

# Implementing and Evaluating Provers for First-order Modal Logics

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# Motivation

## First-order Modal Logics (FMLs)

$$p, q ::= P(t_1, \dots, t_n) \mid (\neg p) \mid (p \vee q) \mid \Box p \mid (\forall x p)$$

are relevant for many applications, including

- ▶ planning
- ▶ natural language processing
- ▶ program verification
- ▶ modeling communication
- ▶ querying knowledge bases
- ▶ reasoning in expressive ontologies

Until recently, however, there has been

- ▶ a comparably large body of theory papers on FMLs
- ▶ but only **one implemented prover!** (GQML prover)

# Our Contribution

Theory & implementation of new provers for FML:

- ▶ embedding into higher-order logic (LEO-II & Satallax)
- ▶ a connection calculus based prover (MleanCoP)
- ▶ a sequent calculus based prover (MleanSeP)
- ▶ a tableau based prover (MleanTAP)
- ▶ an instantiation based prover (f2p-MSPASS)

Moreover, we present

- ▶ a first comparative prover evaluation
- ▶ exploiting the new QMLTP library for FML

# Our Contribution

## Talk Outline

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Experiment: **580 problems** × **5 logics** × **3 domain conditions** × **6 provers** × **600s tmo**  
**8700 problems**

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Logic/ Domain	ATP system					
	f2p-MSPASS v3.0	MleanSeP v1.2	LEO-II v1.4.2	Satallax v2.2	MleanTAP v1.3	MleanCoP v1.2
K/varying	-	-	72	104	-	-
K/cumul.	70	121	89	122	-	-
K/constant	67	124	120	146	-	-
D/varying	-	-	81	113	100	179
D/cumul.	79	130	100	133	120	200
D/constant	76	134	135	160	135	217
T/varying	-	-	120	170	138	224
T/cumul.	105	163	139	192	160	249
T/constant	95	166	173	213	175	269
S4/varying	-	-	140	207	169	274
S4/cumul.	121	197	166	238	205	338
S4/constant	111	197	200	261	220	352
S5/varying	-	-	169	248	219	359
S5/cumul.	140	-	215	297	272	438
S5/constant	131	-	237	305	272	438

Strongest Prover!

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T/varying	-	-	170 120	170	138	224
T/cumul.	105	163	190 139	192	160	249
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Strong improvement ( $\geq 25\%$ )

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Results for 20 multimodal logic problems: LEO-II **15**, Satallax **14**

# Embedding in HOL

## Simple Types

$$\alpha ::= \iota \mid o \mid \alpha_1 \rightarrow \alpha_2$$

# Embedding in HOL

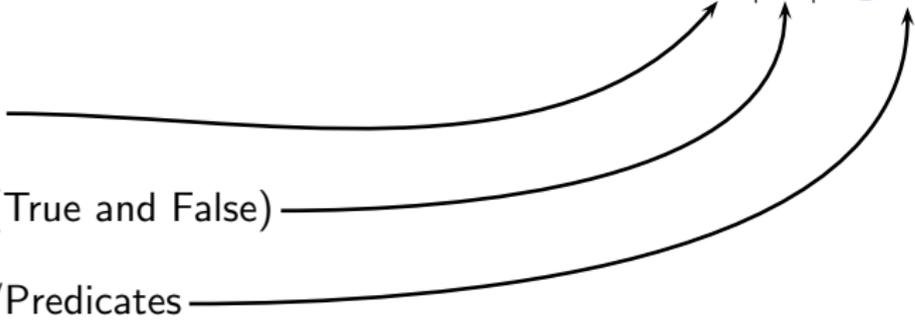
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Individuals

Booleans (True and False)

