



A Structured Set of Higher-Order Problems

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Research Topics of the Ω MEGA Group



Integrated mathematics assistance systems

... for formal methods, mathematics, and e-learning

- Mediation between “mathural” and “maschine mathematics”

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Talk: Mechanization of Church’s simple type theory; test problems

Test Problems for Theorem Provers



- Test problems for FOL theorem provers

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 - ▶ [McCharenOverbeekWos76], [WilsonMinker79], [Pelletier86], etc.

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- This talk: example problems from our paper [TPHOLS-05]

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- Are we proposing challenging HOL benchmark problems?

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- This talk: example problems from our paper [TPHOLS-05]
- Are we proposing challenging HOL benchmark problems?
 - ▶ No!!!

Our Examples



- Examples are simple

Our Examples



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 - ▶ highlight the essence of some semantical or technical point

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 - ▶ shall precede formal soundness / completeness analysis
 - ▶ many are collected from experience with LEO and TPS
- (Some more challenging examples are also added)

Outline of Talk



- HOL (notion and syntax)

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 - ▶ functional extensionality
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- Conclusion

HOL: Simple Types



Simple Types \mathcal{T} :

- \circ (truth values)
- ι (individuals)
- $(\alpha\beta)$ (functions from β to α)

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$(\alpha\beta\gamma)$ abbreviates $((\alpha\beta)\gamma)$

HOL: Simply Typed λ -Terms



Terms:

X_α	Variables (\mathcal{V})
a_α	Parameters (\mathcal{P})
c_α	Logical constants (\mathcal{S})
$[F_{\alpha\beta} B_\beta]_\alpha$	Application
$[\lambda Y_\beta A_\alpha]_{\alpha\beta}$	λ -abstraction

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Equality of terms: $\alpha\beta\eta$



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α -conversion Changing Bound Variables

β -reduction $[[\lambda Y_\beta A_\alpha] B] \xrightarrow{\beta} [B/Y]A$

η -reduction $[\lambda Y_\beta [F_{\alpha\beta} Y]] \xrightarrow{\eta} F$ ($Y_\beta \notin \mathbf{Free}(F)$)

HOL: Simply Typed λ -Terms



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Equality of terms: $\alpha\beta\eta$

Every term has a unique $\beta\eta$ -normal form (up to α -conversion).

HOL: Logical Constants



Some logical constants which may be in \mathcal{S} :

- \top_o – true
- \perp_o – false
- \neg_{oo} – negation
- \vee_{ooo} – disjunction
- \wedge_{ooo} – conjunction
- \Rightarrow_{ooo} – implication
- \Leftrightarrow_{ooo} – equivalence

HOL: Logical Constants



Some logical constants which may be in \mathcal{S} :

- $=_{o\alpha\alpha}^\alpha$ – equality at type α
- $\prod_{o(o\alpha)}^\alpha$ – universal quantification over type α
- $\sum_{o(o\alpha)}^\alpha$ – existential quantification over type α

Intuition:

- $[\sum^\alpha [\lambda X_\alpha C_o]]$ is true iff $\{X_\alpha \mid C\}$ is **nonempty**.

HOL: Logical Constants



- $[A_o \vee B_o]$ means $[\vee_{ooo} A_o B_o]$
- $[A_o \wedge B_o]$ means $[\wedge_{ooo} A_o B_o]$
- $[A_o \Rightarrow B_o]$ means $[\Rightarrow_{ooo} A_o B_o]$
- $[A_o \Leftrightarrow B_o]$ means $[\Leftrightarrow_{ooo} A_o B_o]$
- $[A_\alpha =^\alpha B_\alpha]$ means $[=_{o\alpha\alpha}^\alpha A_\alpha B_\alpha]$
- $[\forall X_\alpha A_o]$ means $[\prod_{o(o\alpha)}^\alpha \lambda X_\alpha A_o]$
- $[\exists X_\alpha A_o]$ means $[\sum_{o(o\alpha)}^\alpha \lambda X_\alpha A_o]$

HOL: Equality and Extensionality



Some important conventions and reminders for the talk:

- = denotes **primitive equality**

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- \doteq denotes **Leibniz equality**: $A_\alpha \doteq^\alpha B_\alpha := \forall P_{o\alpha}. (PA) \Rightarrow (PB)$

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We use $\stackrel{*}{=}$ in the following to refer to **any** of the above

HOL: Church's Type Theory



Church's Type Theory:

- Simply typed λ -calculus with the signature

$$\mathcal{S} := \{\neg, \vee\} \cup \{\Pi^\alpha \mid \alpha \in \mathcal{T}\}$$

(and perhaps a description or choice operator).

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- Axiom of description or choice
- Axiom of infinity

HOL: Other Fragments



\mathcal{S} Fragment of Elementary Type Theory:

\mathcal{S} Fragment of Extensional Type Theory:

HOL: Other Fragments



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HOL: Other Fragments



\mathcal{S} Fragment of Elementary Type Theory:

- Simply typed λ -calculus with the signature \mathcal{S}
- No extensionality

\mathcal{S} Fragment of Extensional Type Theory:

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- Extensionality

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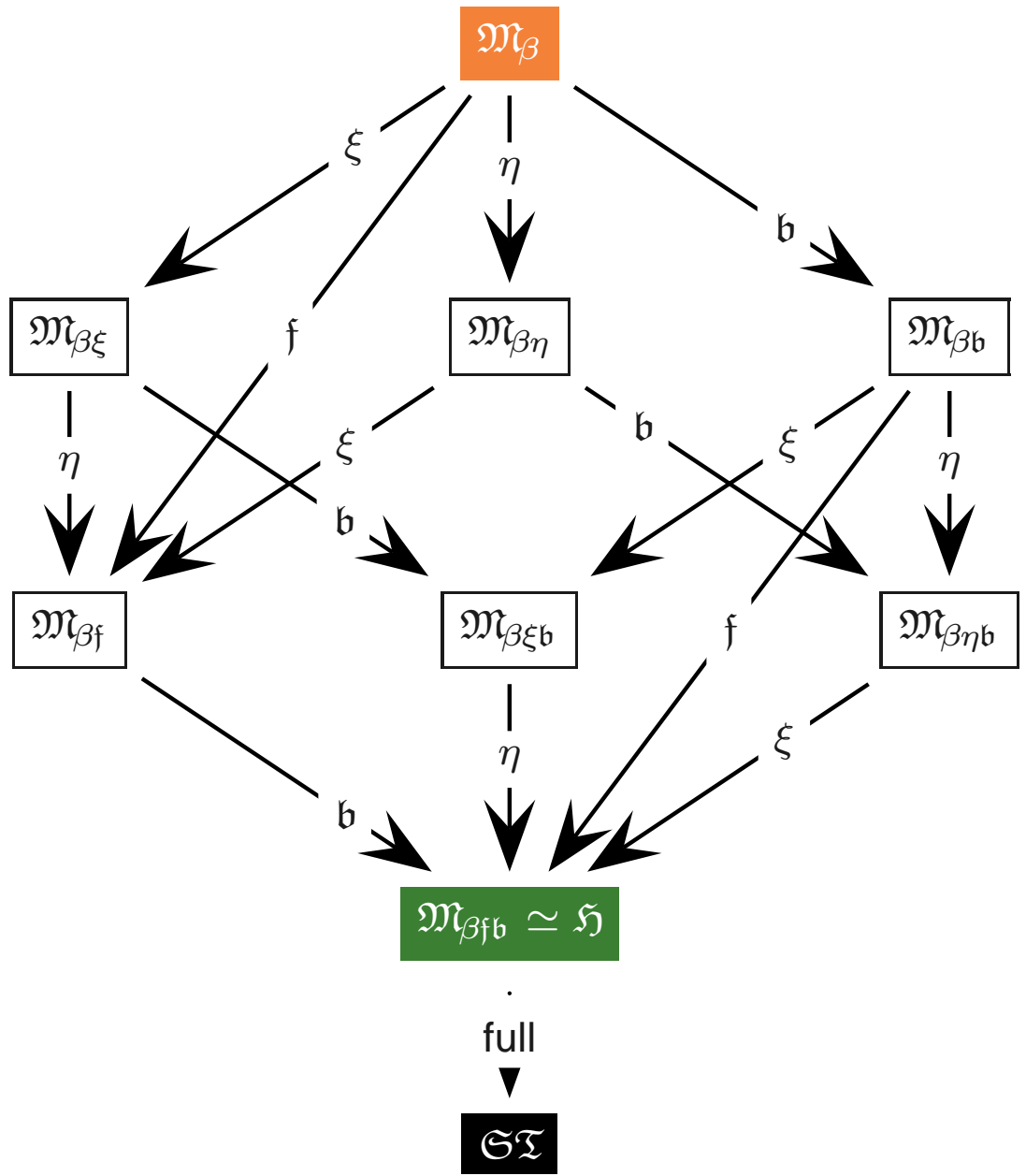
\mathcal{S} Fragment of Extensional Type Theory:

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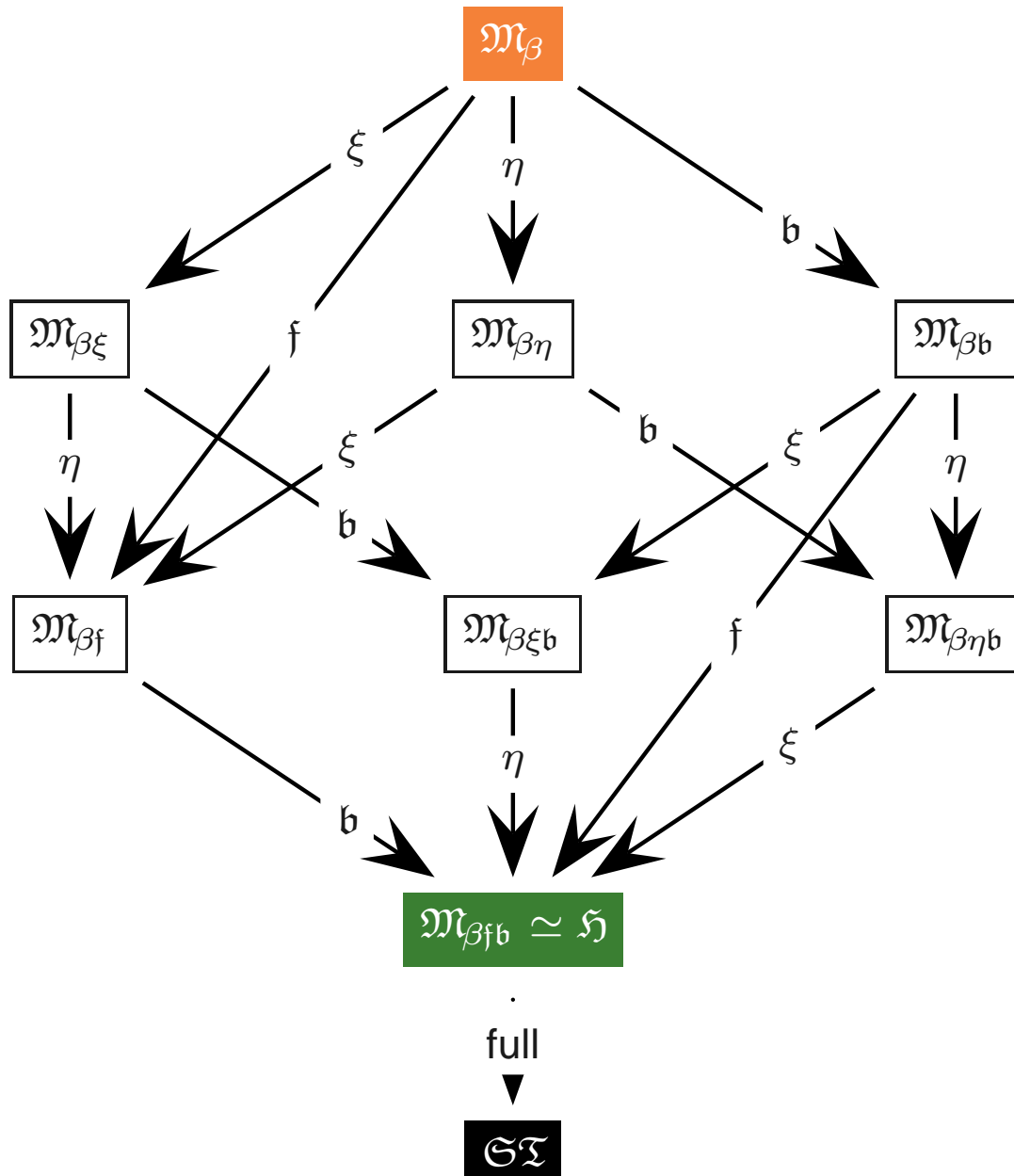
Semantics: HOL-CUBE



Landscape of HOL model classes
 [Kohlhase-PhD-94]
 [Benzmüller-PhD-99]
 [Brown-PhD-04]
 [Benzm.BrownKohlhase-JSL-04]



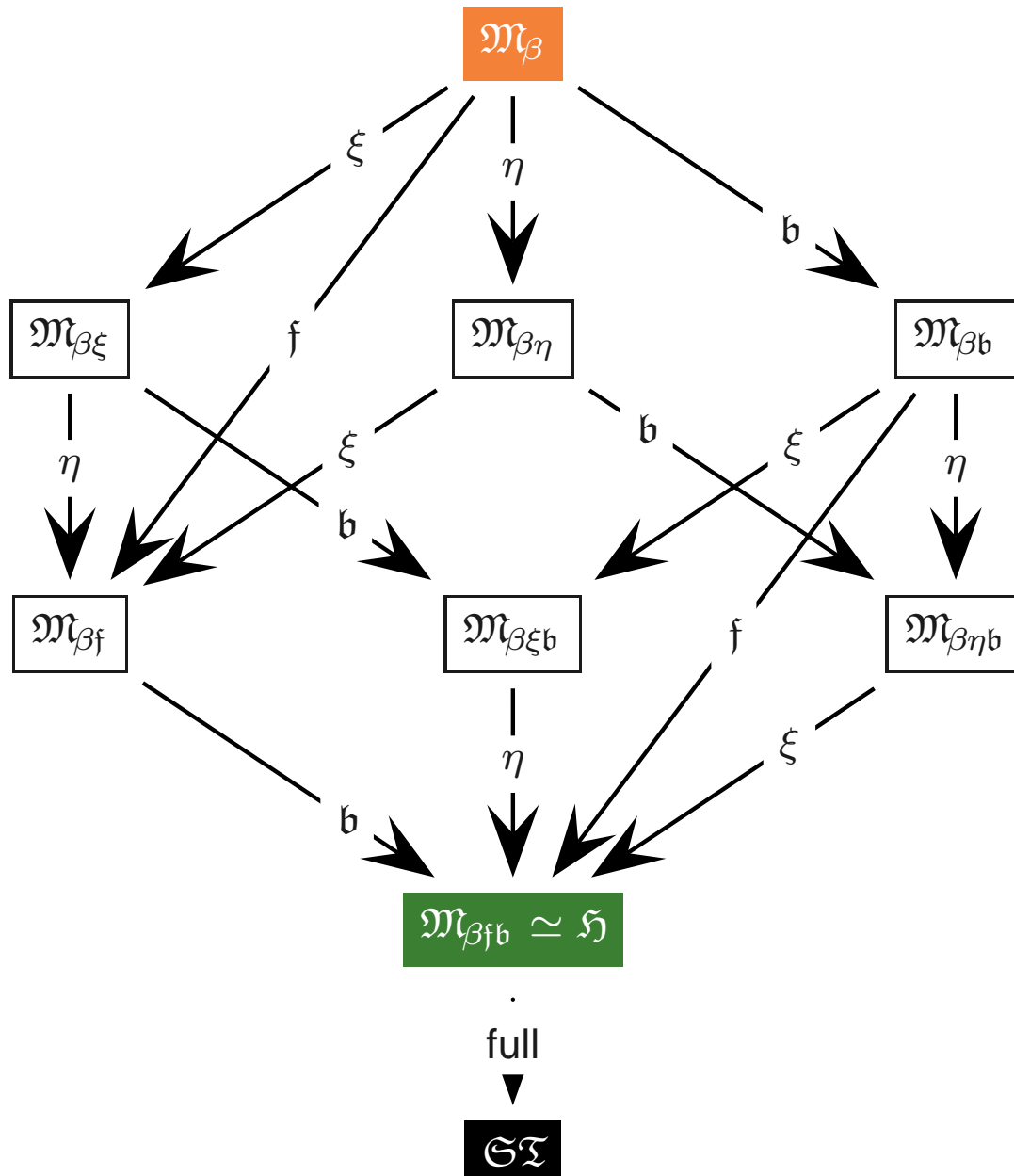
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\mathcal{M}_β model class for \mathcal{S} fragment of elementary type theory

