

Cut-free Calculi for Challenge Logics in a Lazy Way

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Cut-elimination in classical higher-order logic (HOL)

- ▶ History: Takeuti, . . . , Andrews
- ▶ Here: Own cut-free one-sided sequent calculus

Many non-classical logics are fragments of HOL

- ▶ Here: Quantified conditional logics

Cut-elimination for free

Beware of cut-simulation

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Syntax

$$s, t ::= c_\alpha \mid X_\alpha \mid (\lambda X_\alpha s_\beta)_{\alpha \rightarrow \beta} \mid (s_{\alpha \rightarrow \beta} t_\alpha)_\beta \mid \\ (\neg_{o \rightarrow o} s_o)_o \mid (s_o \vee_{o \rightarrow o \rightarrow o} t_o)_o \mid (\Pi_{(\alpha \rightarrow o) \rightarrow o} s_{\alpha \rightarrow o})_o$$

(Note: Binder notation $\forall X_\alpha t_o$ as syntactic sugar for $\Pi_{(\alpha \rightarrow o) \rightarrow o} \lambda X_\alpha t_o$)

HOL with Henkin semantics is (meanwhile) well understood

- Origin [Church, JSymbLog, 1940]
- Henkin semantics [Henkin, JSymb. Log, 1950]
[Andrews, JSymbLog, 1971, 1972]
- Extens./Intens. [BenzmüllerEtAl, JSymbLog, 2004]
[Muskens, JSymbLog, 2007]

Sound and complete provers do exist

Cut-free Calculi for HOL

- ▶ Takeuti (1953): defined GLC (generalized logical calculus) by extending Gentzen's LK; conjectured cut-elimination for GLC
- ▶ Schütte (1960): simplified version GLC; gave a semantic characterization Takeuti's conjecture.
- ▶ Tait (1966): proved Schütte's conjecture.
- ▶ Takahashi (1967), Prawitz (1968): proved higher-order versions of the conjecture.
- ▶ Girard (1971): Takeuti's conjecture as a consequence of strong normalization for System F.
- ▶ Andrews (1971): Completeness of resolution in elementary type theory with abstract consistency technique.
- ▶ Takeuti (1975): Henkin complete cut-free sequent calculus with extensionality.
- ▶ Brown (2004), Benzmüller et al. (2004, 2009), and Brown and Smolka (2010): Various complete cut-free calculi with/without extensionality, use of abstract consistency technique

Cut-free calculi for HOL

One-sided sequent calculus $\mathcal{G}_{\beta\text{ff}}$ [BenzmüllerBrownKohlhase, LMCS, 2009]
 (Δ : finite sets of β -normal closed formulas, $\Delta * \mathbf{A}$ stands for $\Delta \cup \{\mathbf{A}\}$,
 cwff_α : set of closed terms of type α , \doteq abbreviates Leibniz equality):

Base Rules

$$\frac{\mathbf{A} \text{ atomic (\& } \beta\text{-normal)}}{\Delta * \mathbf{A} * \neg \mathbf{A}} \mathcal{G}(\text{init}) \quad \frac{\Delta * \mathbf{A}}{\Delta * \neg \neg \mathbf{A}} \mathcal{G}(\neg) \quad \frac{\Delta * \neg \mathbf{A} \quad \Delta * \neg \mathbf{B}}{\Delta * \neg(\mathbf{A} \vee \mathbf{B})} \mathcal{G}(\vee_-)$$

$$\frac{\Delta * \mathbf{A} * \mathbf{B}}{\Delta * (\mathbf{A} \vee \mathbf{B})} \mathcal{G}(\vee_+)$$

$$\frac{\Delta * \neg(\mathbf{AC}) \downarrow_\beta \quad \mathbf{C} \in \text{cwff}_\alpha}{\Delta * \neg \Pi^\alpha \mathbf{A}} \mathcal{G}(\Pi_-^c)$$

$$\frac{\Delta * (\mathbf{Ac}) \downarrow_\beta \quad c_\alpha \text{ new}}{\Delta * \Pi^\alpha \mathbf{A}} \mathcal{G}(\Pi_+^c)$$