Functions/Predicates



# Embedding in HOL

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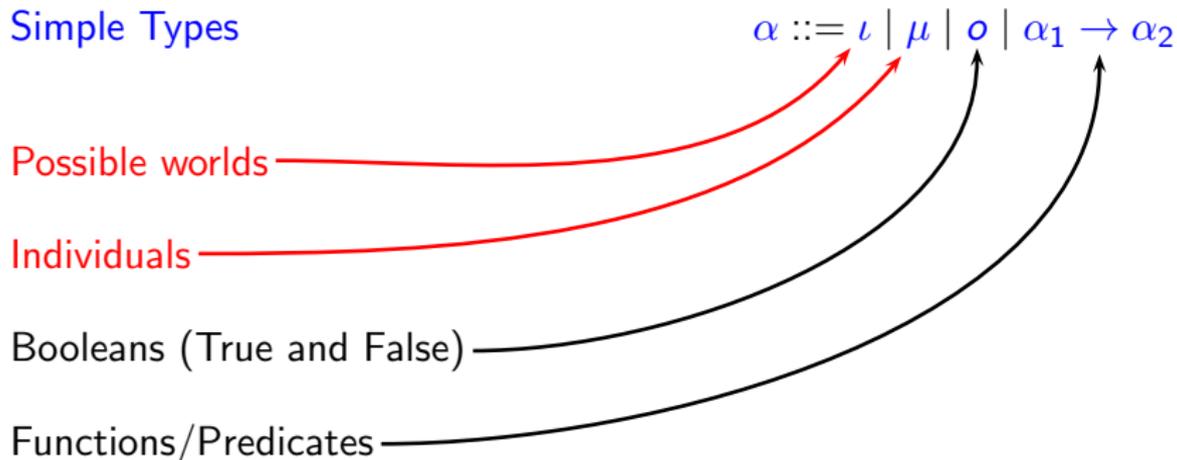
$$\alpha ::= \iota \mid \mu \mid o \mid \alpha_1 \rightarrow \alpha_2$$

Possible worlds

Individuals

Booleans (True and False)

Functions/Predicates



# Embedding in HOL

HOL  $s, t ::= C_\alpha \mid x_\alpha \mid (\lambda x_\alpha. s_\beta)_{\alpha \rightarrow \beta} \mid (s_{\alpha \rightarrow \beta} t_\alpha)_\beta \mid$   
 $(\neg_{o \rightarrow o} s_o)_o \mid (s_o \vee_{o \rightarrow o \rightarrow o} t_o)_o \mid (\forall x_\alpha t_o)_o$

Constant Symbols  $\rightarrow$   $C_\alpha$

Variable Symbols  $\rightarrow$   $x_\alpha$

# Embedding in HOL

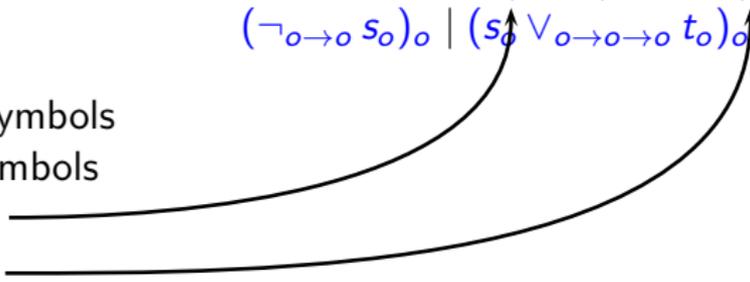
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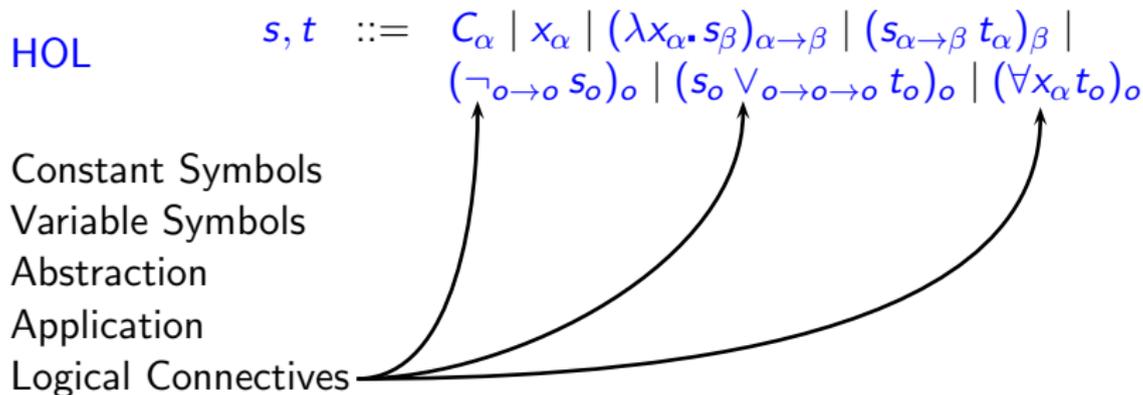
Variable Symbols

Abstraction

Application



# Embedding in HOL



# Embedding in HOL

HOL

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HOL (with Henkin semantics) is meanwhile very well understood