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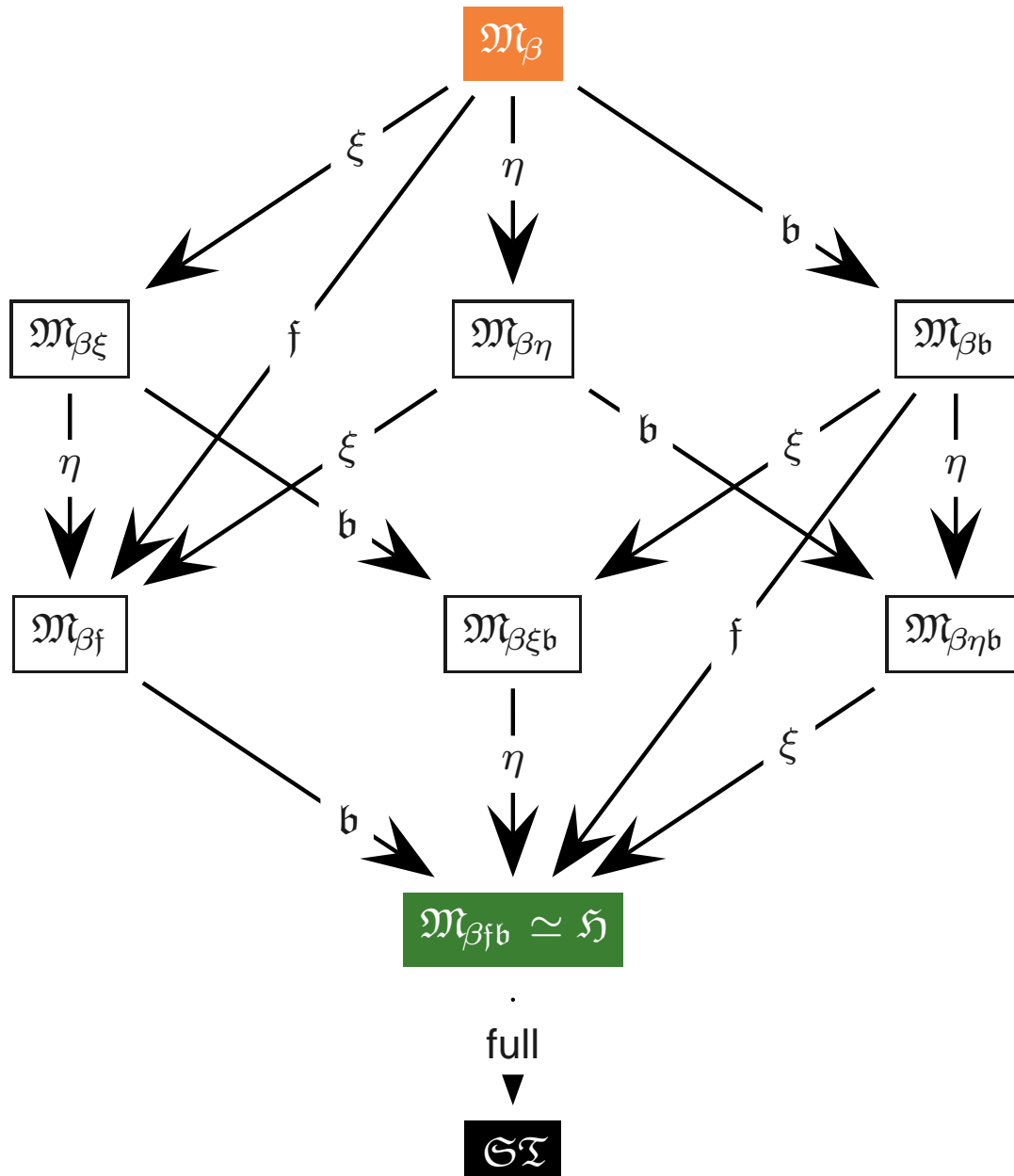


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$\mathcal{M}_{\beta f b}$ model class for \mathcal{S} fragment of extensional type theory (Henkin models)

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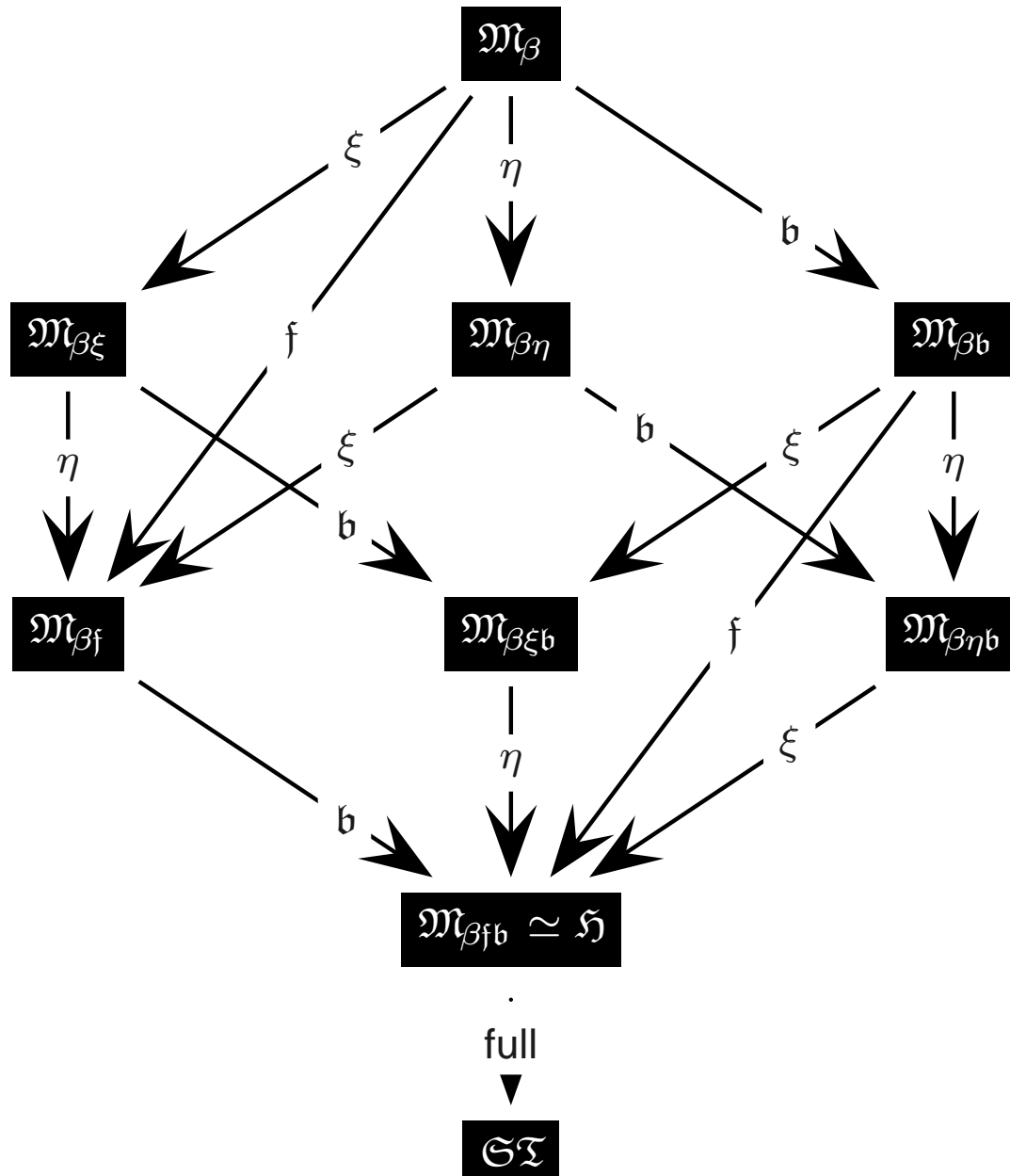
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Signature \mathcal{S} defined as

$\{\top, \perp, \neg, \wedge, \vee, \Rightarrow, \Leftrightarrow\} \cup \{\Pi^\alpha, \Sigma^\alpha, =^\alpha\}$
 (less logical connectives are possible)

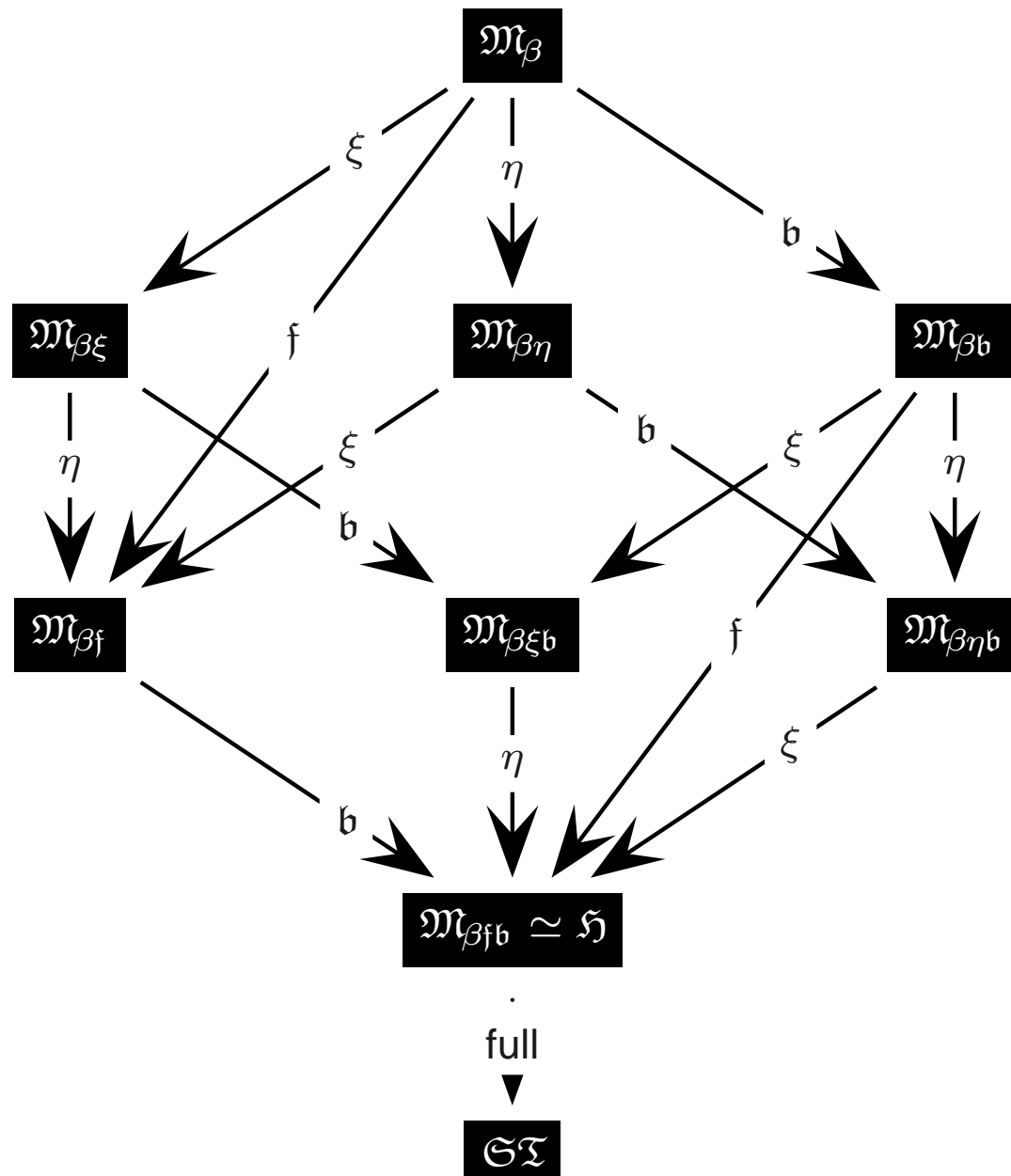
Semantics: HOL-CUBE



β : models support β -equality
 η : models provide identity relations

$$\forall \alpha : \text{id} \in \mathcal{D}_{\alpha \rightarrow \alpha \rightarrow o}$$

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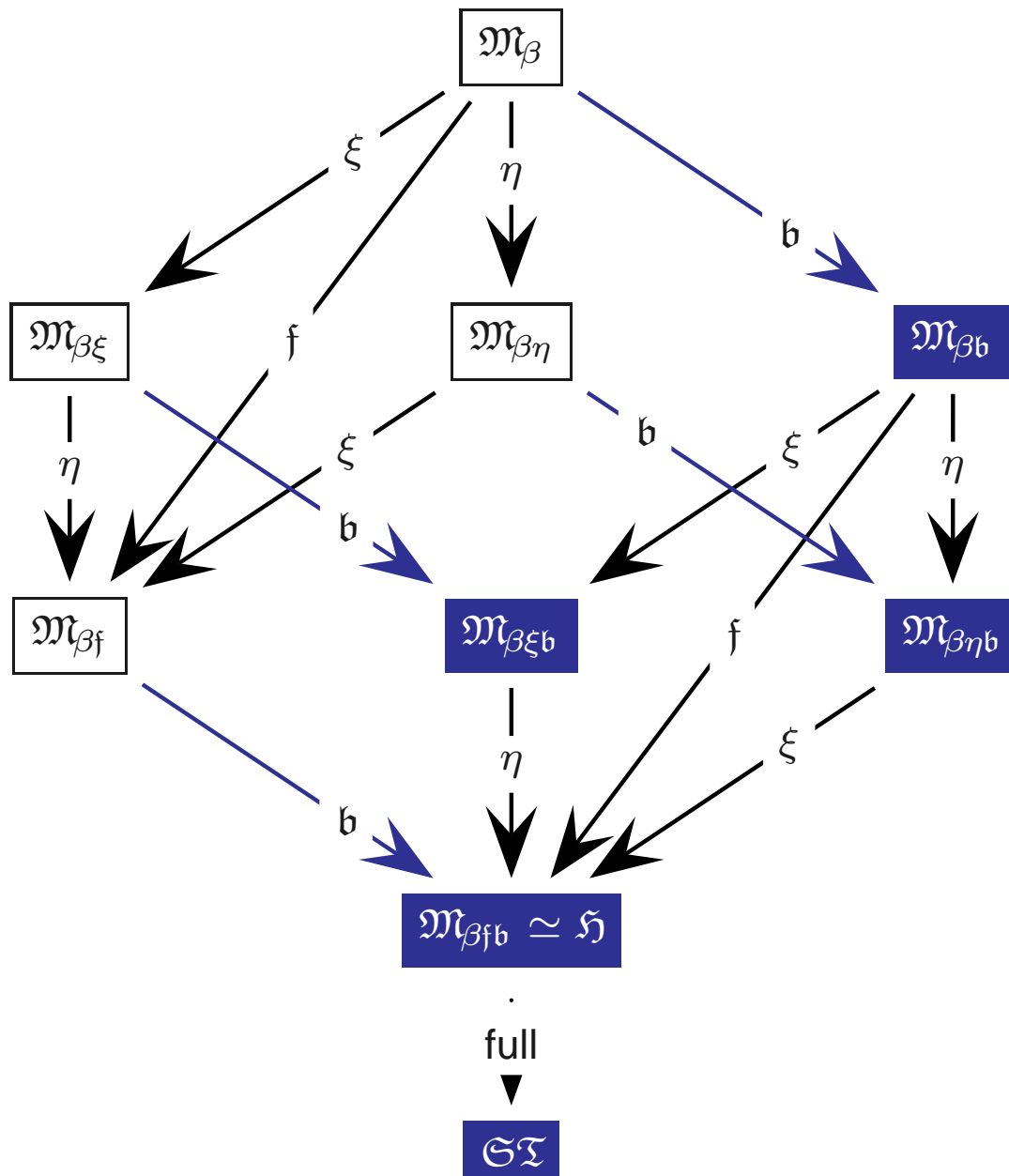
$$\forall \alpha : \text{id} \in \mathcal{D}_{\alpha \rightarrow \alpha \rightarrow o}$$

- [Andrews72]: without property η Leibniz equality \doteq not necessarily evaluates to identity relation — even in Henkin semantics

