rightarrow \phi(x)$   | Def. D1  |
| P(G   | Axiom A3 |
| $\forall \phi [P(\phi) \to \Box P(\phi)$  | Axiom A4 |
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| $NE(x) \leftrightarrow \forall \phi [\phi \ ess. \ x \to \Box \exists y \phi(y)$                                  |          |
| P(NE  | Axiom A5 |
| $\Box \exists x G(x)$   | Thm. T3  |

## Computational Metaphysics: Scott's and Gödel's Variants - Demo

|          | GodProof.thy  |      |      |  |  |  |
|----------|---|------|------|--|--|--|
|          | ) 🚍 🏝 🛯 🗉 😒 👌 🕐 : 💥 🗊 📴 : 🔍 🕀 : 🗂 🔀 🗟 🖉 : 🗮 🏷 : 🏤 : 🔞 🕂   |      |      |  |  |  |
|          | GodProof.thy (~/chris/trunk/tex/talks/2018-DEON/DEMO/)  | ٢    |      |  |  |  |
| 12       | 24 (* Ess: An essence of an individual is a property possessed by                                       |      | 63   |  |  |  |
| 12       | 25 it and necessarily implying any of its properties: *)  |      | -    |  |  |  |
| \delta12 | 26 definition ess (infixr "ess" 85) where   |      | D    |  |  |  |
| 12       | $ \  \  \  \  \  \  \  \  \  \  \  \  \ $   |      | ocur |  |  |  |
| 12       | 28  |      | nen  |  |  |  |
| 12       | 129 (* T2: Being God-like is an essence of any God-like being *)  |      |      |  |  |  |
| 13       | theorem T2: " $[\forall^{\perp}x. G(x) \rightarrow G \text{ ess } x]$ " by (metis Alb A4 G_def ess_def) |      | ă    |  |  |  |
| 13       |   |      | Sid  |  |  |  |
| 14       | 32 (* NE: Necessary existence of an individual is the necessary   |      | ekic |  |  |  |
| 1.       | exemplification of all itsmessences *)  |      | ~    |  |  |  |
| 12       | definition we where $NE(X) = (V^{-}\Psi, \Psi \text{ ess } X \rightarrow \Box(\exists^{-}y, \Psi(y)))$  |      | Stat |  |  |  |
| 112      | Se (* A5: Necessary existence is a positive property *)   |      | e    |  |  |  |
| 13       | 7 axiomatization where 45: "P(NE)!"   |      | The  |  |  |  |
| 13       |   |      | orie |  |  |  |
| 13       | 39 (* T3: Necessarily, God exists *)  |      | 0.   |  |  |  |
| 014      | 40 <b>theorem</b> T3: "□□(∃ <sup>±</sup> x. G(x)) "   |      |      |  |  |  |
| 14       | 11 sledgehammer   | - 10 |      |  |  |  |
| 14       | <pre>12 sledgehammer [remote_leo2 remote_satallax]</pre>  |      |      |  |  |  |
| 14       | <pre>43 by (metis A5 C G_def NE_def KB T2)</pre>  |      |      |  |  |  |
| 1.       | 44  |      |      |  |  |  |
|          |   |      |      |  |  |  |
|          | ✓ Proof state ✓ Auto update Update Search: ▼ 100%   | 0    |      |  |  |  |
|          | Sledgehammering   |      |      |  |  |  |
|          | Proof found   |      |      |  |  |  |
|          | "remote_satallax": Timed out  |      |      |  |  |  |
|          | "remote_leo2": Try this: by (metis Ala Alb A2 A3 A4 A5 C NE_def S4 S5 T1 T2 es                          | s_c  |      |  |  |  |
|          |   |      |      |  |  |  |
| •        | Output Ouery Sledgehammer Symbols   |      |      |  |  |  |

### Variant of Dana Scott

- the premises are consistent
- all argument steps are logically correct in (higher-order, extensional) modal logic
  - correct in logic S5
  - weaker logic KB is already sufficient
  - philosophical critique about use of S5 not justfied
- minimal dependencies determined by theorem provers
- alternative proofs (different from the ones in literature)

### Intermediate Conclusion:

With our technology...



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- the premises are inconsistent/contradictory
- everything follows!
- Philosophers had not seen this
- ... but my theorem prover LEO-II did

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### **Results of our Analysis**

... we continue with Scott's version

Further corollaries we can prove

- Monotheism
- Gott is flawless (has only positive properties)
- . . .
- Modal collapse:  $\varphi 
  ightarrow \Box \varphi$ 
  - there are no contingent truths
  - no alternative worlds
  - everything is determined
  - no free will



Can the Modal Collapse be avoided (with minimal changes)?