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HOL TPTP Infrastructure

# Embedding in HOL

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HOL TPTP Infrastructure

HOL Provers: LEO-II, Satallax, TPS, [Isabelle](#), Nitpick, Refute

# Embedding in HOL

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**FML**       $p, q ::= P(t_1, \dots, t_n) \mid (\neg p) \mid (p \vee q) \mid \Box p \mid (\forall xp)$

$M, g, s \models \neg p$       iff      not  $M, g, s \models p$

$M, g, s \models p \vee q$       iff       $M, g, s \models p$  or  $M, g, s \models q$

$M, g, s \models \Box p$       iff       $M, g, u \models p$  for all  $u$  with  $R(s, u)$

$M, g, s \models \forall xp$       iff       $M, [d/x]g, s \models p$  for all  $d \in D$

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FML in HOL:

$\neg = \lambda p. \lambda w. \neg(pw)$

$\vee = \lambda p. \lambda q. \lambda w. (pw) \vee (qw)$

$\Box = \lambda p. \lambda w. \forall v. (\neg(Rwv) \vee (pv))$

$\forall = \lambda h. \lambda w. \forall x. (hxw)$

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Meta-level notions: **valid**  $= \lambda p. \forall w. pw$

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Meta-level notions: **valid**  $= \lambda p. \forall w. pw$

Soundness & Completeness

# Embedding in HOL

$$(\Diamond \exists x P f x \wedge \Box \forall y (\Diamond P y \Rightarrow Q y)) \Rightarrow \Diamond \exists z Q z$$

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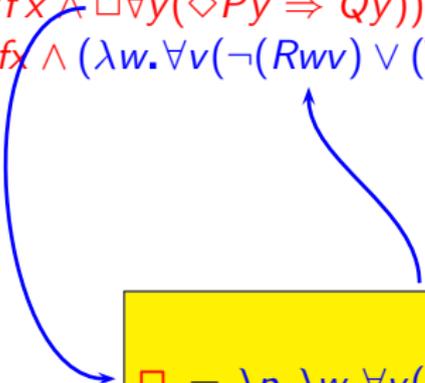
$$\Box = \lambda p. \lambda w. \forall v. (\neg (R w v) \vee (p v))$$

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...

$$\forall w (\neg \neg (\neg \neg \forall v (\neg R w v \vee \neg \neg \forall x \neg P (f x) v) \vee \neg \forall v (\neg R w v \vee \forall y (\neg \neg \forall u (\neg R v u \vee \neg P y u) \vee Q y v))) \vee \neg \forall v (\neg R w v \vee \neg \neg \forall z \neg Q z v))$$

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## Axiomatization of properties of accessibility relation $R$

Logic K: no axioms

Logic T: (*reflexive R*) — which expands into  $\forall x R x x$

Logic S4: (*reflexive R*)  $\wedge$  (*symmetric R*)  $\wedge$  (*transitive R*)

Logic ... ..

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Logic ... ..

This automates **FML** with constant domain semantics in **HOL**

# Embedding in HOL

## To obtain varying domain semantics:

- ▶ modify quantifier:  $\forall = \lambda q \lambda w \forall x \text{ExistsIn } W \ xw \Rightarrow q \ xw$
- ▶ add non-emptiness axiom:  $\forall w \exists x \text{ExistsIn } W \ xw$
- ▶ add designation axioms for constants  $c$ :  $\forall w \text{ExistsIn } W \ cw$   
(similar for function symbols)

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(similar for function symbols)

## To obtain cumulative domain semantics:

- ▶ add axiom:  $\forall x \forall v \forall w \text{ExistsIn}Wxv \wedge Rvw \Rightarrow \text{ExistsIn}Wxw$

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$$\frac{\Gamma, F \vdash \Delta}{\Gamma, \Box F \vdash \Delta} \quad \Box\text{-left}$$

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- ▶ **Similar modal rules** for the modal logics K, K4, D, D4, S4, ... (but not for S5 or varying domain; for constant domain: add **Barcan formulae**).

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- ▶ **Similar modal rules** for the modal logics K, K4, D, D4, S4, ... (but not for S5 or varying domain; for constant domain: add **Barcan formulae**).
- ▶ Analytic (i.e. bottom-up) applications of some modal rules **delete formulae** from sequents, e.g., (for T) formulae in  $\Gamma$  and  $\Delta$  are deleted.