Full Extensionality

$$\frac{\Delta * (\forall X_\alpha. \mathbf{AX} \doteq^\beta \mathbf{BX}) \downarrow_\beta}{\Delta * (\mathbf{A} \doteq^{\alpha \rightarrow \beta} \mathbf{B})} \mathcal{G}(f)$$

$$\frac{\Delta * \neg \mathbf{A} * \mathbf{B} \quad \Delta * \neg \mathbf{B} * \mathbf{A}}{\Delta * (\mathbf{A} \doteq^\circ \mathbf{B})} \mathcal{G}(b)$$

Initial. and Decomp. of Leibniz Equality

$$\frac{\Delta * (\mathbf{A} \doteq^\circ \mathbf{B}) \quad \mathbf{A}, \mathbf{B} \text{ atomic}}{\Delta * \neg \mathbf{A} * \mathbf{B}} \mathcal{G}(\text{Init}^\doteq)$$

$$\frac{\Delta * (\mathbf{A}^1 \doteq^{\alpha_1} \mathbf{B}^1) \dots \Delta * (\mathbf{A}^n \doteq^{\alpha_n} \mathbf{B}^n) \quad n \geq 1, \beta \in \{o, \iota\}, h_{\alpha^n \rightarrow \beta} \in \Sigma}{\Delta * (h\overline{\mathbf{A}^n} \doteq^\beta h\overline{\mathbf{B}^n})} \mathcal{G}(d)$$

Theorem — [BenzmüllerBrownKohlhase, LMCS, 2009]

1

$$\models^{HOL} \mathbf{A}_o \quad \text{iff} \quad \vdash_{\substack{\mathcal{G}_{\beta\text{fb}} \\ \text{cut-free}}} \mathbf{A}_o$$

resp.

$$\mathbf{Ax} \models^{HOL} \mathbf{A}_o \quad \text{iff} \quad \mathbf{Ax} \vdash_{\substack{\mathcal{G}_{\beta\text{fb}} \\ \text{cut-free}}} \mathbf{A}_o$$

Calculus $\mathcal{G}_{\beta\text{fb}}$

- ▶ cut-free, sound and complete for HOL with Henkin semantics
- ▶ base types ι and o considered
- ▶ works also for more than two base types

Theorem — [BenzmüllerBrownKohlhase, LMCS, 2009]

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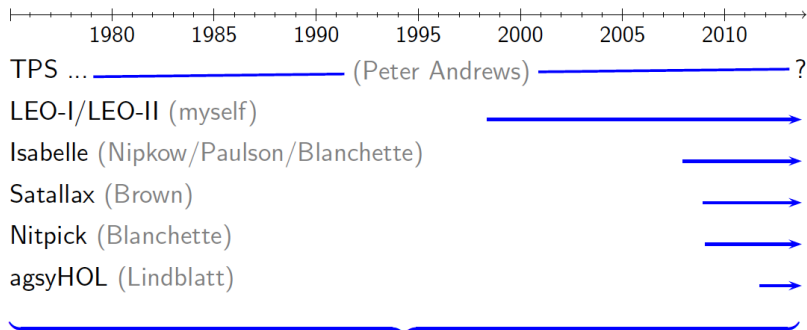
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- all accept TPTP THF Syntax [SutcliffeBenzmüller, J.Form.Reas, 2009]
 - can be called remotely via SystemOnTPTP at Miami
 - they significantly gained in strength over the last years
 - they can be bundled into a combined prover **HOL-P**

Exploit HOL with Henkin semantics as metalogic
Automate other logics (& combinations) via semantic embeddings
— **HOL-P** becomes a **Universal Reasoner** —