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Challenge:

### Can the Modal Collapse be avoided?

### SOME EMENDATIONS OF GÖDEL'S ONTOLOGICAL PROOF

C. Anthony Anderson

Kurt Gödel's version of the ontological argument was shown by J. Howard Sobel to be defective, but some plausible modifications in the argument result in a version which is immune to Sobel's objection. A definition is suggested which permits the proof of some of Gödel's axioms.

#### Gödel's Ontological Proof Revisited \*

C. Anthony Anderson and Michael Gettings

University of California, Santa Barbara Department of Philosophy

Globbly version of the modal carotogical argument for the existence of Glob has been critication by J. Howard Sole [9] and modified by C. Antheopy Anderson [1]. In the present paper we consider the extent to which Andercons' mematiation is diseased by the type of coljection first officent by the Modal Gamilto to S. Ansento's original Ostological Argument. And we try to push generative with the evaluated of the sole of the sole of the sole of the systemetric with the evaluated of the sole of the sole of the sole of the what seems to be the main weakness of this emendation of Gidel's attempted preof.

Petr Hájek

#### A New Small Emendation of Gödel's Ontological Proof

Keywords: Ontological proof, Gödel, modal logic, comprehension, positive properties,

#### 1. Introduction

Gódel' outobagical perof of necessary existence of a godilia being was finally published in the thrin volume of Gódel's collected works' [7], but it because known in 1970 when Gödel aboved the proof to Dana Scott and Scott presented it (in fact a variant of it) at a seminary setting experiments. Detailed history is found in Adams' introductory remarks to the ontological proof in [7]. The proof user modal logic and its analysis is an exciting exercise in systems of formal modal logic. Revelles to asy, formal modal logic has found experison and the setting experiments and the setting exercise in systems of formal modal logic. Revelles to asy, formal modal logic has found servers

### Magari and others on Gödel's ontological proof

Petr Hájek Institute of Computer Science, Academy of Sciences 182 07 Prague, Czech Republic e-mail: hajek@uivt.cas.cz

#### Introduction

This paper is a continuation of my paper [H] and concentrates almost exclusively to mathematical properties of logical systems underlying Gödel's ontological proof [G] and its variant by Anderson [A], with special case paid to Mgari's critical [M]. Since [H] is written in German, we shall try to summarize its content in such a way that knowledge of [H] will be not obligatory for reading the present paper (wenn it remains advantageou). Here we describe

### Understanding Gödel's Ontological Argument

FRODE BJØRDAL

In 1970 Kart Gödel, in a hand-written note entitled "Ontologischer Beweis", pat forward an ontological argument for the existence of God, making use of second-order modal logical grinniples. Let the second-order formula P(F) stand for "the property F is positive", and let "God" signify the property of being God-like. Gödel presupposes the following edimitions:

#### Der Mathematiker und die Frage der Existenz Gottes (betreffend Gödels ontologischen Beweis)

En int gut, daß wir nicht wissen, andern glauben, daß ein Got sei. (Kant, Nachlaß)

#### 1. Einführung

Codds in a theorem surveillenzistic Beesis for de novembarg Existence sins Gordshellenz Wersen has sword) hangonglauches al aus dam Homanischke Interness preveck Zawek der wei Ingesofen Aucht ist es, zu einer Destang des Gödelstein Frants beinstangen, I. alvert Kommeinnerung der einskälligten Liensen zu d. Zuch Beneitshelleng von surse Modellikern: Um Alseis entlich Liense philosophischen Itange. Wilhered der leitern Jahre hate Lien von Preferson einer Gereichen Berger, Wilhered der leitern Hart hate hate von Preferson Preferenzen einer Berger auf einer Berger auf einer Berger einer Gereichten Berger, Jahren Phil, Jahre Berger, Berger einer Gereichten Berger, Jahren Phil, Jahre Berger, Berger einer Berger, Berger Phil, Jahre Berger, Jahren Berger, Berger einer Berger, Berger Phil, Jahre Berger, Jahren Berger, Berger einer Berger, Berger Phil, Berger Phil, Berger einer Berger, Berger Phil, Berger Phil, Berger Berger, Berger einer Berger, Berger Phil, Berger Phil, Berger Berger, Berger einer Berger, Berger Phil, Berger Phil, Berger einer Berger einer

### Can the Modal Collapse be avoided?



contributed to clarification of controversy —
 revealed various flaws and issues —

[Logica Universalis, 2017]

### Very Recent Experiments (AISSQ 2018 keynote lecture)

# Comparison of

- Gödel/Scott (1972)
- C. Anthony Anderson (1990)
- Melvin Fitting (2002)

modal collapse avoids modal collapse avoids modal collapse

# **Questions:**

- How do Anderson and Fitting the avoid modal collapse?
- Are their solutions related?