# Modal Sequent Calculus – Example/Implementation

**Example:**  $(\Diamond \exists x Pfx \wedge \Box \forall y (\Diamond Py \Rightarrow Qy)) \Rightarrow \Diamond \exists z Qz$

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$$\begin{array}{c}
 \frac{\overline{Pfd \vdash Pfd, Qfd} \text{ axiom}}{Pfd \vdash \Diamond Pfd, Qfd} \Diamond\text{-right} \quad \frac{\overline{Pfd, Qfd \vdash Qfd} \text{ axiom}}{Pfd, \Diamond Pfd \Rightarrow Qfd \vdash Qfd} \Rightarrow\text{-left} \\
 \frac{\overline{Pfd, \Diamond Pfd \Rightarrow Qfd \vdash Qfd} \exists\text{-right } (z \setminus fd)}{Pfd, \forall y (\Diamond Py \Rightarrow Qy) \vdash \exists z Qz} \forall\text{-left } (y \setminus fd) \\
 \frac{\overline{Pfd, \forall y (\Diamond Py \Rightarrow Qy) \vdash \exists z Qz} \exists\text{-left } (x \setminus d)}{\overline{\exists x Pfx, \forall y (\Diamond Py \Rightarrow Qy) \vdash \exists z Qz} \Diamond\text{-left}} \\
 \frac{\overline{\overline{\exists x Pfx, \forall y (\Diamond Py \Rightarrow Qy) \vdash \exists z Qz} \wedge\text{-left}}}{\overline{\overline{\exists x Pfx \wedge \forall y (\Diamond Py \Rightarrow Qy) \vdash \exists z Qz} \Rightarrow\text{-right}}}
 \end{array}$$

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# Modal Sequent Calculus – Example/Implementation

**Example:**  $(\Diamond \exists x Pfx \wedge \Box \forall y (\Diamond Py \Rightarrow Qy)) \Rightarrow \Diamond \exists z Qz$

$$\begin{array}{c}
 \frac{Pfd \vdash Pfd, Qfd}{Pfd \vdash \Diamond Pfd, Qfd} \text{ axiom} \quad \frac{Pfd, Qfd \vdash Qfd}{Pfd, \Diamond Pfd \Rightarrow Qfd \vdash Qfd} \text{ } \Rightarrow\text{-left} \\
 \frac{Pfd, \Diamond Pfd \Rightarrow Qfd \vdash Qfd}{Pfd, \Diamond Pfd \Rightarrow Qfd \vdash \exists z Qz} \exists\text{-right } (z \setminus fd) \\
 \frac{Pfd, \forall y (\Diamond Py \Rightarrow Qy) \vdash \exists z Qz}{Pfd, \forall y (\Diamond Py \Rightarrow Qy) \vdash \exists z Qz} \forall\text{-left } (y \setminus fd) \\
 \frac{\exists x Pfx, \forall y (\Diamond Py \Rightarrow Qy) \vdash \exists z Qz}{\exists x Pfx, \forall y (\Diamond Py \Rightarrow Qy) \vdash \exists z Qz} \exists\text{-left } (x \setminus d) \\
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**MleanSeP:** implementation of the modal sequent calculus in PROLOG.

- ▶ analytic proof search with free variables and a dynamic Skolemization.
- ▶ available at <http://www.leancop.de/mleansep/> (GPL license).

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The literals of a (modal) connection  $\{A_1 : p_1, \neg A_2 : p_2\}$  are **not deleted** by applications of modal (sequent rules) if their prefixes **unify**,

- ▶ i.e.  $\sigma_M(p_1) = \sigma_M(p_2)$  for a **modal substitution**  $\sigma_M : \mathcal{V} \rightarrow (\mathcal{V} \cup \Pi)^*$ .

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Further **restrictions** on **modal substitution**  $\sigma_M$  (and  $\sigma_Q$ ):

- ▶ induced **reduction ordering** has to be **irreflexive**,
- ▶ **accessibility condition** determines specific **modal logic** (D, T, S4, ...),
- ▶ **domain constraint** determines specific **domain condition** (constant, ...).