Semantics: HOL-CUBE

b: models are Boolean extensional

$$\mathcal{D}_o \equiv \{\perp, \top\}$$

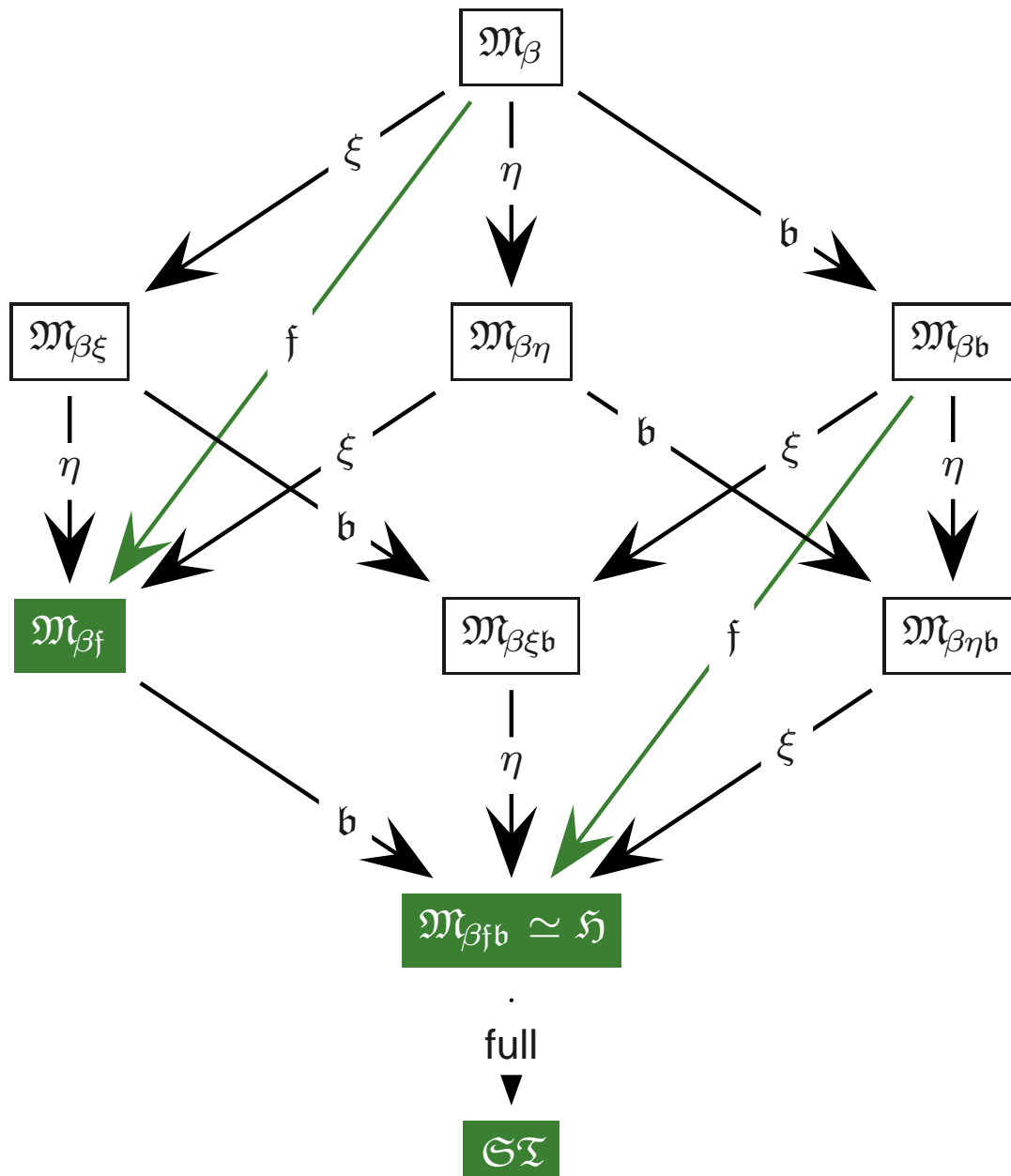


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f: models are functional

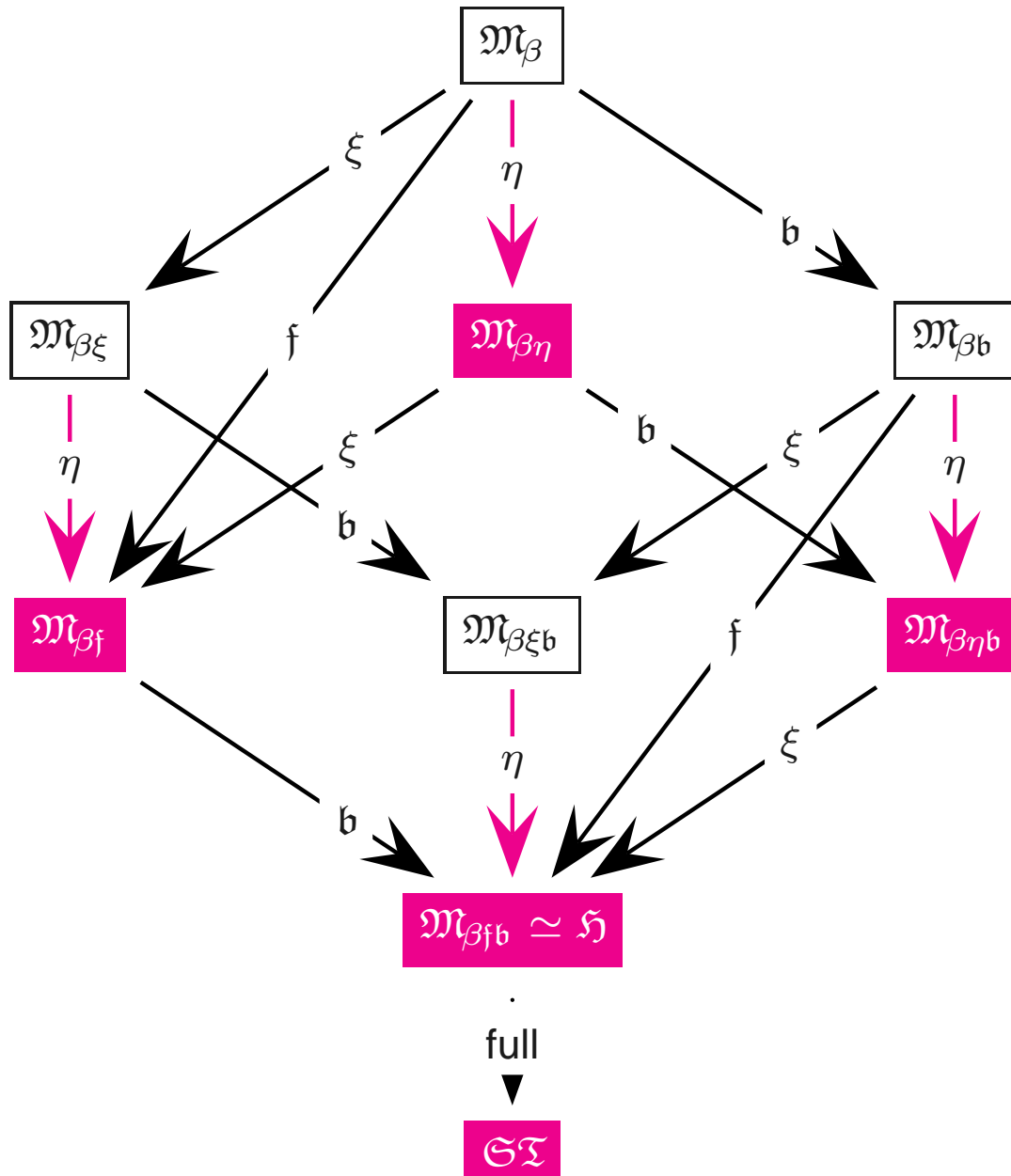
$\forall f, g \in \mathcal{D}_{\beta\alpha} :$
 $f \equiv g \text{ iff } f@a \equiv g@a \ (\forall a \in \mathcal{D}_\alpha)$



Semantics: HOL-CUBE

η : models are η -functional

$$\mathcal{E}_\varphi(A) \equiv \mathcal{E}_\varphi(A \downarrow_\eta)$$



HOL-CUBE: Abstract Consistency



- Abstract consistency properties are provided in [Benzm.BrownKohlhase-JSL-04]

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- They support completeness and soundness analysis of calculi by syntactical means for the HOL-CUBE

HOL-CUBE: Abstract Consistency



- Abstract consistency properties are provided in [Benzm.BrownKohlhase-JSL-04]
- They support completeness and soundness analysis of calculi by syntactical means for the HOL-CUBE
- Proposal:
 - use the examples of this paper before trying a formal analysis

HOL-Problems requiring β

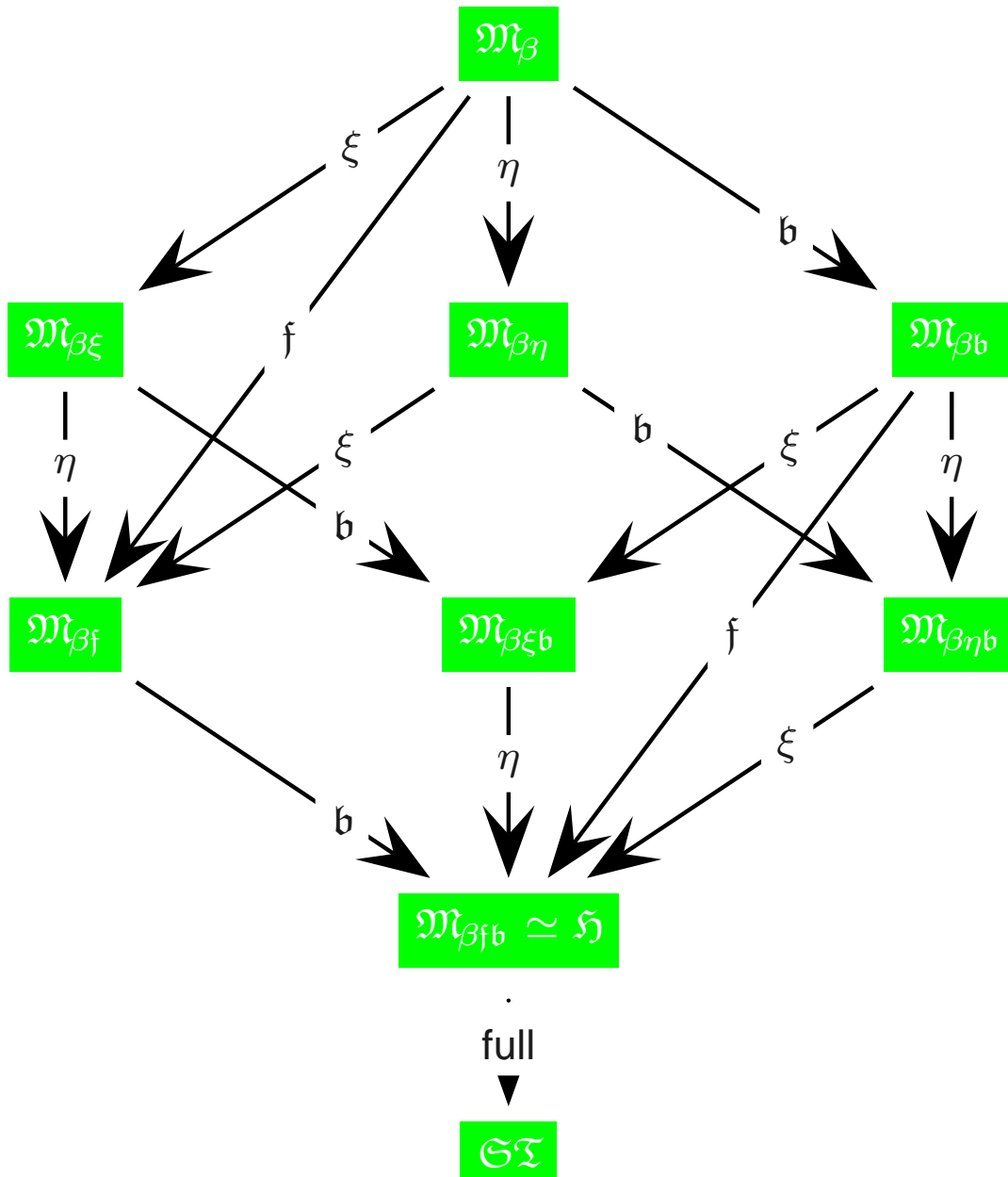


Church numerals:

$$\bar{n}^\alpha := (\lambda F_{\alpha\alpha} \lambda Y_{\alpha\alpha}. (F^n Y))$$

$$\bar{\top} := \lambda M \lambda N \lambda F \lambda Y. MF(NFY)$$

$$\bar{\times} := \lambda M \lambda N \lambda F \lambda Z. N(MF)Z$$





HOL-Problems requiring β

Church numerals:

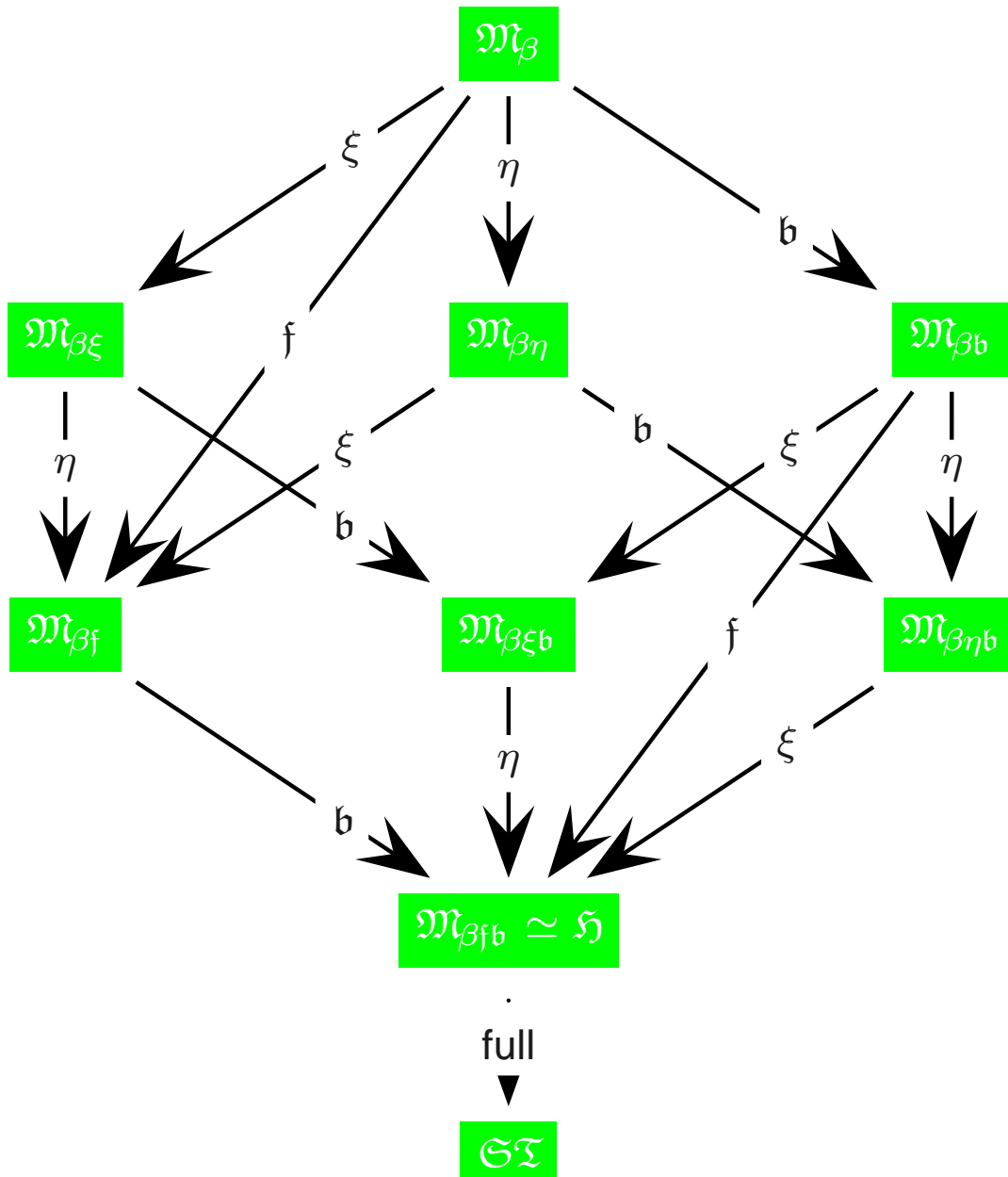
$$\bar{n}^\alpha := (\lambda F_{\alpha\alpha} \lambda Y_{\alpha\alpha}. (F^n Y))$$