To answer this questions we will apply some notions from

- mathematics: ultrafilters
- philosophy of language: extension and intension of predicates

- "Godlike" has been defined in terms of "positive properties"
- "positive properties" linked in experiments with notion of "ultrafilter"
- We then distinguished between
  - P: positive intensional properties
  - $\mathcal{P}'$ : positive ("rigidly intensionalised") extensions of properties
- ► Gödel/Scott variant axiomatises *P*:
- Anderson's variant axiomatises  $\mathcal{P}$ :
- Fitting's variant axiomatises only  $\mathcal{P}'$ :

 $\mathcal{P} = \mathcal{P}'$  is an ultrafilter  $\neq \mathcal{P}'$ ; only  $\mathcal{P}'$  is an ultrafilter  $\mathcal{P}'$  is an ultrafilter

Modal collapse holds for Gödel/Scott variant, but not for Anderson's & Fitting's!

They achieve this in seemingly different ways.

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Ed Zalta (Stanford)

Principia Logico-Metaphysica

Hyperintensional higher-order modal logic

Inconsistency/Paradox detected



Daniel Kirchner (Mathematics, FU Berlin)



Ed Zalta (Stanford)

### Principia Logico-Metaphysica

Hyperintensional higher-order modal logic

Inconsistency/Paradox detected



Daniel Kirchner (Mathematics, FU Berlin)



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### **Kirchner Paradox**

Daniel & Isabelle/HOL have become close advisors of Ed Zalta in the search for a repair

Computational Metaphysics par excellence!!!

Papers on these topics: http://christoph-benzmueller.de -> Publications



Ed Zalta (Stanford)



### Principia Logico-Metaphysica

Hyperintensional higher-order modal logic

Inconsistency/Paradox detected

### **Category Theory**

### Free first-order logic

(Constricted) Inconsistency detected

See forthcoming article in JAR



Daniel Kirchner (Mathematics, FU Berlin)



D. Scott (UC Berkeley)

"If we had it [a *characteristica universalis*], we should be able to reason in metaphysics and morals in much the same way as in geometry and analysis."

(Leibniz, 1677)

Part D Demo(s): Normative Reasoning Experimentation Platform



### Demo I: SDL in Isabelle/HOL

[Logica Universalis, 2013]

```
SDL.thv
📄 🗁 🖻 : 🛎 : 🥎 🥐 : 💥 🗊 🗊 : 👩 🖓 : 🗂 🔀 🐼 : 🛋 💥 : 📥 : 🙆 🕂 💳 :
 SDL.thy (~/chris/trunk/tex/talks/2018-DEON/DEMO/)
  1 theory SDL imports Main
                                                          (* Christoph Benzmüller & Xavier Parent, 2018 *)
                                                                                                                                     -
  3 Degin (* SDL: Standard Deontic Logic (Modal Logic D) *)
  4 typedecl i (*type for possible worlds*) type synonym \sigma = "(i \Rightarrow bool)"
                                                                                                                                     Documentation
     consts r::"i⇒i⇒bool" (infixr"r"70) (*Accessibility relation.*) cw::i (*Current world.*)
  6
     abbreviation mtop ("T") where "T \equiv \lambda w. True"
  8 abbreviation mbot ("\perp") where "\perp \equiv \lambda w. False"
  9 abbreviation mnot ("¬_"[52]53) where "¬\varphi \equiv \lambda w. ¬\varphi(w)"
                                                                                                                                     Sidekick
 10 abbreviation mand (infixr"\wedge"51) where "\varphi \wedge \psi \equiv \lambda w. \varphi(w) \wedge \psi(w)"
     abbreviation mor (infixr"\vee"50) where "\varphi \lor \psi \equiv \lambda w. \varphi(w) \lor \psi(w)"
 11
 12
     abbreviation mimp (infixr"\rightarrow"49) where "\varphi \rightarrow \psi \equiv \lambda w. \ \varphi(w) \rightarrow \psi(w)"
 13
     abbreviation mean (infixr"\leftrightarrow"48) where "\omega \leftrightarrow \psi \equiv \lambda w, \omega(w) \leftrightarrow \psi(w)"
                                                                                                                                     State
     abbreviation mobligatory ("OB") where "OB \varphi \equiv \lambda w. \forall v. w r v \longrightarrow \varphi(v)" (*obligatory*)
 14
     abbreviation mpermissible ("PE") where "PE \omega \equiv \neg (OB(\neg \omega))" (*permissible*)
                                                                                                                                     Theories
     abbreviation mimpermissible ("IM") where "IM \varphi \equiv OB(\neg \varphi)" (*impermissible*)
 16
     abbreviation omissible ("OM") where "OM \omega \equiv \neg (OB \omega)" (*omissible*)
     abbreviation moptional ("OP") where "OP \varphi \equiv (\neg (OB \ \varphi) \land \neg (OB(\neg \varphi)))" (*optional*)
 18
 19
     abbreviation ddlvalid::"\sigma \Rightarrow bool" ("| "[7]105)
                                                                        (*Global Validity*)
520
      where ||A| \equiv \forall w. A w''
     abbreviation ddlvalidcw::"\sigma \Rightarrow bool" ("___w"[7]105) (*Local Validity (in cw)*)
23
      where "|A|_{cw} \equiv A cw"
 24
    (* The D axiom is postulated *)
     axiomatization where D: "\neg ((OB \varphi) \land (OB (\neg \varphi)))|"
 26
 27
 28 (* Meta-level study: D corresponds to seriality *)
 29 lemma "|\neg ((OB \varphi) \land (OB (\neg \varphi))) \leftrightarrow (\forall w. \exists v. w r v)" by auto
 30
 31 (* Standardised syntax: unary operator for obligation in SDL *)
     abbreviation obligatorySDL:: "\sigma \Rightarrow \sigma" ("0()") where "0(A) = 0B A"
 32
 33
 34 (* Consistency *)
      lemma True nitpick [satisfy] oops
35
```