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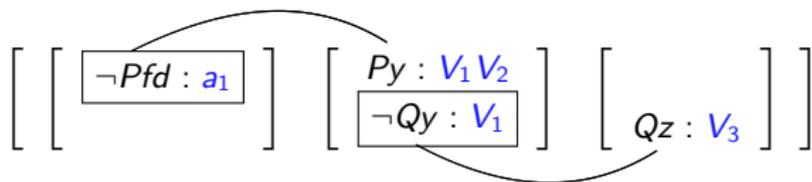
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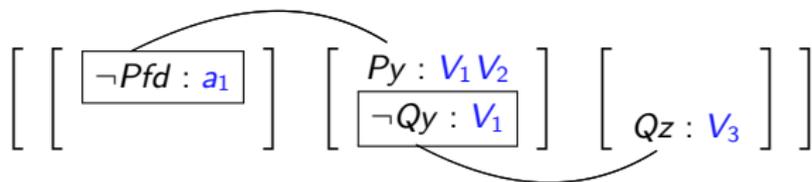
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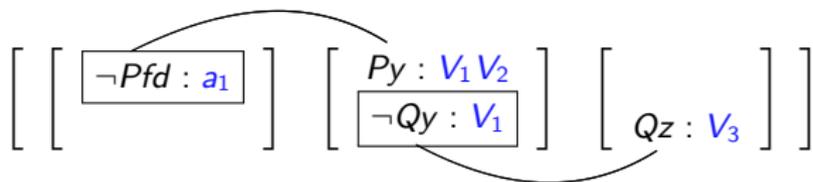
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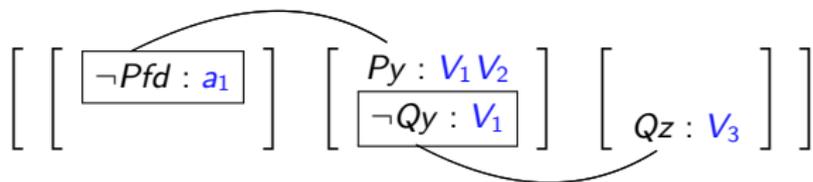
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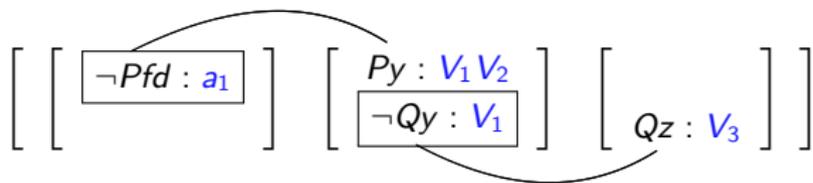
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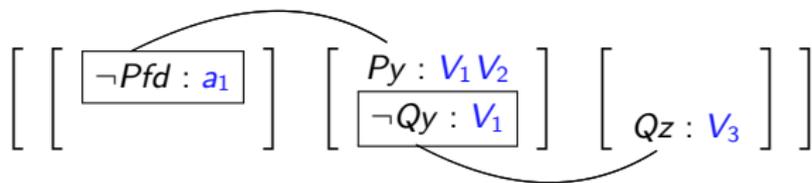
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# MleanCoP – Source Code

- ▶ The source code of the [leanCoP](#) core prover for first-order [classical](#) logic.

```

(1) prove([],_,_,_,_).
(2) prove([Lit |Cla],Path,PathLim,Lem, Set) :-
(3)   \+ (member(LitC,[Lit |Cla]), member(LitP,Path), LitC==LitP),
(4)   (-NegLit=Lit;-Lit=NegLit) ->
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(6)       ;
(7)       member(NegL ,Path), unify_with_occurs_check(NegL,NegLit)
(8)     )
(9)   ;
(10)  lit(NegLit , Cla1,Grnd1),
(11)
(12)  ( Grnd1=g -> true ; length(Path,K), K<PathLim -> true ;
(13)    \+ pathlim -> assert(pathlim), fail ),
(14)  prove(Cla1,[Lit |Path],PathLim,Lem, Set)
(15)
(16) ),
(17) ( member(cut,Set) -> ! ; true ),
(18) prove(Cla,Path,PathLim,[Lit |Lem], Set)
(19)

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(8)       \+ \+ prefix_unify([Pre=PreN]), PreSet3=[Pre=PreN], FreeV3=[]
(9)       ;
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**Extends** the classical tableau calculus by adding **modal rules** for  $\Box$  and  $\Diamond$  and a **prefix** to every formula in the tableau.

- ▶ Branch is **closed** iff it contains a connection  $\{A_1 : p_1, \neg A_2 : p_2\}$  with  $\sigma_Q(A_1) = \sigma_Q(A_2)$  and  $\sigma_M(p_1) = \sigma_M(p_2)$  for substitutions  $\sigma_Q/\sigma_M$ .
- ▶ Similar to connection calculus, but proof search **not connection-driven**.
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- ▶ available at <http://www.leancop.de/mleantap/> (GPL license).

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1. **step**: generate and **add formula instances** to the formula and **ground** it (remove quantifiers, replace variables by a single constant).
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**f2p-MSPASS**: instance-based prover for **first-order modal logic**.

- ▶ first component **first2p**, adds and grounds **non-clauses** instances.
- ▶ propositional modal prover **MSPASS** is used to find proofs.
- ▶ works for formula containing **only universal/only existential** quantifiers.

# The QMLTP Problem Library

- ▶ The **Q**uantified **M**odal **L**ogic **T**heorem **P**roving problem library ... is available at <http://www.iltp.de/qmltp>.
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- ▶ **TPTP syntax** (for classical logic) is extended by the modal operators **#box** and **#dia** representing  $\Box$  and  $\Diamond$ , respectively.

# QMLTP Library – Problem Sample