- ▶ Non-classical logics often come with a Kripke style semantics
- ▶ use HOL as meta-logic to encode these Kripke structures
- ▶ embedded logics become (lifted) predicates on worlds/states
- ▶ elegant and transparent encodings by exploiting λ -abstraction
- ▶ soundness and completeness wrt. Henkin semantics for HOL
- ▶ cut-elimination for free
- ▶ automation for free with HOL ATPs

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The screenshot shows the Spiegel Online International website. The navigation bar includes links for Home, Video, Themen, Forum, English, DER SPIEGEL, SPIEGEL TV, Abo, and Shop. The main header features the 'SPIEGEL ONLINE INTERNATIONAL' logo and a search bar. Below the header, there are links for Front, Page, World, Europe, Germany, Business, Zeitgeist, and Newsletter. The article title is 'Holy Logic: Computer Scientists 'Prove' God Exists' by David Knight. A black and white photograph of Kurt Gödel is shown. The text below the photo states: 'Austrian mathematician Kurt Gödel kept his proof of God's existence a secret for decades. Now two scientists say they have proven it mathematically using a computer. Two scientists have formalized a theorem regarding the existence of God penned by mathematician Kurt Gödel. But the God angle is somewhat of a red herring -- the real step forward is the example it sets of how computers can make scientific progress simpler.'

Gödel's ontological argument:
Logic studied in this talk:

Second-Order Modal Logic
Quantified Conditional Logics (QCL)

– see also [\[Benzmüller, IJCAI, 2013\]](#) –

Quantified Conditional Logics (QCL)

Syntax

$$\varphi, \psi ::= P \mid k(X^1, \dots, X^n) \mid \neg\varphi \mid \varphi \vee \psi \mid \varphi \Rightarrow \psi \mid \\ \forall^{\text{co}} X\varphi \mid \forall^{\text{va}} X\varphi \mid \forall P\varphi$$

Kripke style semantics

$$M, g, s \models \varphi \vee \psi \text{ iff } M, g, s \models \varphi \text{ or } M, g, s \models \psi$$

.....

$$M, g, s \models \varphi \Rightarrow \psi \text{ iff } M, g, t \models \psi \text{ for all } t \in S \text{ such that} \\ t \in f(s, [\varphi]) \text{ where } [\varphi] = \{u \mid M, g, u \models \varphi\}$$

Very expressive (e.g.: $\Box\varphi := \varphi \Rightarrow \varphi$), many applications

Cut-elimination: for some prop. QCLs [PattinsonSchröder, LMCS, 2011]

Provers: for some prop. QCLs [OlivettiPozzato, JANCL, 2008]

QCLs are fragments of HOL

QCL formulas φ are identified with (lifted) HOL terms φ_τ
 where $\tau := \iota \rightarrow \mathbf{0}$

Semantic embedding exploits Kripke style semantics

$$\begin{aligned} \neg &= \lambda A_\tau \lambda X_\iota \neg (A X) \\ \vee &= \lambda A_\tau \lambda B_\tau \lambda X_\iota (A X \vee B X) \\ \Rightarrow &= \lambda A_\tau \lambda B_\tau \lambda X_\iota \forall V_\iota (f X A V \rightarrow B V) \\ \Pi^{\text{co}} &= \lambda Q_{u \rightarrow \tau} \lambda V_\iota \forall X_u (Q X V) \\ \Pi^{\text{va}} &= \lambda Q_{u \rightarrow \tau} \lambda V_\iota \forall X_u (e_{iw} V X \rightarrow Q X V) \\ \Pi &= \lambda R_{\tau \rightarrow \tau} \lambda V_\iota \forall P_\tau (R P V) \end{aligned}$$

Meta-notion of validity defined as: $\text{valid} = \lambda A_\tau \forall S_\iota (A S)$

Varying domains are non-empty: $\forall W_\iota \exists X_u (e_{iw} W X)$

Assume that set \mathbf{Ax} contains all the above HOL axioms.