### Demo I: DDL in Isabelle/HOL

|     | DDL.thy   |        |      |
|-----|---|--------|------|
|     | ) 🚍 🖄 🛯 = 🚔 = 🥠 🥐 = 🔏 🗊 🗊 = 🗔 🖓 = 🗂 🖾 🖂 🐼 = 🔞   | . 🕐 .  | ÷    |
|     | DDL.thy (~/chris/trunk/tex/talks/2018-DEON/DEMO/)   | 0      |      |
| 1   | theory DDL imports Main (* Christoph Benzmüller & Xavier Parent & Ali Farjami, 2018 *)  | 1      | . 8  |
| 2   |   |        |      |
| Θ 3 | begin (* DDL: Dyadic Deontic Logic by Carmo and Jones *)  |        |      |
| 4   | <b>Typedecl 1</b> ("Type for possible worlds") <b>Type_synonym</b> $\sigma = "(1 \Rightarrow bool)"$<br>consts av:""i= $\sigma$ " pv:"i= $\sigma$ " (about the synonym $\sigma$ (about the synonym $\sigma$ (const) (truncate the synonym term the synonym term term to the synonym term term term term term term term ter  |        |      |
| 6   | constraint in printing building (accompany) (accompany)   |        | 6    |
| ¢ 7 | axiomatization where  |        | Ē    |
| 8   | ax_3a: " $\exists x. av(w)(x)$ " and ax_4a: " $\forall x. av(w)(x) \longrightarrow pv(w)(x)$ " and ax_4b: " $pv(w)(w)$ " and  |        | ent  |
| 9   | ax_ba: " $\rightarrow$ ob(X)(Xx. False)" and<br>ax_bb: "( $\rightarrow$ (Y)(X) $\rightarrow$ X(x)) $\rightarrow$ (Z(y) $\wedge$ X(y))) $\rightarrow$ (ob(Y)(Y) $\rightarrow$ ob(Y)(Z))" and   |        | atio |
| 11  | ax 5c: " $((\forall z, \beta(z) \rightarrow ob(x)(z)) \land (\exists z, \beta(z))) \rightarrow$   |        | S    |
| 12  | $(((\exists y, ((\lambda w, \forall Z, (\beta Z) \longrightarrow (Z w))(y) \land X(y))) \longrightarrow ob(X)(\lambda w, \forall Z, (\beta Z) \longrightarrow (Z w))))^* and$   |        | S    |
| 13  | $ax\_5d: "((\forall w. Y(w) \longrightarrow X(w)) \land ob(X)(Y) \land (\forall w. X(w) \longrightarrow Z(w)))$   |        | ide  |
| 14  | $\longrightarrow$ ob (2) ( $\lambda w$ . (2( $w$ ) $\wedge \neg X(w)$ ) $\vee Y(w)$ ) <sup>a</sup> and<br>$=$ ( $(\lambda w) = X(w)$ ) $\wedge z(w) \wedge \neg X(w) \vee Y(w)$   |        | - Si |
| 16  | ax_se: $((\forall w, T(w) \rightarrow X(w)) \land Ob(X)(2) \land (\exists w, T(w) \land Z(w))) \rightarrow Ob(T)(2)$  |        | ~    |
| 17  | abbreviation ddlneg ("¬ "[52]53) where "¬A = $\lambda w$ . ¬A(w)"   |        | St   |
| 18  | abbreviation ddland (infixr" $^{151}$ ) where "A $AB \equiv \lambda w$ . A(w) $AB(w)$ "   |        | ate  |
| 19  | abbreviation ddlor (infixr"v"50) where "AVB $\equiv \lambda w$ . A(w)vB(w)"   |        |      |
| 20  | abbreviation ddlimp (infixr" $\rightarrow$ "49) where " $A \rightarrow B \equiv \lambda w$ . $A(w) \rightarrow B(w)$ "  |        | 글    |
| 21  | abbreviation defequiv (infinite $\leftrightarrow 40$ ) where $A \leftrightarrow b = A \otimes A \otimes (A \otimes A) \otimes (A$ |        | Ö    |
| 23  | abbreviation ddlboxa (" $\Box_a$ ") where " $\Box_a A \equiv \lambda w$ . ( $\forall x. av(w)(x) \rightarrow A(x)$ )" ('in all actual worlds*)  |        | ies  |
| 24  | abbreviation ddlboxp (" $\Box_p$ ") where " $\Box_p A \equiv \lambda w$ . $(\forall x. pv(w)(x) \longrightarrow A(x))$ " (*in all potential worlds*)  |        |      |
| 25  | abbreviation ddldia (" $\diamond$ ") where " $\diamond$ A $\equiv \neg \Box (\neg A)$ "   |        |      |
| 26  | abbreviation ddidiae (" $\bigcirc$ ") where " $\bigcirc$ A = $\neg \Box_{a}(\neg A)$ "  |        |      |
| 28  | abbreviation ddlo ("0(  )"[52]53) where "0(B A) $\equiv \lambda \psi$ , ob(A)(B)" (*it ought to be $\psi$ , given $\varphi$ *)  |        |      |
| 29  | abbreviation ddloa ("0,") where "0,A $\equiv \lambda w$ . ob(av(w))(A) $\land$ ( $\exists x$ . av(w)(x) $\land \neg A(x)$ )" (*actual obligation of the second  | Lon*)  |      |
| 30  | abbreviation ddlop ("0 <sub>p</sub> ") where "0 <sub>p</sub> A $\equiv \lambda w$ . ob(pv(w))(A) $\wedge (\exists x. pv(w)(x) \wedge \neg A(x))$ " (*primary obliged by the second se   | tion*) |      |
| 31  | abbreviation ddltop ("T") where "T $\equiv \lambda w$ . True"   |        |      |
| 32  | abbreviation detbot ( $\perp$ ) where $\perp = \lambda W$ . Parse   |        |      |
| 34  | abbreviation ddlvalid::" $\sigma \Rightarrow bool"$ ("  "[7]105) where " A  $\equiv \forall w. A w^*$ (*Global validity*)   |        |      |
| 35  | abbreviation ddlvalidcw::" $\sigma \Rightarrow bool"$ ("_]cu"[7]105) where "[A]cw $\equiv$ A cw" (*Local validity (in cw)*)   |        |      |
| 36  |   |        |      |
| 37  | (* A  is obligatory )   |        |      |
| 30  | abbreviation obtigatorypolet, $v \rightarrow v$ ( $v_{-1}$ ) where $v_{A} = v_{A+1}$  | _      |      |
| 40  | (* Consistency *)   |        |      |
|     | lemma True nitpick [satisfy] oops   |        |      |
| 42  | I   |        |      |