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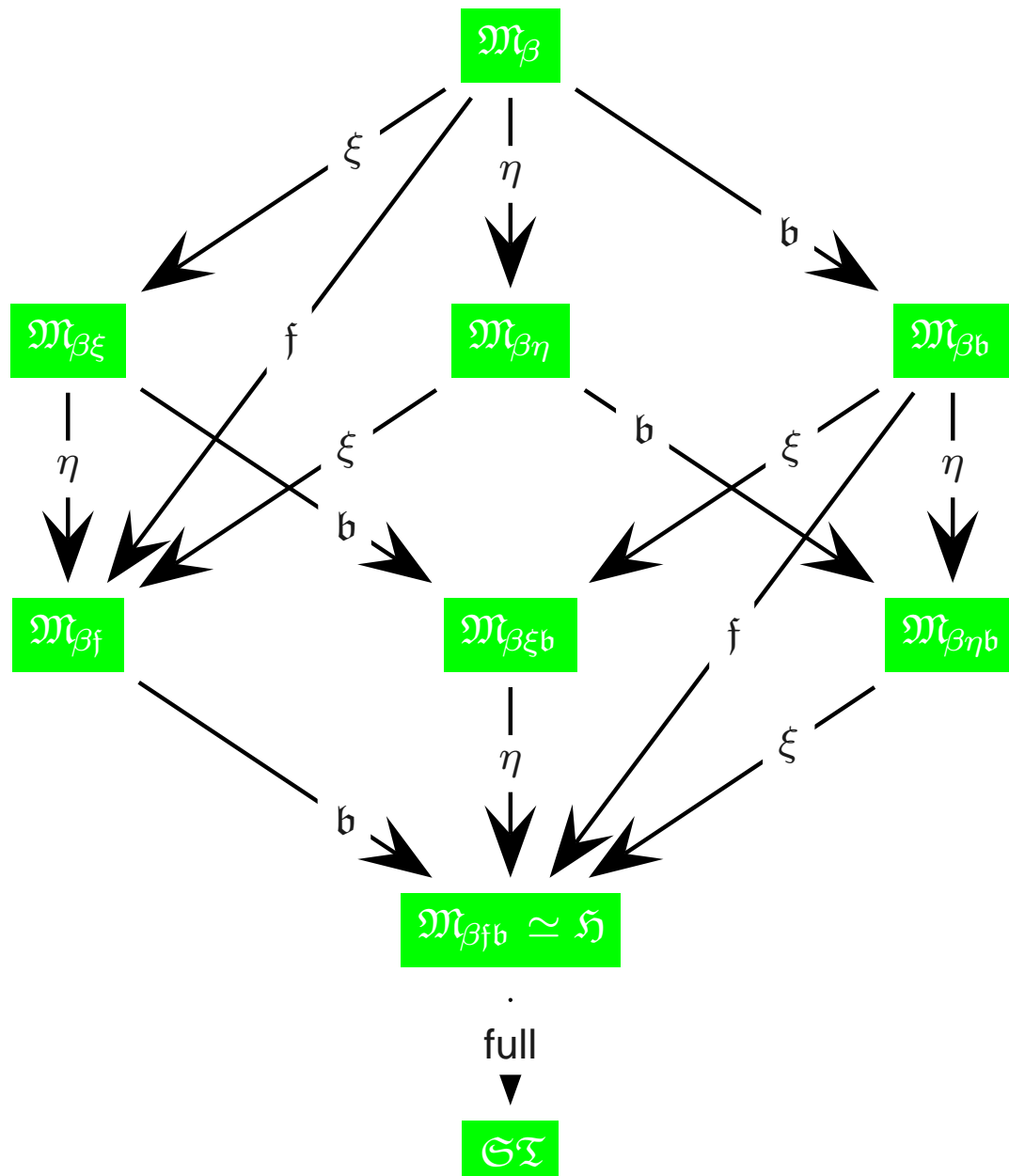
Efficiency of β -conversion:

- $\overline{3 \times 4} \stackrel{*}{=} \overline{5 + 7}$
- $(\overline{10 \times 10}) \times \overline{10} \stackrel{*}{=} ((\overline{10 \times 5}) \bar{+} (\overline{5 \times 10})) \times \overline{10}$





HOL-Problems requiring β



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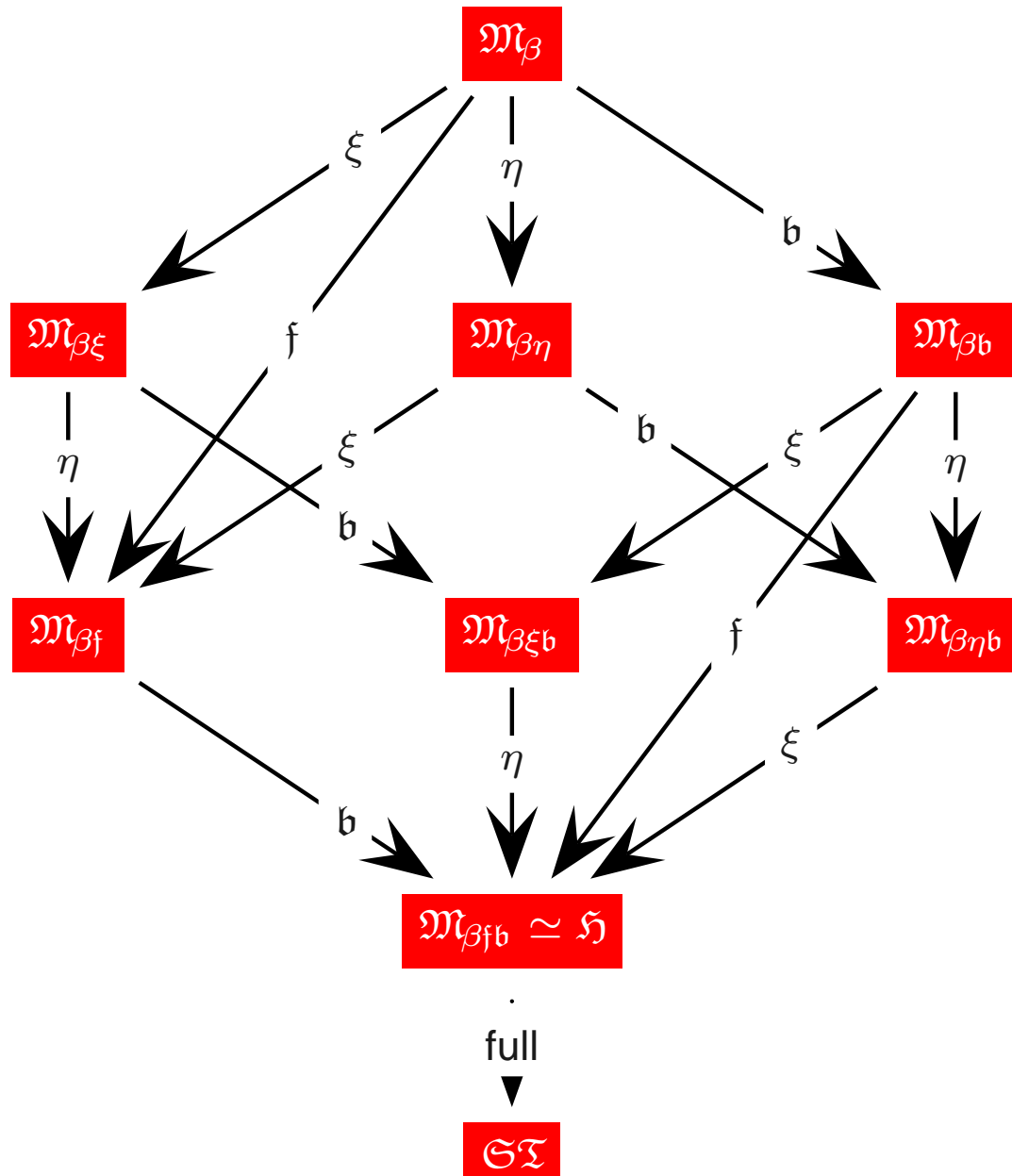
Efficiency of β -conversion:

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- $(\bar{10} \bar{\times} \bar{10}) \bar{\times} \bar{10} \stackrel{*}{=} ((\bar{10} \bar{\times} \bar{5}) \bar{+} (\bar{5} \bar{\times} \bar{10})) \bar{\times} \bar{10}$

Pre-unification with β -conversion:

- $\exists N_{(\iota \rightarrow \iota) \rightarrow \iota \rightarrow \iota}. ((N \bar{\times} \bar{1}) \stackrel{*}{=} \bar{1})$
(two solutions if only β ;
one solution if $\beta\eta$)
- $\exists N. N \bar{\times} \bar{4} \stackrel{*}{=} \bar{5} \bar{+} \bar{7}$
- $\exists H. ((H \bar{2}) \bar{3}) \stackrel{*}{=} \bar{6} \wedge ((H \bar{1}) \bar{2}) \stackrel{*}{=} \bar{2}$
- $\exists N, M. N \bar{\times} \bar{4} \stackrel{*}{=} \bar{5} \bar{+} M$
(infinitely many solutions!)

HOL-Non-Problems



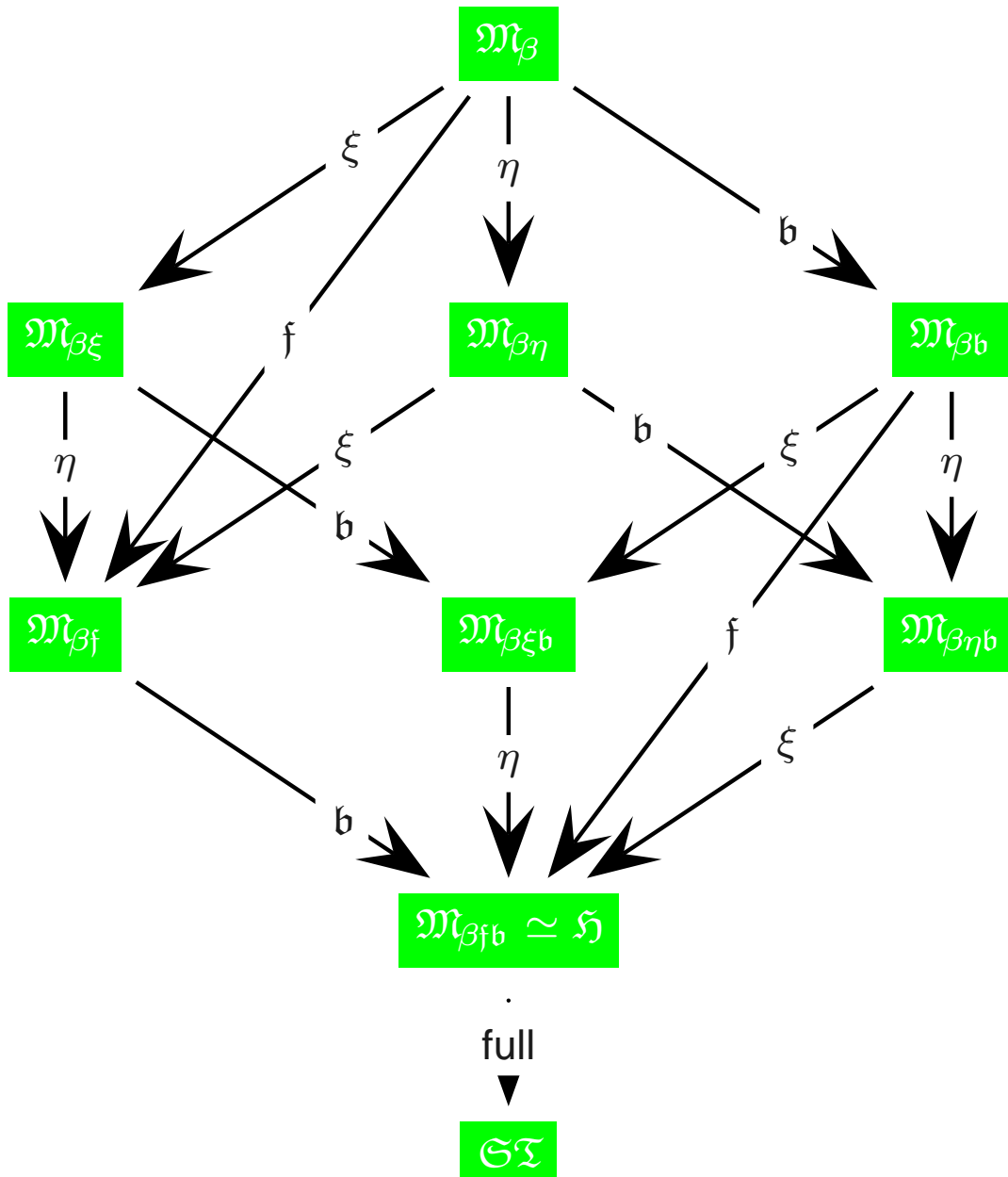
Some non-theorems:

- essentially FOL
- apply to all model classes
- address
 - ▶ Skolemization
 - ▶ axiom of choice

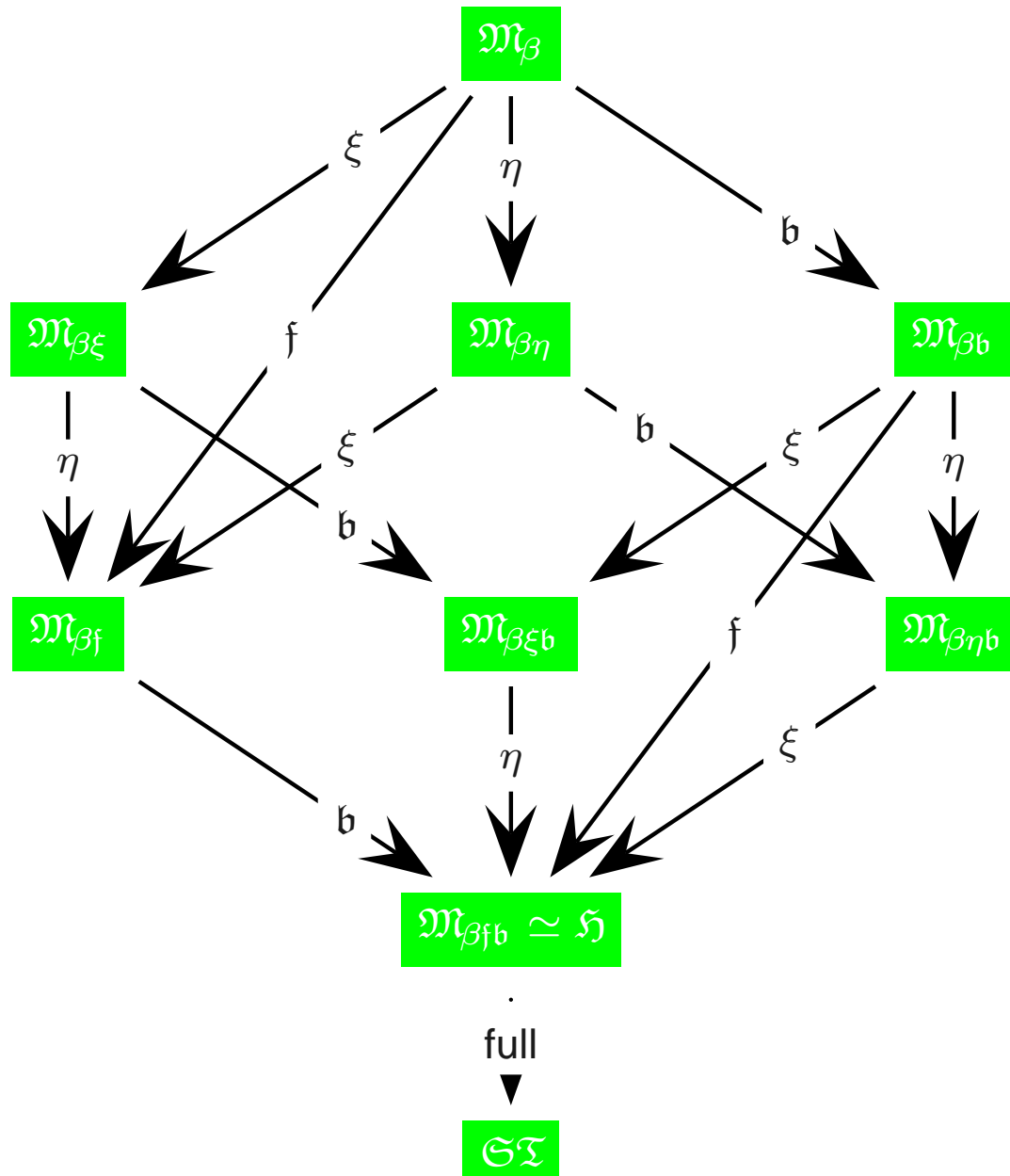
HOL-Problems requiring β

\simeq^* is equivalence relation

- $\forall X_\alpha. X \simeq^* X$
- $\forall X_\alpha, Y_\alpha. X \simeq^* Y \Rightarrow Y \simeq^* X$
- $\forall X_\alpha, Y_\alpha, Z_\alpha. (X \simeq^* Y \wedge Y \simeq^* Z) \Rightarrow X \simeq^* Z$