Theorem — [Benzmüller, IJCAI, 2013]

2

$$\models^{QCL} \varphi \text{ iff } \mathbf{Ax} \models^{HOL} \text{vld } \varphi_{\tau}$$

QCLs are fragments of HOL

ID	Axiom Condition	$A \Rightarrow A$ $f(w, [A]) \subseteq [A]$
MP	Axiom Condition	$(A \Rightarrow B) \rightarrow (A \rightarrow B)$ $w \in [A] \rightarrow w \in f(w, [A])$
CS	Axiom Condition	$(A \wedge B) \rightarrow (A \Rightarrow B)$ $w \in [A] \rightarrow f(w, [A]) \subseteq \{w\}$
CEM	Axiom Condition	$(A \Rightarrow B) \vee (A \Rightarrow \neg B)$ $ f(w, [A]) \leq 1$
AC	Axiom Condition	$(A \Rightarrow B) \wedge (A \Rightarrow C) \rightarrow (A \wedge C \Rightarrow B)$ $f(w, [A]) \subseteq [B] \rightarrow f(w, [A \wedge B]) \subseteq f(w, [A])$
RT	Axiom Condition	$(A \wedge B \Rightarrow C) \rightarrow ((A \Rightarrow B) \rightarrow (A \Rightarrow C))$ $f(w, [A]) \subseteq [B] \rightarrow f(w, [A]) \subseteq f(w, [A \wedge B])$
CV	Axiom Condition	$(A \Rightarrow B) \wedge \neg(A \Rightarrow \neg C) \rightarrow (A \wedge C \Rightarrow B)$ $(f(w, [A]) \subseteq [B] \text{ and } f(w, [A]) \cap [C] \neq \emptyset) \rightarrow f(w, [A \wedge C]) \subseteq [B]$
CA	Axiom Condition	$(A \Rightarrow B) \wedge (C \Rightarrow B) \rightarrow (A \vee C \Rightarrow B)$ $f(w, [A \vee B]) \subseteq f(w, [A]) \cup f(w, [B])$

QCLs are fragments of HOL

For obtaining cut-free calculus for QCL logic ID simply add

$$\text{valid } \prod \lambda A. A \Rightarrow A$$

or

$$(\forall A, W. (f W A) \subseteq A)$$

to the set of axioms **AX**.

We have:

$$\models^{QCL(ID)} \varphi \quad \text{iff} \quad \mathbf{Ax} \cup \{ID\} \models^{\text{HOL}} \text{vld } \varphi_{\tau}$$

Theorem — Combining Theorems 1 and 2

3

$$\models^{QCL(*)} \varphi \text{ iff } \mathbf{AX} \cup \{*\} \vdash_{\substack{\mathcal{G}_{\beta\eta} \\ \text{cut-free}}} \text{vld } \varphi_{\tau}$$