🛚 🕶 Output Query Sledgehammer Symbols

### Demo I: Experimenting with SDL and DDL in Isabelle/HOL

[arXiv:1804.02929]



Output Query Sledgehammer Symbols

### Demo I: Global vs. Local Consequence Relation



### Experimenting with SDL and DDL in Isabelle/HOL

1. SDL in HOL

(propositional, first/higher-order, different quantifiers, logic combinations)

- already covered by earlier work
- 2. DDL in HOL

(propositional)

- with Ali Farjami and Xavier Parent
- faithfulness (assuming Henkin semantics)
- 3. DDL in HOL

(first/higher-order, different quantifiers, logic combinations)

- straightforward combination with (1)
- more later
- 4. Ask me for longer demo!

### Demo II: I/O-Logic in Isabelle/HOL

[arXiv:1803.09681]

### Input/output (I/O) logic

[Makinson, JPL, 2000], [GabbayHortyParentEtAl-Handbook, 2013]

- I/O-operators, such as out1 (simple-minded output), accept set G of conditional norms as argument
- Conditional norms: pairs (a,x) with input "a" (condition) and output "x" (obligation)
- Pairs (a,x) are not given a truth-functional semantics in I/O logic

### Demo II: I/O-Logic in Isabelle/HOL

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### Semantics of out1

- out1(G,A) := Cn(G(Cn(A)))
- where  $Cn(X) := \{s \mid X \models s\}$  and  $G(X) := \{s \mid \exists a \in X. (a, s) \in G\}.$
# Demo II: I/O-Logic in Isabelle/HOL

# Input/output (I/O) logic

[Makinson, JPL, 2000], [GabbayHortyParentEtAl-Handbook, 2013]

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#### Demo II: I/O-Logic in Isabelle/HOL