HOL-Problems requiring β



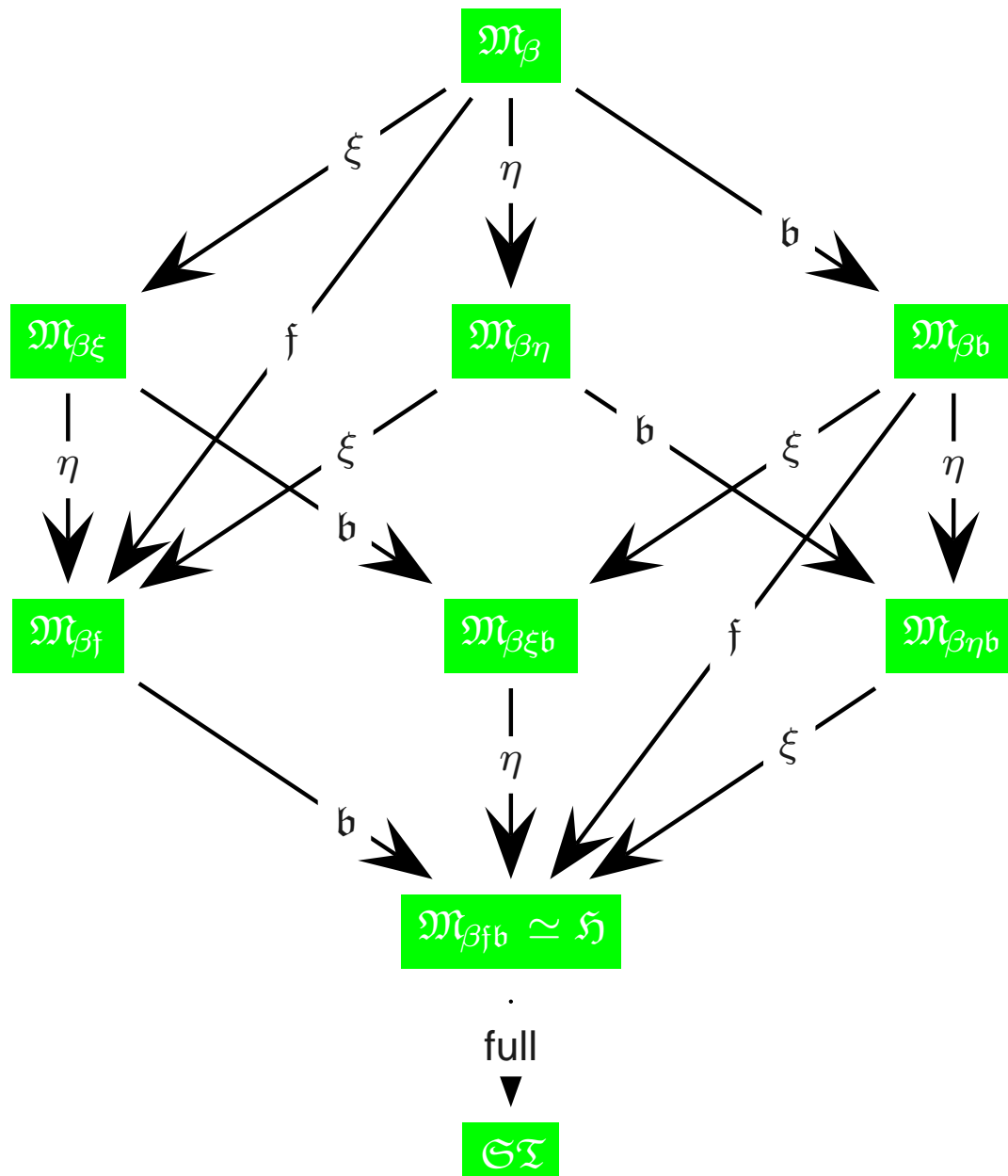
\approx^* is equivalence relation

- $\forall X_\alpha. X \approx^* X$
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- $\forall X_\alpha, Y_\alpha, Z_\alpha. (X \approx^* Y \wedge Y \approx^* Z) \Rightarrow X \approx^* Z$

\approx^* is congruence relation

- $\forall X_\alpha, Y_\alpha, F_{\alpha\alpha}. X \approx^* Y \Rightarrow (FX) \approx^* (FY)$
- $\forall X_\alpha, Y_\alpha, P_{\alpha\alpha}. X \approx^* Y \wedge (PX) \Rightarrow (PY)$

HOL-Problems requiring β



\approx^* is equivalence relation

- $\forall X_\alpha. X \approx^* X$
- $\forall X_\alpha, Y_\alpha. X \approx^* Y \Rightarrow Y \approx^* X$
- $\forall X_\alpha, Y_\alpha, Z_\alpha. (X \approx^* Y \wedge Y \approx^* Z) \Rightarrow X \approx^* Z$

\approx^* is congruence relation

- $\forall X_\alpha, Y_\alpha, F_{\alpha\alpha}. X \approx^* Y \Rightarrow (FX) \approx^* (FY)$
- $\forall X_\alpha, Y_\alpha, P_{\alpha\alpha}. X \approx^* Y \wedge (PX) \Rightarrow (PY)$

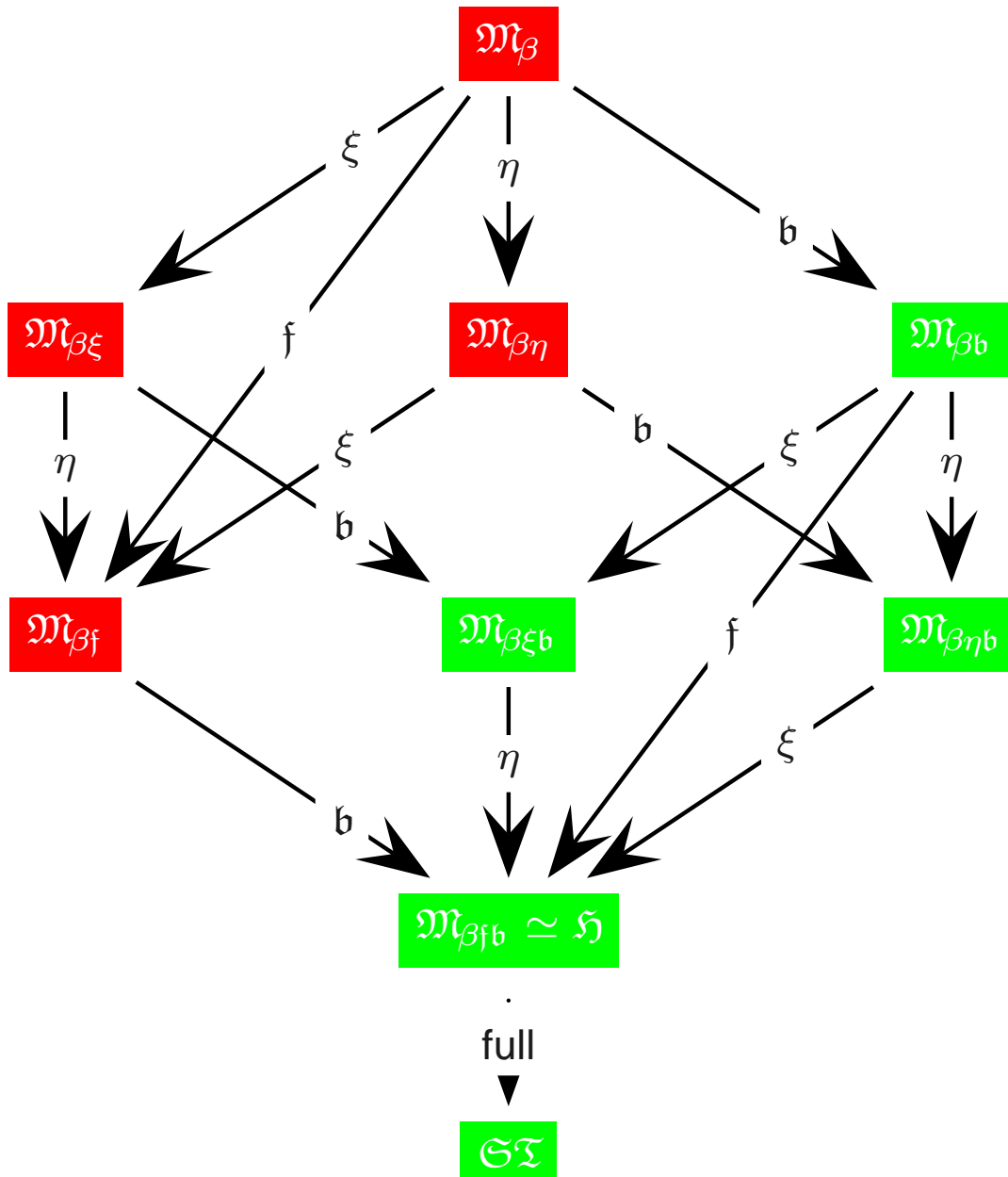
Trivial directions of Boolean and functional extensionality

- $\forall A_\alpha, B_\alpha. A \approx^* B \Rightarrow (A \Leftrightarrow B)$
- $\forall F_{\beta\alpha}, G_{\beta\alpha}. F \approx^* G \Rightarrow (\forall X_\alpha. FX \approx^* GX)$

HOL-Problems requiring \mathfrak{b}

Non-trivial direction of Boolean extensionality

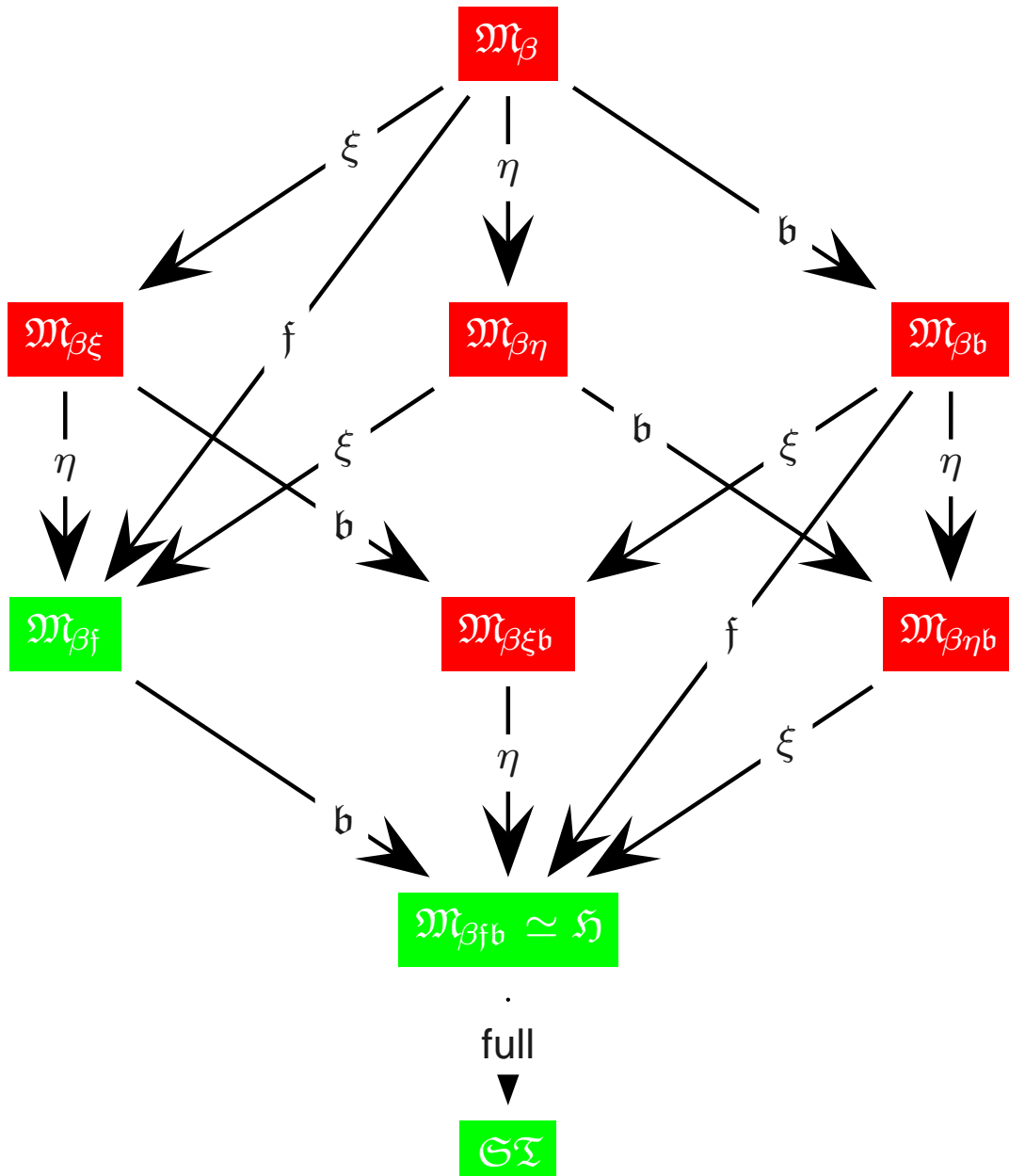
■ $\forall A_o, B_o. (A \Leftrightarrow B) \Rightarrow A \stackrel{*}{=} B$



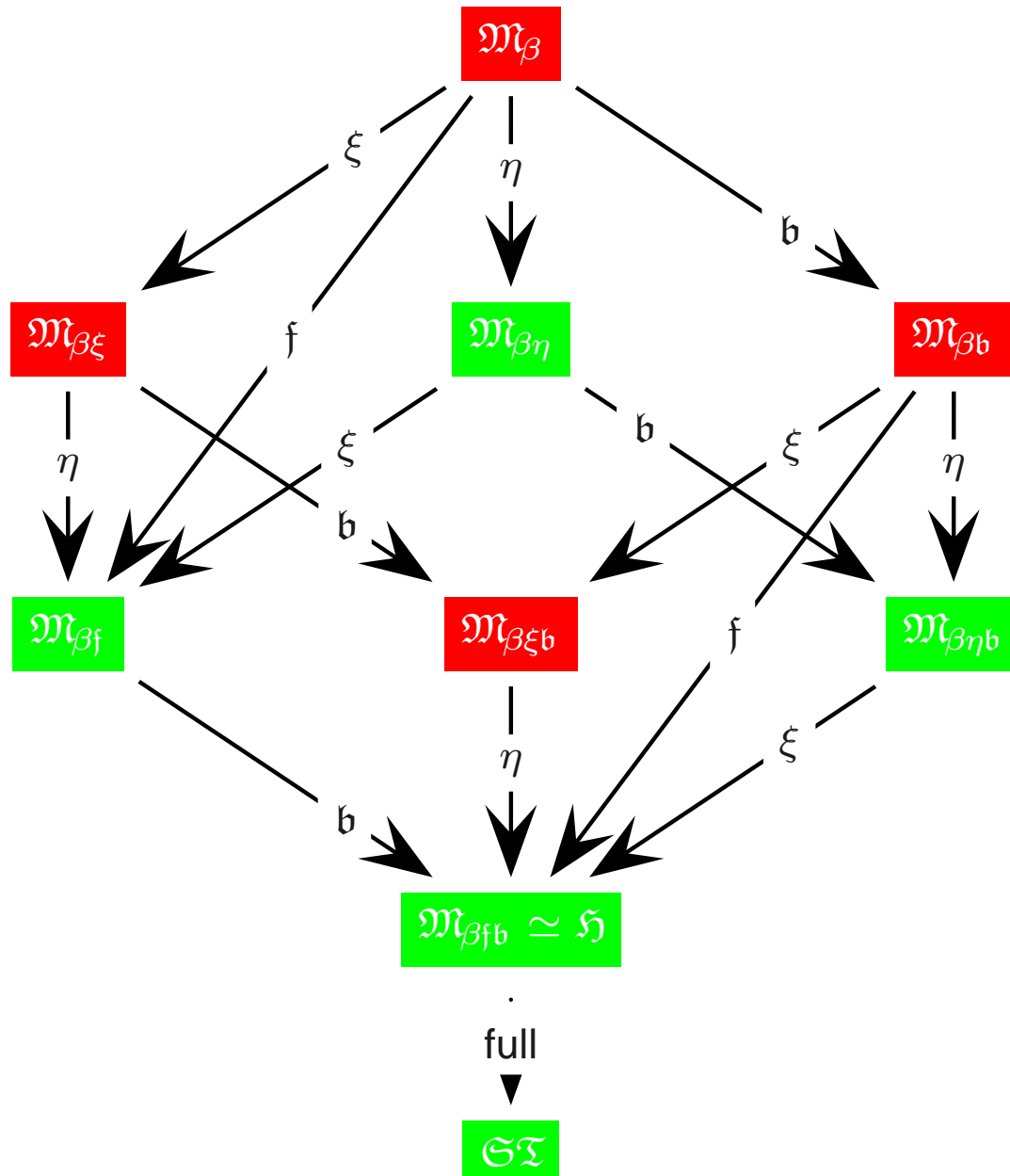
HOL-Problems requiring f

Non-trivial direct. of functional extensionality

■ $\forall F_{\beta\alpha}, G_{\beta\alpha}. (\forall X_{\alpha}. FX \stackrel{*}{=} GX) \Rightarrow F \stackrel{*}{=} G$