```

%-----
% File      : SYM001+1 : QMLTP v1.1
% Domain   : Syntactic (modal)
% Problem  : Barcan scheme instance. (Ted Sider's qml wwf 1)
% Version  : Especial.
% English  : if for all x necessarily f(x), then it is necessary that for
%           all x f(x)
% Refs     : [Sid09] T. Sider. Logic for Philosophy. Oxford, 2009.
%           : [Brc46] [1] R. C. Barcan. A functional calculus of first
%           : order based on strict implication. Journal of Symbolic Logic
%           : 11:1-16, 1946.
% Source   : [Sid09]
% Names    : instance of the Barcan formula
% Status   :      varying      cumulative      constant
%           K   Non-Theorem  Non-Theorem  Theorem      v1.1
%           D   Non-Theorem  Non-Theorem  Theorem      v1.1
%           T   Non-Theorem  Non-Theorem  Theorem      v1.1
%           S4  Non-Theorem  Non-Theorem  Theorem      v1.1
%           S5  Non-Theorem  Theorem      Theorem      v1.1
% Rating   :      varying      cumulative      constant
%           K   0.50          0.75          0.25          v1.1
%           D   0.75          0.83          0.17          v1.1
%           T   0.50          0.67          0.17          v1.1
%           S4  0.50          0.67          0.17          v1.1
%           S5  0.50          0.20          0.20          v1.1
%-----
qmf(con, conjecture,
(( (! [X] : (#box : ( f(X) ) ) ) => (#box : ( ! [X] : ( f(X) ) )))).
%-----

```

# Conclusion

## Summary:

- ▶ overview of 5 sound FML provers in one talk (!)
- ▶ used QMLTP library for first evaluation
- ▶ one older system excluded because of soundness issues
- ▶ strongest provers: MleanCoP followed by Satallax
- ▶ best coverage: HOL approach

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## Future work includes:

- ▶ extension of calculi/implementations to further modal logics
- ▶ improvements of the presented provers
- ▶ extensions of the QMLTP library and related infrastructure

# Thank you!

## Any questions?

# Experiments using the QMLTP Library

Logic/ Domain	ATP system					
	f2p-MSPASS	MleanSeP	LEO-II	Satallax	MleanTAP	MleanCoP
K/varying	-	-	0/529	165/356	-	-
K/cumul.	88/363	4/471	0/511	50/349	-	-
K/constant	42/405	2/471	12/481	45/328	-	-
D/varying	-	-	0/519	0/477	0/492	293/173
D/cumul.	33/407	0/461	0/500	0/464	0/472	194/171
D/constant	33/411	0/462	2/466	0/425	0/456	167/169
T/varying	-	-	0/478	30/320	0/453	121/223
T/cumul.	6/400	0/427	2/456	4/310	0/430	76/217
T/constant	6/410	0/428	2/427	1/295	0/415	66/213
S4/varying	-	-	0/458	30/289	1/421	109/199
S4/cumul.	0/433	0/397	0/430	6/270	1/384	115/163
S4/constant	0/448	0/401	2/397	4/255	1/368	100/162
S5/varying	-	-	0/427	27/265	1/369	132/148
S5/cumul.	0/418	-	0/379	0/244	1/315	126/118
S5/constant	0/436	-	2/359	0/231	1/315	116/118

The column entries  $x/y$  in this table show (i) the number  $x$  of problems that were *exclusively* solved (i.e. proved or refuted) by an ATP system in a particular logic&domain and (ii) the average CPU time  $y$  in seconds needed by an ATP system for solving all problems in a particular logic&domain (the full 600s timeout was counted for each failing attempt).