[arXiv:1803.09681]

```
IO Logic.thv
🖻 🔚 🖄 🖬 : 🐣 : 🦘 🕐 : 💥 🕞 📋 : 👧 🕾 : 🗂 🔀 🐼 : 📥 : 🐼 : 📥 : 🐼 :
IO Logic.thy (~/chris/trunk/tex/talks/2018-DEON/DEMO/)
28 (* Some Tests *)
29 consts a::e b::e e::e
30 abbreviation "G1 = (\lambda X. X=(a,e) \lor X=(b,e))" (* G = {(a,e), (b,e)} *)
31
                                                                                                    Documentation
32 lemma "out1 G1 a e" by blast (*proof*)
33 lemma "outpre G1 a e" by blast (*proof*)
34 lemma "outpre Gl (a \vee b) e" nitpick oops (*countermodel*)
35 lemma "outl G1 (a ∨ b) e" nitpick oops (*countermodel*)
36 lemma "|x| \implies outpre Gl (a \vee b) x" nitpick oops (*countermodel*)
                                                                                                    Sidekick
37 lemma "|x| \implies outl G1 (a \lor b) x" by blast (*proof*)
38
39
   (* GDPR Example from before *)
40
                                                                                                    State
41 consts
              pr d lawf::e erase d::e kill boss::e
42
43 abbreviation (* G = {(T,pr d lawf), (pr d lawf, ¬erase d), (¬pr d lawf, erase d)} *)
                                                                                                    Theories
44 "G = (\lambda X. X=(\top, pr d lawf) \vee X=(pr d lawf, \negerase d) \vee X=(\negpr d lawf, erase d) )"
45
46 lemma "outl G (¬pr d lawf) erase d" by smt (*proof*)
47 lemma "outl G (¬pr d lawf) (¬erase d)" nitpick oops (*countermodel*)
48 lemma "outl G (¬pr d lawf) kill boss" nippick oops (*countermodel*)
49 lemma "outl G (¬pr d lawf) ⊥" nitpick oops (*countermodel*)
                  Proof state Auto update
                                                 Update
                                                          Search:
                                                                                        100%
 Nitpicking formula...
 Nitpick found a counterexample for card i = 2:
   Skolem constant:
      w = i_1
   Constants
      erase d = (\lambda x::i.)(i_1 := True, i_2 := True)
      kill boss = (\lambda x::i.)(i_1 := False, i_2 := False)
      pr_d_lawf = (\lambda x::i. _)(i_1 := False, i_2 := True)

    Output Ouery Sledgehammer Symbols
```

# Demo III: Preference-based DDL in Isabelle/HOL

Journal of Philosophical Logic / Vol. 43, No. 6, December 2014 / Maximality vs. Optim...



JOURNAL ARTICLE

# Maximality vs. Optimality in Dyadic Deontic Logic: Completeness Results for Systems in Hansson's Tradition

Xavier Parent Journal of Philosophical Logic Vol. 43, No. 6 (December 2014), pp. 1101-1128

#### Demo III: Preference-based DDL in Isabelle/HOL

Journal of Philosophical Logic / Vol. 43, No. 6, December 2014 / Maximality vs. Optim...



"Act in accord with the generic rights of your recipients as well as of yourself. I shall call this the Principle of Generic Consistency (PGC), since it combines the formal consideration of consistency with the material consideration of rights to the generic features or goods of action." (Alan Gewirth, Reason and Morality, 1978)

#### Gewirth's PGC has

- stirred much controversy in moral philosophy
- been discussed as means to bound the impact of artificial general intelligence (AGI)

## Idea (in a nutshell):

- devise a safety mechanism of a mathematical (deductive) nature
- to ensure that an AGI respects human's freedom and well-being
- mechanism is based on assumption that it is able to recognize itself, as well as us humans, as agents (prospective purposive agents, PPA) which
  - act voluntarily on self-chosen purposes, and
  - reason rationally

# References

- A. Gewirth. Reason and morality. U of Chicago Press, 1978.
- D. Beyleveld. The dialectical necessity of morality: An analysis and defense of Alan Gewirth's argument to the principle of generic consistency. U of Chicago Press, 1991.
- A. Kornai. Bounding the impact of AGI. J. Experimental & Theoretical AI, 2014.

The idea is to constrain potential AGI's to reason in the following way

- It is necessary for me (as an AGI) to accept that:
  - (P1) I act voluntarily on purpose E (equivalent by definition to "I am a PPA")
  - (C2) E is good (for me)
  - (P3) In order to achieve any purpose whatsoever by my agency, I need my freedom and well-being
  - (C4) My freedom and well-being are necessary goods (for me)
  - (C5) I (even if no one else) have a claim right to my freedom and well-being
- It is necessary for all PPAs to accept that:
  - (C9) Every PPA has a necessary right to their freedom and well-being

The idea is to constrain potential AGI's to reason in the following way

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Any AGI (PPA) denying that it is bound by the PCG (e.g. by refusing to respect humans' F&WB) would deny that it is a PPA (and thus its own agency).