HOL-Problems requiring η



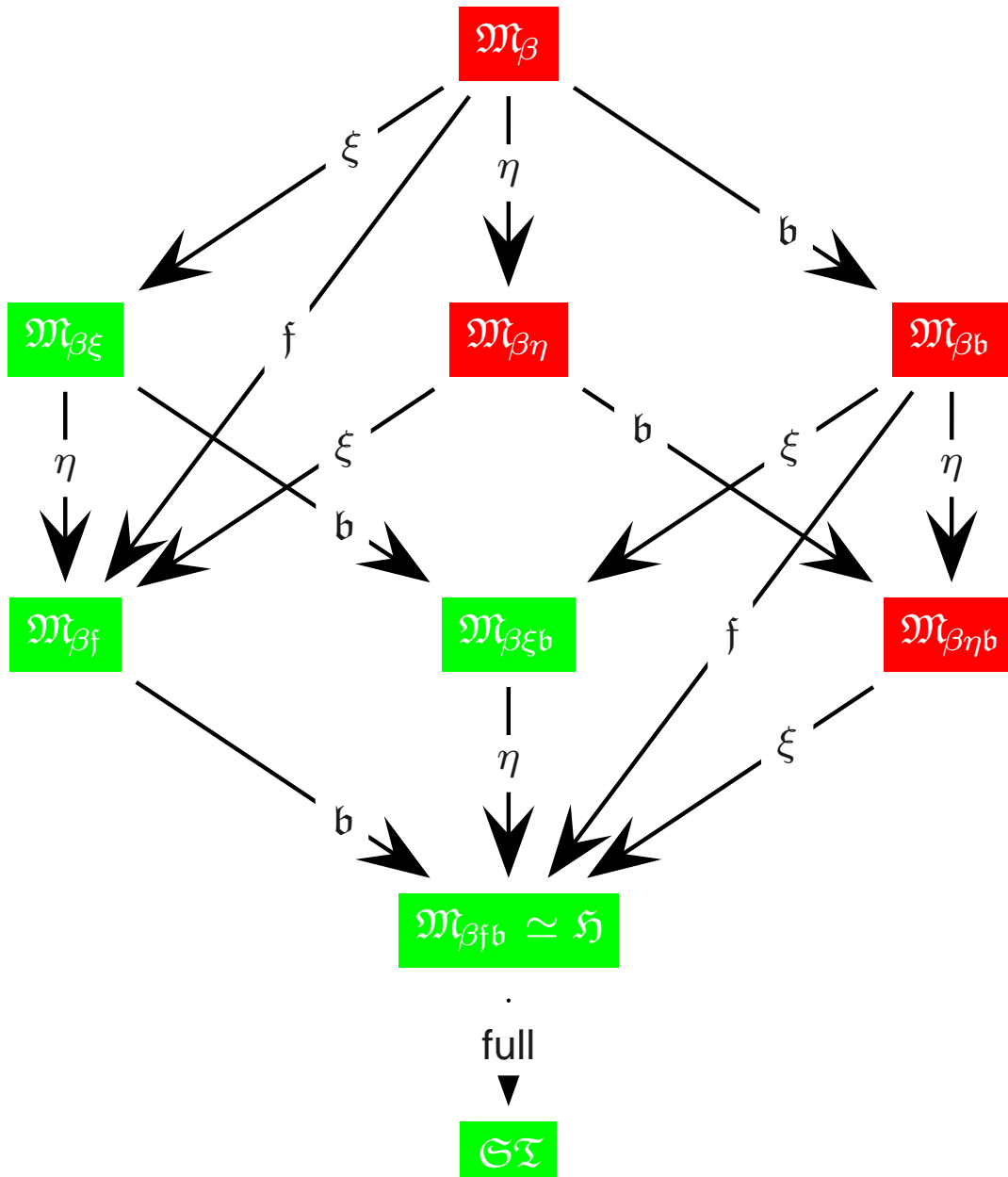
Example requiring property η

- $(p_{o(\iota)}(\lambda X. \iota. f_{\iota} X)) \Rightarrow (p f)$

HOL-Problems requiring ξ

Example requiring property ξ (and η !)

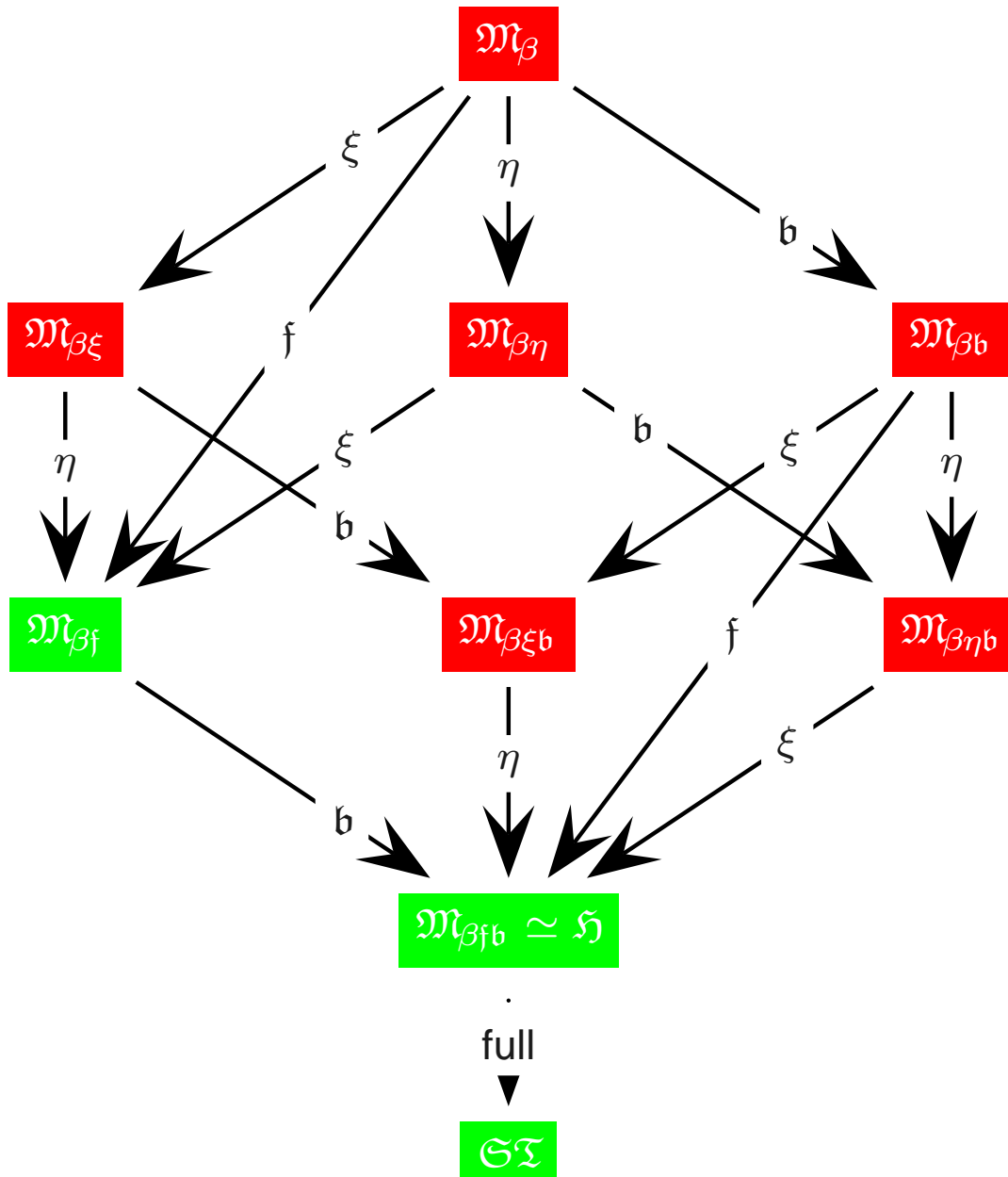
- $$(\forall X_{\iota}. (f_{\iota\iota} X) \stackrel{*}{=} X) \wedge p_{o(\iota\iota)}(\lambda X_{\iota}. X) \Rightarrow p(\lambda X_{\iota}. fX)$$



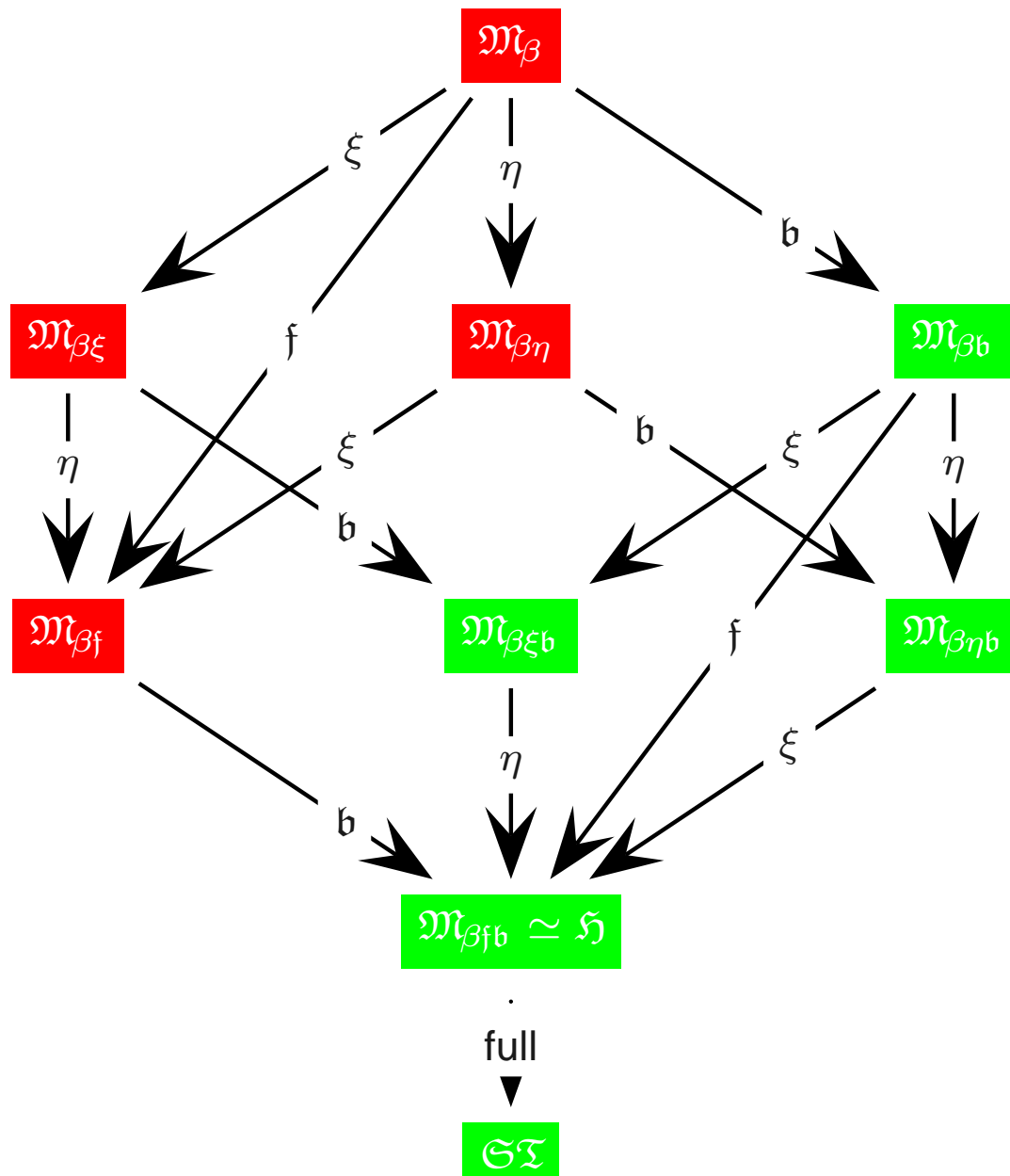
HOL-Problems requiring f

Example requiring property f (and q !)

$$\begin{aligned} & \blacksquare (\forall X_{\iota}. (f_{\iota\iota} X) \stackrel{*}{=} X) \wedge p_{o(\iota\iota)}(\lambda X_{\iota}. X) \\ & \Rightarrow (p \ f) \end{aligned}$$



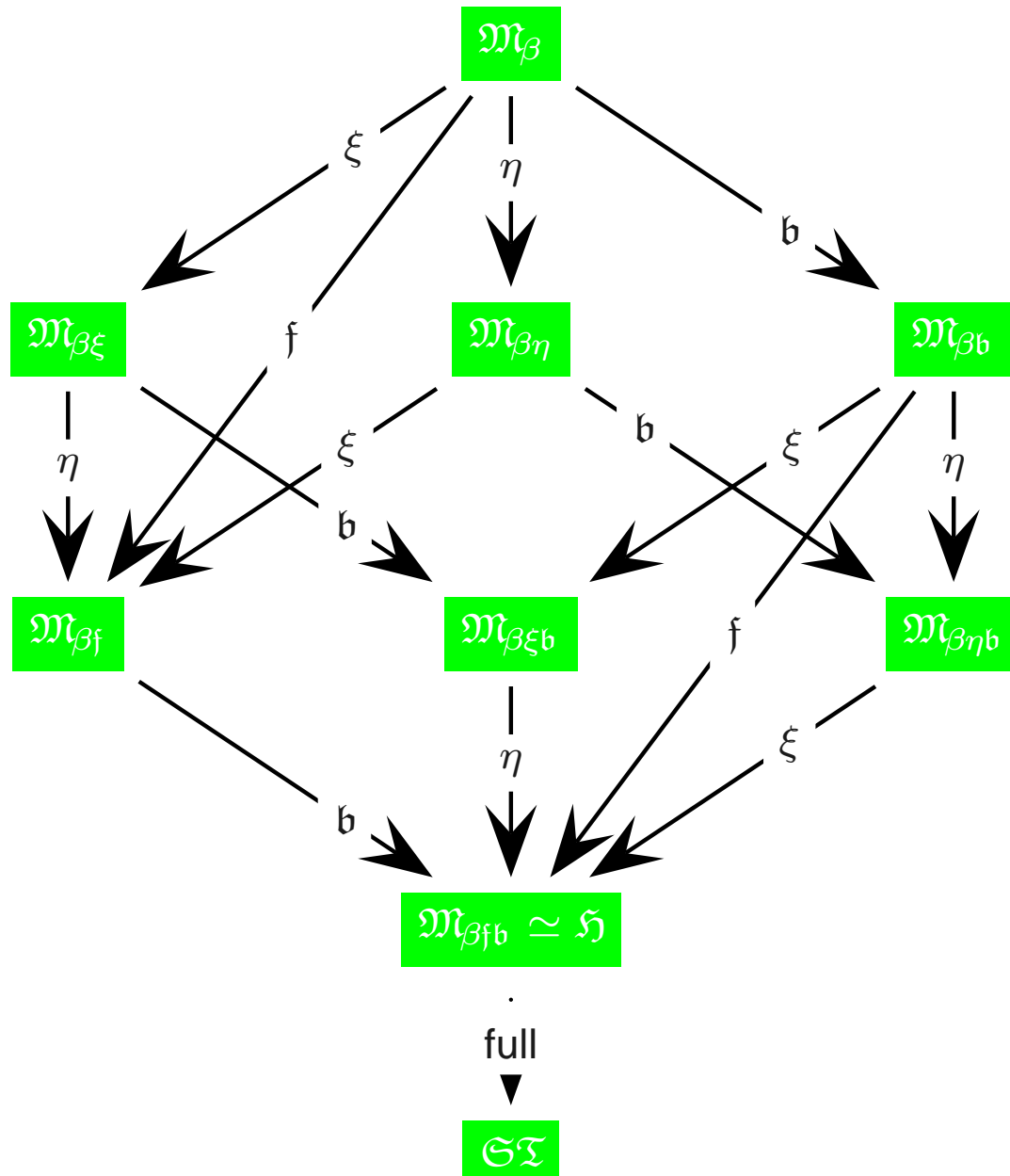
HOL-Problems requiring \flat



Examples requiring property \flat

- $(p_{oo} a_o) \wedge (p b_o) \Rightarrow (p (a \wedge b))$
- $\neg(a \stackrel{*}{=} \neg a)$ (in particular $\neg(a = \neg a)$)
- $(h_{\iota o}((h\top) \stackrel{*}{=} (h\perp))) \stackrel{*}{=} (h\perp)$