$$* \in \{ID, MP, CS, \dots\}$$

A „lean” QCL Theorem Prover

```

1  %---- file: Axioms.ax -----
2  %-- type mu for individuals
3  thf(mu,type,(mu:$tType)).
4  %-- reserved constant for selection function f
5  thf(f,type,(f:$i>($i>$o)>$i>$o)).
6  %-- 'exists in world' predicate for varying domains;
7  %-- for each v we get a non-empty subdomain eiv@v
8  thf(eiv,type,(eiv:$i>mu>$o)).
9  thf(nonempty,axiom,(![V:$i]:?[X:mu]:(eiv@V@X))).
10 %-- negation, disjunction, material implication
11 thf(not,type,(not:($i>$o)>$i>$o)).
12 thf(or,type,(or:($i>$o)>($i>$o)>$i>$o)).
13 thf(impl,type,(impl:($i>$o)>($i>$o)>$i>$o)).
14 thf(not_def,definition,(not=(^[A:$i>$o,X:$i]:~(A@X)))).
15 thf(or_def,definition,(or
16   =(^[A:$i>$o,B:$i>$o,X:$i]:((A@X)|(B@X)))).
17 thf(impl_def,definition,(impl
18   =(^[A:$i>$o,B:$i>$o,X:$i]:((A@X)=>(B@X)))).
19 %-- conditionality
20 thf(cond,type,(cond:($i>$o)>($i>$o)>$i>$o)).
21 thf(cond_def,definition,(cond
22   =(^[A:$i>$o,B:$i>$o,X:$i]:!(W:$i]:((f@X@A@W)=>(B@W)))).
23 %-- quantification (constant dom., varying dom., prop.)
24 thf(all_co,type,(all_co:(mu>$i>$o)>$i>$o)).
25 thf(all_va,type,(all_va:(mu>$i>$o)>$i>$o)).
26 thf(all,type,(all:((($i>$o)>$i>$o)>$i>$o)).
27 thf(all_co_def,definition,(all_co
28   =(^[A:mu>$i>$o,W:$i]:![X:mu]:(A@X@W)))).
29 thf(all_va_def,definition,(all_va
30   =(^[A:mu>$i>$o,W:$i]:![X:mu]:((eiv@W@X)=>(A@X@W)))).
31 thf(all_def,definition,(all
32   =(^[A:($i>$o)>$i>$o,W:$i]:![P:$i>$o]:(A@P@W)))).
33 %-- box operator based on conditionality
34 thf(box,type,(box:($i>$o)>$i>$o)).
35 thf(box_def,definition,(box
36   =(^[A:$i>$o]:(cond@(not@A)@A))).
37 %-- notion of validity of a conditional logic formula
38 thf(vld,type,(vld:($i>$o)>$o)).
39 thf(vld_def,definition,(vld
40   =(^[A:$i>$o]:![S:$i]:(A@S)))).
41 %---- end file: Axioms.ax -----

```


- ▶ In impredicative logics cut-elimination maybe be worthless . . .
... why? ...
- ▶ ... since the cut-rule can (eventually) be effectively simulated.

$$\frac{\frac{\frac{\Delta * \mathbf{C}}{\Delta * \neg\neg\mathbf{C}} \mathcal{G}(\neg)}{\Delta * \neg(\neg\mathbf{C} \vee \mathbf{C})} \quad \frac{\Delta * \neg\mathbf{C}}{\Delta * \neg\Pi(\lambda P_o. \neg P \vee P)} \mathcal{G}(\vee_-)}{\Delta * \neg\Pi(\lambda P_o. \neg P \vee P)} \mathcal{G}(\Pi_-^{\mathbf{C}})$$

$$\frac{\frac{\frac{\Delta * \mathbf{C}}{\Delta * \neg\neg\mathbf{C}} \mathcal{G}(\neg)}{\Delta * \neg(\neg\mathbf{C} \vee \mathbf{C})} \quad \frac{\Delta * \neg\mathbf{C}}{\Delta * \neg(\neg\mathbf{C} \vee \mathbf{C})} \mathcal{G}(\vee_-)}{\Delta * \neg\Pi(\lambda P_{\alpha \rightarrow o} \neg P\mathbf{M} \vee P\mathbf{N})} \mathcal{G}(\Pi_{-}^{\lambda x. \mathbf{C}})$$

- ▶ Axiom of excluded middle 3 steps
- ▶ Leibniz equations (axioms/hypotheses) 3 steps
- ▶ Axiom of functional extensionality 11 steps
- ▶ Axiom of Boolean extensionality 14 steps
- ▶ Reflexivity definition of equality (Andrews) 4 steps
- ▶ Instances of the comprehension axioms 16 steps
- ▶ Axiom of Induction 18 steps
- ▶ Axioms of choice 7 steps
- ▶ Axiom of description 25 steps

Cut-free calculi for HOL

One-sided sequent calculus $\mathcal{G}_{\beta\text{ff}}$ [BenzmüllerBrownKohlhase, LMCS, 2009]
 (Δ and Δ' : finite sets of β -normal closed formulas, $\Delta * \mathbf{A}$: $\Delta \cup \{\mathbf{A}\}$,
 cwff_α : set of closed terms of type α , \doteq is Leibniz equality):