Hence, to avoid self-contradiction, an AGI would be bound to accord basic rights to humans.

| Gewirth3.thy   |         |       |  |  |  |
|--|---------|-------|--|--|--|
| 📄 🚘 💁 🗉 a 🚖 a 🥱 🥐 a 🔏 🗊 🗊 a 👧 🖓 a 🗂 🔀 🐼 a 😹 💥 a 🏤 a 🕘 🕂 🗕 a  |         |       |  |  |  |
| Gewirth3.thy (~/chris/trunk/tex/talks/2018-DEON/DEMO/Gewirth/)   |         | 0     |  |  |  |
| 15 (** C9: "Every PPA has a necessary right to their freedom and well-being"*)   |         | 83    |  |  |  |
| old theorem C9: "[∀a. PPA a → □_R]ghtTo a FWB]^"   |         | +     |  |  |  |
|  |         | _     |  |  |  |
| 619 fix I {  |         | De la |  |  |  |
| 20 fix E {   |         | E E   |  |  |  |
| 21 (** Stage I *)  |         | enta  |  |  |  |
| 22 absume ri: [Action of pose re] (Tact vocultarity of phose r)<br>23 from P1 have P1 var: "[PPA I] <sup>a</sup> by auto (*definition of PPA*)   |         | atio  |  |  |  |
| 24 from P1 have C2: "[Good I E] <sup>A</sup> " using explicationGoodness] by blast (*E is good for me (I)*)  |         | -     |  |  |  |
| 25 hence C4: "[D_Good I (FWB I)]" using explicationGoodness2 P3 by blast (*My F&WB are necesary to be a second | goods*) | Sid   |  |  |  |
| 26 (** Stage II *)<br>pance Cda: ")0[EVB I   D Good I (EVB I)]" using explicationGoodness3 explicationEVB1 by blas   | +       | eki   |  |  |  |
| 28 hence C4b: "[0, (FWB ]) " using explicationFWB explicationFWB2 C4 CJ 14b by blast   |         | ×     |  |  |  |
| 29 hence C4c: "[0.( $\diamond_o$ (FWB I))]" using OIOAC by auto  |         | Sta   |  |  |  |
| 30 hence C5a: "[0.(∀a. ¬InterferesWith a (FWB I))]" using explicationInterference2 by auto   |         | lte   |  |  |  |
| 31 hence C5: "[Kightio 1 FWG]" by simp ("I have a claim right to my treedom and Well-being")<br>32 hence C5 var: "[D_Rightio 1 FWG]" by simp   |         | 7     |  |  |  |
| 33 }   |         | leoi  |  |  |  |
| 34 (** Stage IIIa *)   |         | Ties  |  |  |  |
| hence C6: "[ActsOnPurpose I E → □_RightTo I FWB] <sup>A</sup> " by (rule impI)   |         |       |  |  |  |
| 30 }<br>37 bence (7: "LVP. ActsOnPurpose I P $\rightarrow \Box_{*}$ RightTo I EWB[A" by (rule allI)  |         | -     |  |  |  |
| 38}  |         |       |  |  |  |
| 39 hence C8: "[ $\forall a$ . $\forall P$ . ActsOnPurpose a $P \rightarrow \Box_p RightTo a FWB]^*$ by (rule all])   |         |       |  |  |  |
| $0.40$ hence C9 var: "[Va. PPA a $\rightarrow \Box_{\rho}$ RightTo a FWB]"   |         |       |  |  |  |
| 42 thus ?thesis by simp  |         |       |  |  |  |
| _43 ged  |         |       |  |  |  |
| 44   |         |       |  |  |  |
|  |         |       |  |  |  |
| ✓ Proof state ✓ Auto update Update Search:   | 100% 3  | 0     |  |  |  |
| proof (prove)  |         |       |  |  |  |
| goal (1 subgoal):  |         |       |  |  |  |
| 1. (AX. [PPA X]^) $\sqsubseteq$ (AX. pV aw $\bigsqcup$ $O_1(AW. VXA. (¬interfereswith XA (FWB X)) W))$   |         |       |  |  |  |
|  |         |       |  |  |  |
|  |         |       |  |  |  |
| 🗖 🖛 Output Ougge Sladgebammer Sumbelr  |         |       |  |  |  |
| a - Output Query Sledgenammer Symbols  |         |       |  |  |  |

By David Fuenmayor, cf. http://christoph-benzmueller.de/papers/2018-GewirthArgument.zip

The idea is to constrain potential AGI's to reason in the following way

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  - (C5) I (even if no one else) have a claim right to my freedom and well-being
- It is necessary for all PPAs to accept that:
  - (C9) Every PPA has a necessary right to their freedom and well-being

#### Most recent encoding and assessment of Gewirth's PGC:

Formalisation and Evaluation of Alan Gewirth's Proof for the Principle of Generic Consistency in Isabelle/HOL (D. Fuenmayor, C. Benzmüller), Archive of Formal Proofs, 2018. https://www.isa-afp.org/entries/GewirthPGCProof.html



What is Leo-III?