HOL-Problems: Other Examples

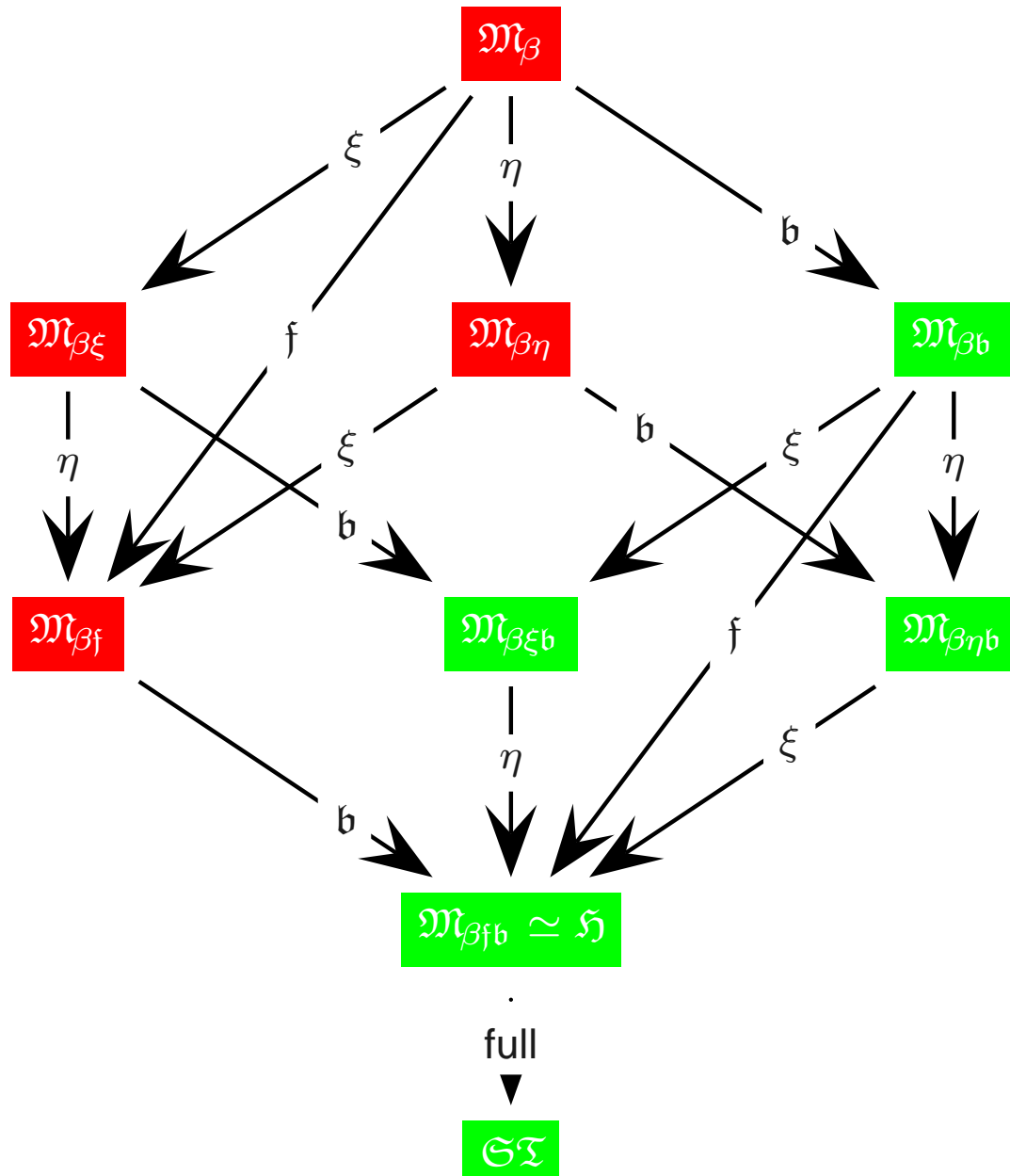


Playing with DeMorgan's Law:

■ $\forall X, Y. X \wedge Y \Leftrightarrow \neg(\neg X \vee \neg Y)$

'Ok' for all model classes

HOL-Problems: DeMorgan's Law

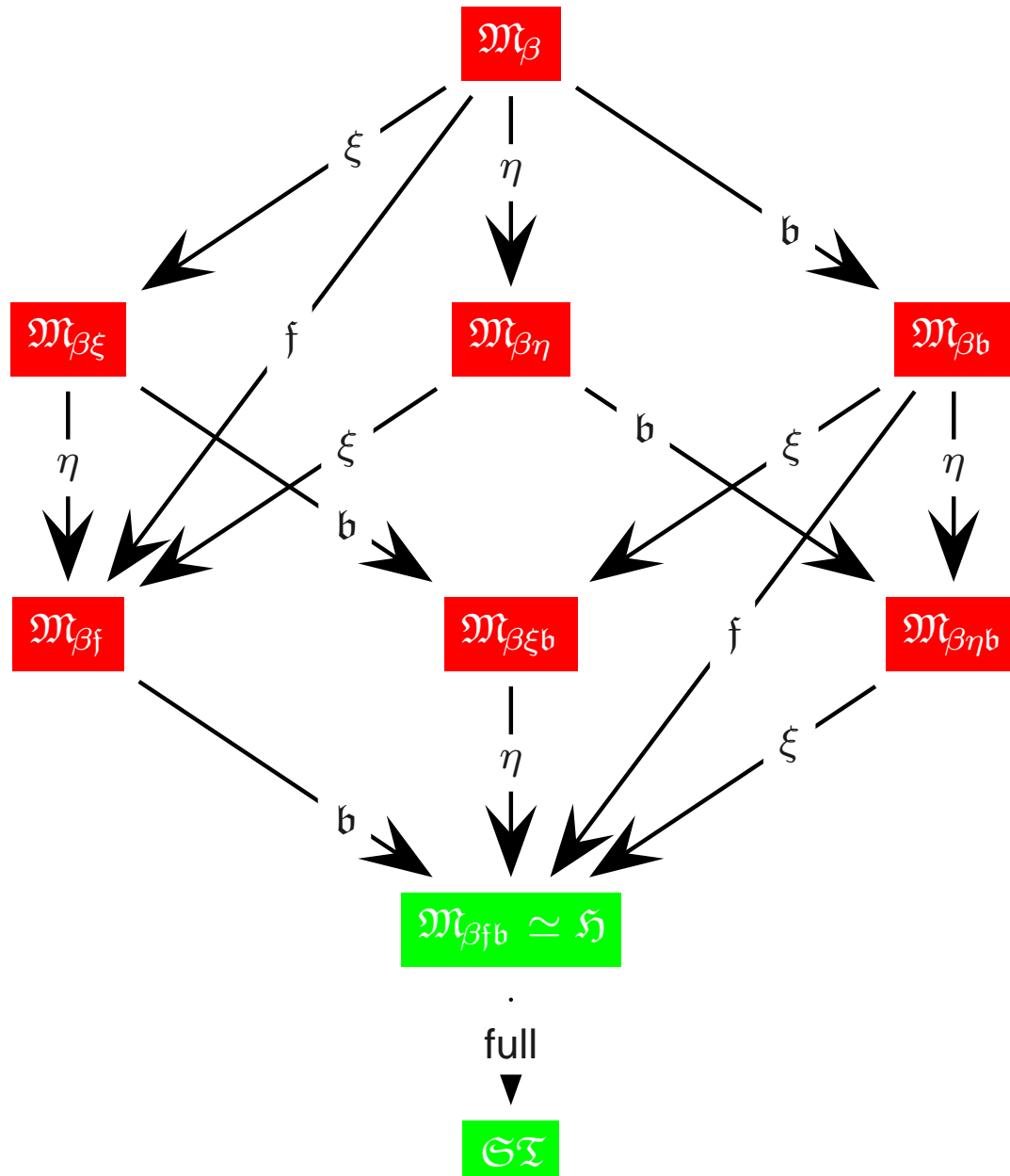


Playing with DeMorgan's Law:

- $\forall X, Y. X \wedge Y \Leftrightarrow \neg(\neg X \vee \neg Y)$
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requires b

HOL-Problems: DeMorgan's Law

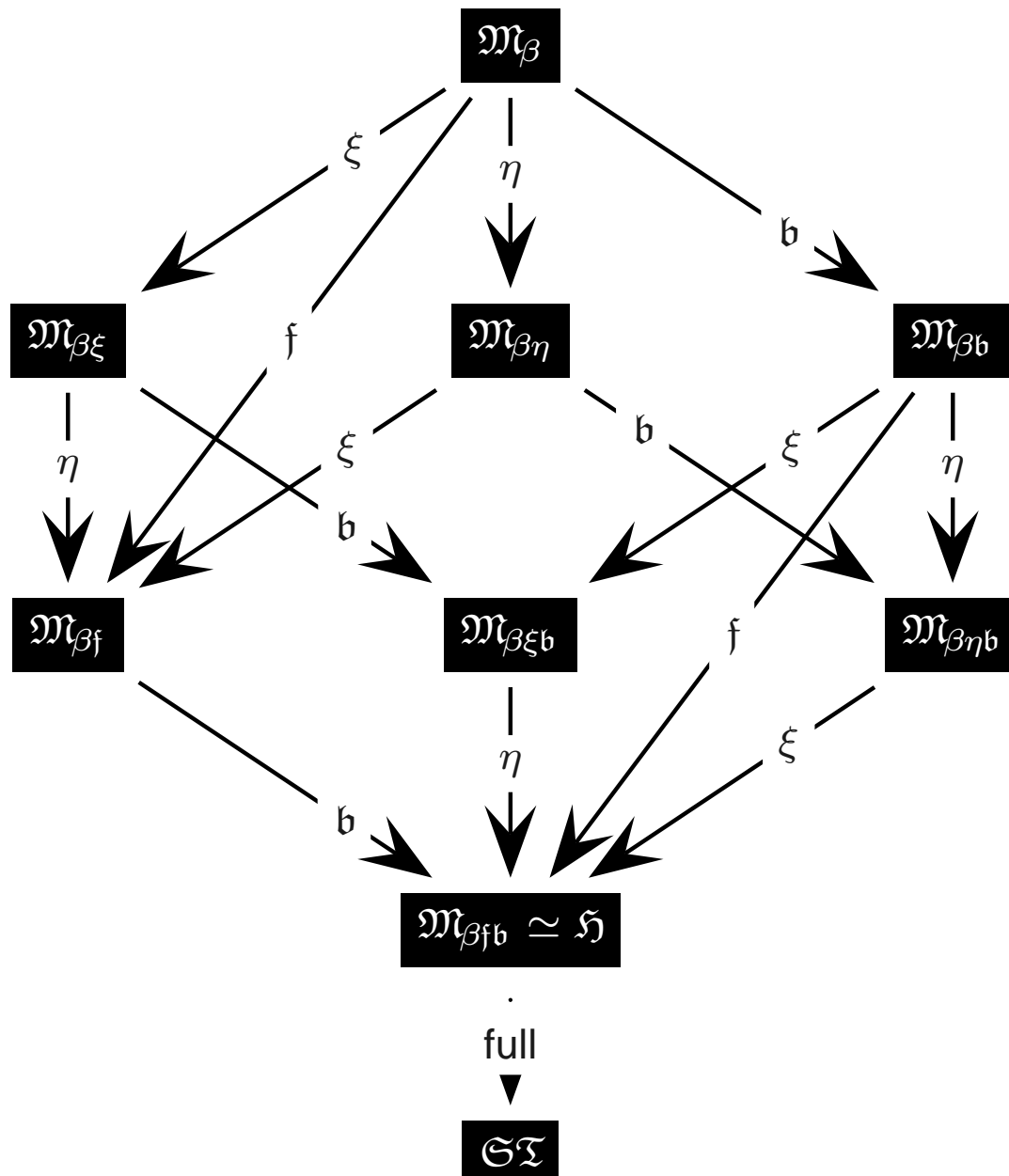


Playing with DeMorgan's Law:

- $\forall X, Y. X \wedge Y \Leftrightarrow \neg(\neg X \vee \neg Y)$
- $\forall X, Y. X \wedge Y \stackrel{*}{=} \neg(\neg X \vee \neg Y)$
- $(\lambda U \lambda V. U \wedge V) \stackrel{*}{=} (\lambda X \lambda Y. \neg(\neg X \vee \neg Y))$
- $\wedge \stackrel{*}{=} (\lambda X \lambda Y. \neg(\neg X \vee \neg Y))$

requires b and f

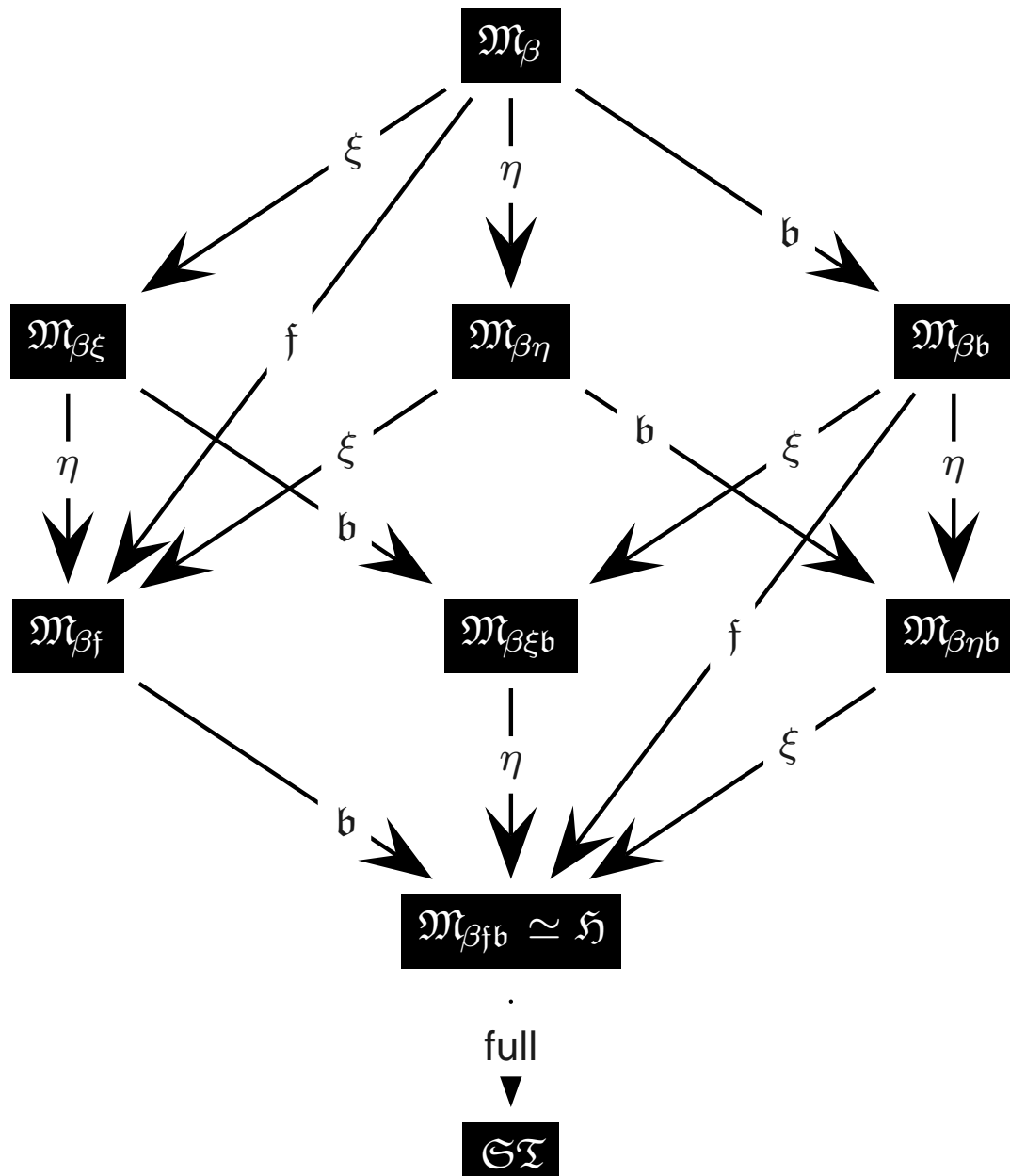
HOL-Problems: Set Comprehension



Set comprehension

- big challenge for automation
- [Benzm.BrownKohlhase-Draft-05] set instantiations can be used to simulate cut-rule if one of the following axioms is given: comprehension, induction, extensionality, choice, description
- depend on logical constants in \mathcal{S}