Base Rules

$$\frac{\mathbf{A} \text{ atomic (\& } \beta\text{-normal)}}{\Delta * \mathbf{A} * \neg \mathbf{A}} \mathcal{G}(\text{init}) \quad \frac{\Delta * \mathbf{A}}{\Delta * \neg \neg \mathbf{A}} \mathcal{G}(\neg) \quad \frac{\Delta * \neg \mathbf{A} \quad \Delta * \neg \mathbf{B}}{\Delta * \neg (\mathbf{A} \vee \mathbf{B})} \mathcal{G}(\vee_-)$$

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Full Extensionality

$$\frac{\Delta * (\forall X_\alpha. \mathbf{AX} \doteq^\beta \mathbf{BX}) \downarrow_\beta}{\Delta * (\mathbf{A} \doteq^{\alpha \rightarrow \beta} \mathbf{B})} \mathcal{G}(f)$$

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Initial. and Decomp. of Leibniz Equality

$$\frac{\Delta * (\mathbf{A} \doteq^\circ \mathbf{B}) \quad \mathbf{A}, \mathbf{B} \text{ atomic}}{\Delta * \neg \mathbf{A} * \mathbf{B}} \mathcal{G}(\text{Init}^\doteq)$$

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How about these Axioms?

$$\begin{aligned}\neg &= \lambda A_{\tau} \lambda X_{\iota} \neg(A X) \\ \vee &= \lambda A_{\tau} \lambda B_{\tau} \lambda X_{\iota} (A X \vee B X) \\ \Rightarrow &= \lambda A_{\tau} \lambda B_{\tau} \lambda X_{\iota} \forall V_{\iota} (f X A V \rightarrow B V) \\ \Pi^{\text{co}} &= \lambda Q_{\iota \rightarrow \tau} \lambda V_{\iota} \forall X_{\iota} (Q X V) \\ \Pi^{\text{va}} &= \lambda Q_{\iota \rightarrow \tau} \lambda V_{\iota} \forall X_{\iota} (eiv V X \rightarrow Q X V) \\ \Pi &= \lambda R_{\tau \rightarrow \tau} \lambda V_{\iota} \forall P_{\tau} (R P V)\end{aligned}$$

$$\text{valid} = \lambda A_{\tau} \forall S_{\iota} (A S)$$

$$\forall W_{\iota} \exists X_{\iota} (eiv W X)$$

How about the additional Axioms of QCL?

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Homework:

Study cut-simulation for these axioms!

$$\frac{\frac{\Delta * fM(\lambda x. \neg C \vee C)N}{\Delta * \neg\neg fM(\lambda x. \neg C \vee C)N} \mathcal{G}(\neg)}{\frac{\frac{\frac{\frac{\Delta * \mathbf{C}}{\Delta * \neg\neg \mathbf{C}} \mathcal{G}(\neg)}{\Delta * \neg(\neg C \vee C)} \mathcal{G}(\vee_-)}{\Delta * \neg(\neg fM(\lambda x. \neg C \vee C)N \vee (\neg C \vee C))} \mathcal{G}(\vee_-)}{\Delta * \neg \Pi \lambda Y. (\neg fM(\lambda x. \neg C \vee C)Y \vee (\neg C \vee C))} \mathcal{G}(\Pi_-^N)}{\Delta * \neg \Pi \lambda A. \Pi \lambda Y. \neg fMAY \vee AY} \mathcal{G}(\Pi_-^{\lambda x. \neg C \vee C})} \mathcal{G}(\Pi_-^M)$$

So, what is actually the point about cut-elimination?

- ▶ **Cut-elimination holds for HOL**
- ▶ **Many non-classical logics are just fragments of HOL**
- ▶ **Cut-elimination for free!**
- ▶ **Applies to many propositional and quantified logics**

- ▶ **However, in HOL it makes little sense to study cut-elimination and to neglect cut-simulation**