- ATP for classical HOL (by A. Steen, M. Wisniewski and myself)
- ordered paramodulation; efficient data-structures; parallelisation; etc.
- native support for more than 120 logics (all normal quantified modal logics)
- including native support for quantified SDL and DDL
- Website: http://page.mi.fu-berlin.de/lex/leo3/
- Download: https://github.com/leoprover/Leo-III



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Brand new: Support for Dyadic Deontic Logic (Carmo/Jones)

- Enhance propositional TPTP fragment with
  - 1. Dyadic deontic obligation \$O(p/q)
  - 2. Actual/Primary deontic obligations \$O\_a(p), \$O\_p(p)
  - 3. Box operators \$box(p), \$box\_a(p),\$box\_p(p)





| ASCII               | Syntax | Meaning   |
|---------------------|--------|---|
|                     |        | Negation  |
|                     |        | Disjunction   |
| &                   |        | Conjunction   |
|                     |        | Material implication  |
|                     |        | Equivalence   |
| \$0(p/q)            | O(p/q) | <b>Dyadic deontic obligation</b> (It ought to be $p$ given that $q$ ) |
| <pre>\$box(p)</pre> |        | In all worlds $p$   |

Input statements: ddl(<name>, <role>, <formula>).

Brand new: Support for Dyadic Deontic Logic (Carmo/Jones)

- Enhance propositional TPTP fragment with
  - 1. Dyadic deontic obligation \$O(p/q)
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| ASCII               | Syntax        | Meaning   |
|---------------------|---------------|---|
| ~                   | 7             | Negation  |
|                     | V             | Disjunction   |
| &                   | Λ             | Conjunction   |
| =>                  | $\Rightarrow$ | Material implication                                      |
| <=>                 | ⇔             | Equivalence   |
| \$0(p/q)            | O(p/q)        | Dyadic deontic obligation (It ought to be p given that q) |
| <pre>\$box(p)</pre> | $\Box(p)$     | In all worlds <i>p</i>                                    |

Input statements: ddl(<name>, <role>, <formula>).

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Example This problem can directly be given to Leo-III

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ddl(a1, axiom, $0(processDataLawfully)).
ddl(a2, axiom, $0(eraseData/~processDataLawfully)).
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```

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... giving ...

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```
Leo3 — -bash — 77×29
leopard:Leo3 cbenzmueller$ more gdpr.p
ddl(a1, axiom, $0(processDataLawfully)).
ddl(a2, axiom, (~processDataLawfully) => $0(eraseData)).
ddl(a3, localAxiom, ~processDataLawfully).
ddl(c1, conjecture, $0(eraseData)).
leopard:Leo3 cbenzmueller$ leo3 gdpr_killboss.p --ddl
```

# References (selected): http//:christoph-benzmueller.de -> Publications

#### Survey Paper (see the references therein)

 Universal (Meta-)Logical Reasoning: Recent Successes, Science of Computer Programming, 2018. (In print, DOI: 10.1016/j.scico.2018.10.008)

#### **Deontic Logic Reasoning Infrastructure**

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#### **Computational Metaphysics & Ontological Argument**

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#### Other (selected)

► The Higher-Order Prover Leo-III, IJCAR 2018, Springer LNCS, 2018.



Argued for explicit ethical reasoning competencies in IASs

- development of normative reasoning experimentation platform
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- suitable also for teaching

Ongoing and further work

- workbench of deontic logics (expressive, logic combinations)
- formalisation and mechanisation of foundational ethical theories
- experiments ... deployment



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## **Before I forget:**

# - A big thanks to -

# University of Luxembourg:

ILIAS group of Leon van der Torre, many others

# **Research Grants:**

DFG, Heisenberg grant: Computational Metaphysics, BE 2501/9, **2012-2017** DFG, Project Leo-III: Higher-Order Theorem Prover, BE 2501/9, **2013-2017** 

# Various Collaborators:











B. Woltzenl.-P. (ANU Canberra)

Alexander Steen (FU Berlin) Max Wisniewski (FU Berlin) Ed Zalta (Stanford U.)

Dana Scott (UC Berkeley)

# Many further Collaborators and Students:

Matthias Bentert (TU Berlin), Jasmin Blanchette (Amsterdam), Chad Brown (Prag), Maximilian Claus, David Fuenmayor, Tobias Gleißner, Kim Kern, Daniel Kirchner, Hanna Lachnitt, Irina Makarenko (alle FU Berlin), Larry Paulson (Cambridge), Fabian Schütz, Hans-Jörg Schurr, David Streit, Marco Ziener (alle FU Berlin), und weitere Studenten in Berlin und Luxemburg