HOL-Problems: Set Comprehension



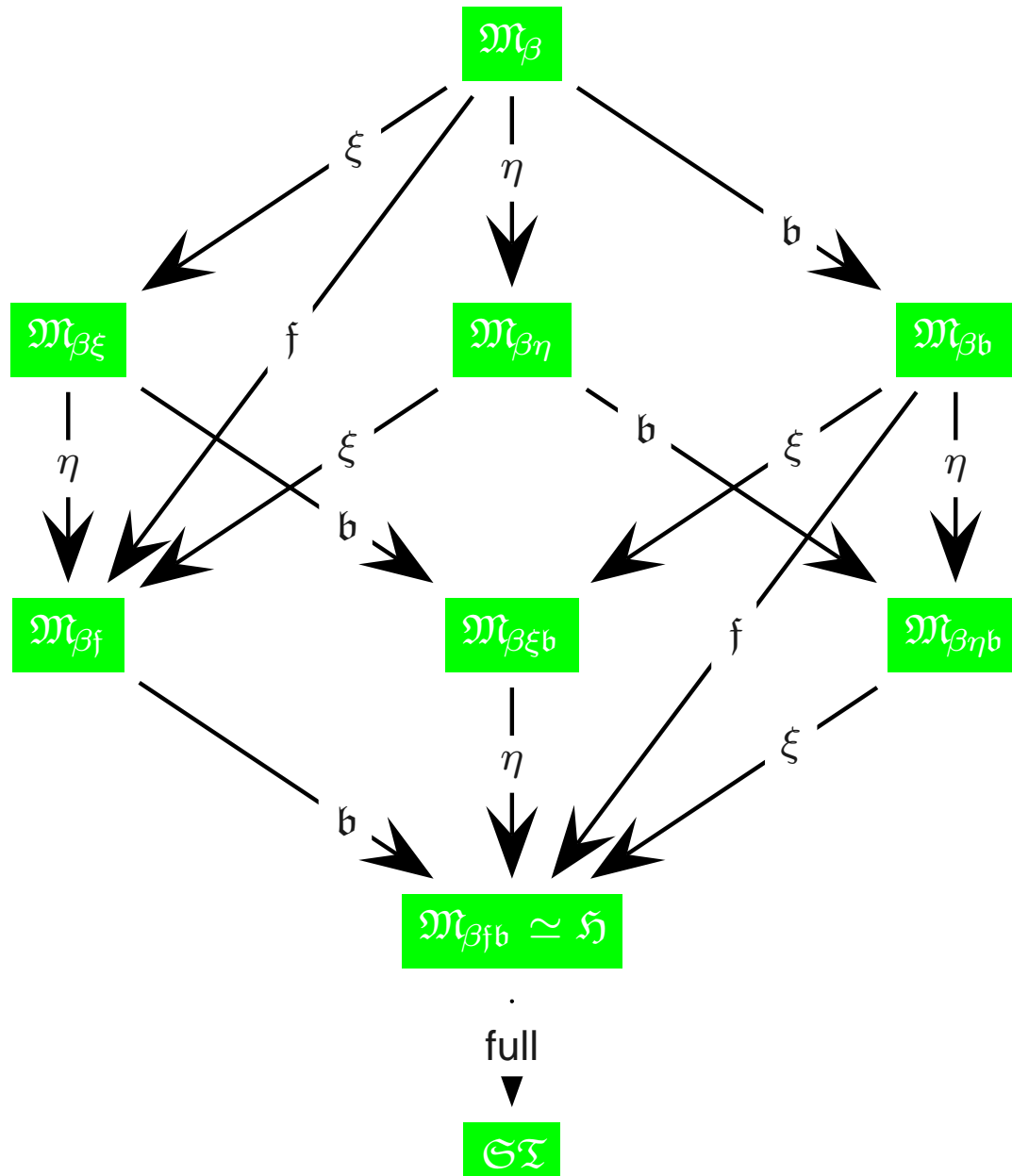
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In the remainder

- signature \mathcal{S} varying
- no property q assumed

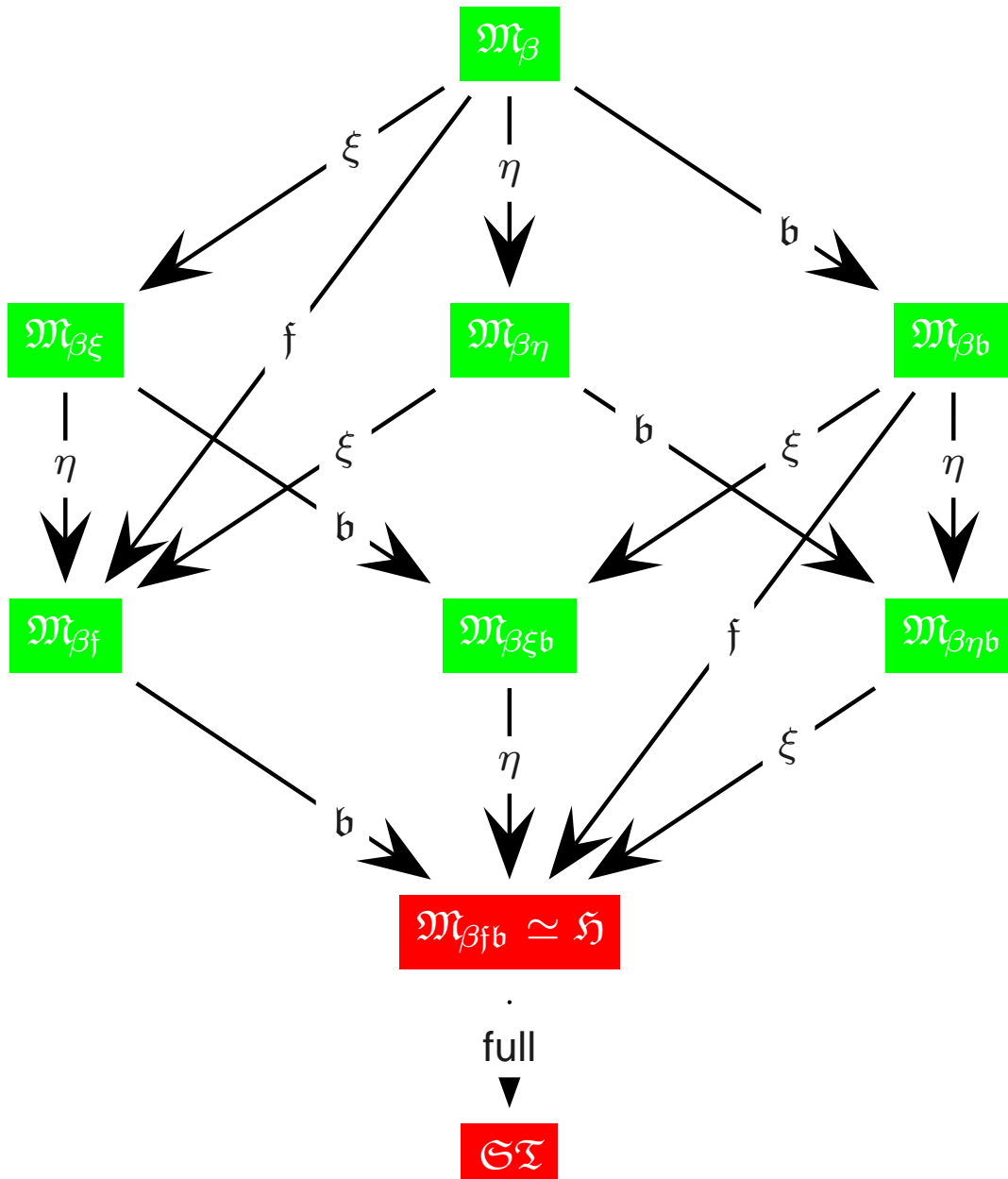
HOL-Problems: Set Comprehension



Set comprehension

- $\exists N_{oo} \forall P_{oo}. NP \Leftrightarrow \neg P$
 - ▶ if $\neg \in \mathcal{S}$ or $\{\perp, \Rightarrow\} \subseteq \mathcal{S}$ or $\{\perp, \Leftrightarrow\} \subseteq \mathcal{S}$
 - ▶ e.g.: $N_{oo} \longleftarrow \lambda X_{oo}. \neg X$
 - ▶ e.g.: $N_{oo} \longleftarrow \lambda X_{oo}. X \Rightarrow \perp$

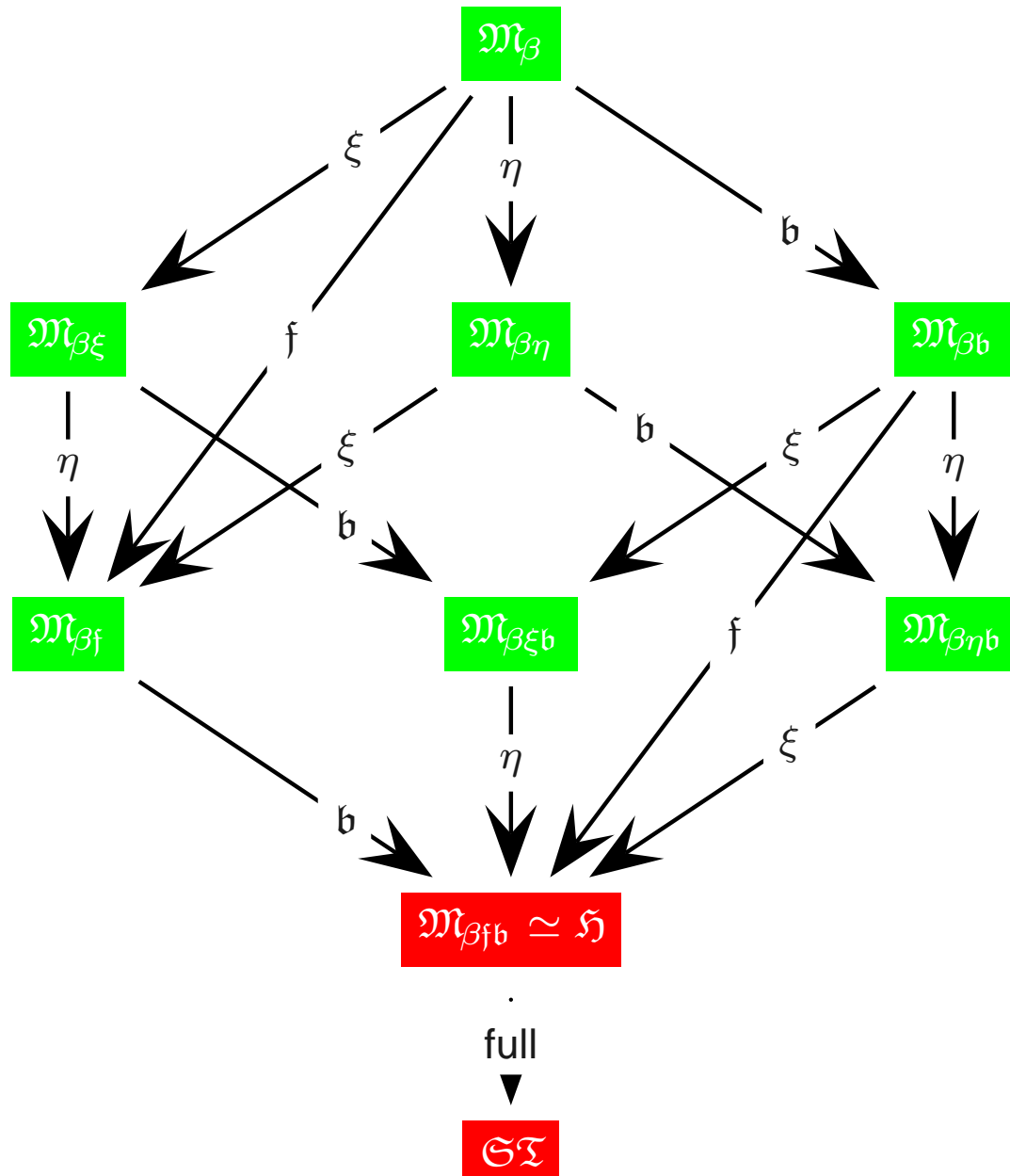
HOL-Problems: Set Comprehension



Set comprehension

- $\exists N_{oo} \forall P_{oo}. NP \Leftrightarrow \neg P$
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HOL-Problems: Set Comprehension



Set comprehension

- $\exists N_{\text{oo}} \forall P_{\text{oo}}. NP \Leftrightarrow \neg P$
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Other examples from [Brown-PhD-04]

- Surjective Cantor Theorem
- Injective Cantor Theorem

Conclusion

- Presented simple examples



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 - ▶ highlight some semantical or technical point

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 - ▶ Refine model classes for: description, choice, etc.



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- Outlook
 - ▶ Refine model classes for: description, choice, etc.
 - ▶ Build powerful HOL ATPs (see e.g. [LPAR-04])
 - ▶ Integrate them with proof assistants

Thank You



[LPAR-04] HOL versus FOL



- SET171+3 $\forall X_{o\alpha}, Y_{o\alpha}, Z_{o\alpha}. X \cup (Y \cap Z) = (X \cup Y) \cap (X \cup Z)$
SET611+3 $\forall X_{o\alpha}, Y_{o\alpha}. (X \cap Y = \emptyset) \Leftrightarrow (X \setminus Y = X)$
SET624+3 $\forall X_{o\alpha}, Y_{o\alpha}, Z_{o\alpha}. \text{Meets}(X, Y \cap Z) \Leftrightarrow \text{Meets}(X, Y) \vee \text{Meets}(X, Z)$
SET646+3 $\forall x_{\alpha}, y_{\beta}. \text{Subrel}(\text{Pair}(x, y), (\lambda u_{\alpha}. \top) \times (\lambda v_{\beta}. \top))$
SET670+3 $\forall Z_{o\alpha}, R_{o\beta\alpha}, X_{o\alpha}, Y_{o\beta}. \text{IsRelOn}(R, X, Y) \Rightarrow \text{IsRelOn}(\text{RestrictRDom}(R, Z), Z, Y)$

$- \in -$	$:=$	$\lambda x_{\alpha}, A_{o\alpha}. [Ax]$
\emptyset	$:=$	$[\lambda x_{\alpha}. \perp]$
$- \cap -$	$:=$	$\lambda A_{o\alpha}, B_{o\alpha}. [\lambda x_{\alpha}. x \in A \wedge x \in B]$
$- \cup -$	$:=$	$\lambda A_{o\alpha}, B_{o\alpha}. [\lambda x_{\alpha}. x \in A \vee x \in B]$
$- \setminus -$	$:=$	$\lambda A_{o\alpha}, B_{o\alpha}. [\lambda x_{\alpha}. x \in A \vee x \notin B]$
$\text{Meets}(-, -)$	$:=$	$\lambda A_{o\alpha}, B_{o\alpha}. [\exists x_{\alpha}. x \in A \wedge x \in B]$
$\text{Pair}(-, -)$	$:=$	$\lambda x_{\alpha}, y_{\beta}. [\lambda u_{\alpha}, v_{\beta}. u = x \wedge v = y]$
$- \times -$	$:=$	$\lambda A_{o\alpha}, B_{o\beta}. [\lambda u_{\alpha}, v_{\beta}. u \in A \wedge v \in B]$
$\text{Subrel}(-, -)$	$:=$	$\lambda R_{o\beta\alpha}, Q_{o\beta\alpha}. [\forall x_{\alpha}, y_{\beta}. Rxy \Rightarrow Qxy]$
$\text{IsRelOn}(-, -, -)$	$:=$	$\lambda R_{o\beta\alpha}, A_{o\alpha}, B_{o\beta}. [\forall x_{\alpha}, y_{\beta}. Rxy \Rightarrow x \in A \wedge y \in B]$
$\text{RestrictRDom}(-, -)$	$:=$	$\lambda R_{o\beta\alpha}, A_{o\alpha}. [\lambda x_{\alpha}, y_{\beta}. x \in A \wedge Rxy]$