

# Lecture 1

## I Fundamental group

Let  $X$  be a connected ~~Noetherian~~ scheme,  $\bar{x} \rightarrow X$  a geometric point.

Def. (Fibre functor) étale coverings, i.e. surj. fin. étale

$$\text{Fib}_{\bar{x}} : (\text{ét}/X) \longrightarrow \text{Sets}$$

$$(Y \rightarrow X) \mapsto \text{Hom}_X(\bar{x}, Y)$$



Rew:  $\text{Hom}_X(\bar{x}, Y) \hookrightarrow [Y_{\bar{x}}]$ , where  $Y_{\bar{x}} := Y \times_X \bar{x}$

$$\text{Def. } \pi_1^{\text{ét}}(X, \bar{x}) = \text{Aut}(\text{Fib}_{\bar{x}})$$

Recall:  $F: \mathcal{C} \rightarrow \mathcal{C}'$  functor.

An automor. of  $F$  is a compatible collection of isomorphisms

$$f_C: F(C) \rightarrow F(C), \quad \sigma_C$$

$\forall C \in \mathcal{C}, \quad \sigma_C: F(C) \rightarrow F(C), \quad \sigma_C$  an isom. in  $\mathcal{C}'$

Compatibility  $\Rightarrow$

$$\text{Aut}(Fib_{\bar{x}}) = \varprojlim_{\substack{Y \rightarrow X \\ \text{ét. cov.}}} Fib_{\bar{x}} Y$$

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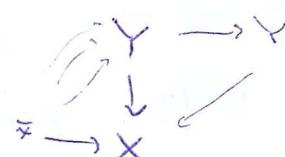
Def. (Galois cov.) Let  $Y \rightarrow X$  be an ét. cov. If is Galois if

i)  $Y$  connected

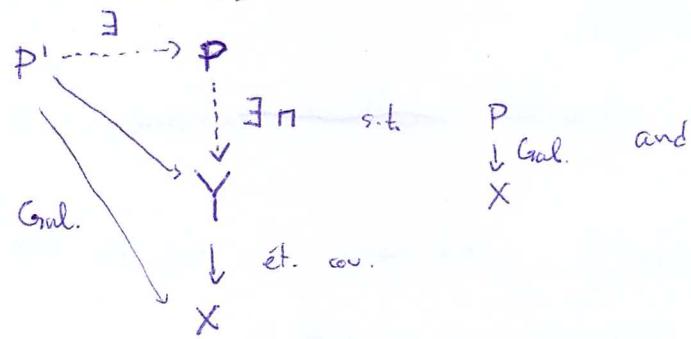
ii)  $\text{Aut}_X(Y) \curvearrowright \text{Fib}_{\bar{x}} Y$  transitive.

In this case,  $G_Y := \text{Aut}_X(Y)$  is the

Galois gp of  $Y \rightarrow X$ ,



Prop 1. There are Galois closures:



Galois coverings determine fund. gp:

For each  $P_\alpha \rightarrow X$  Gal. covering of  $X$ , choose a lift of  $\bar{x} \rightarrow X$ ,

$$\begin{array}{ccc} & P_\alpha & \\ \nearrow & \downarrow & \searrow \\ \bar{x} & \longrightarrow & X \end{array}$$

$\{P_\alpha, p_\alpha\}$  is a directed system, and moreover, fixing  $p_\alpha$ 's imposes that there is at most one

$$(P_\alpha, p_\alpha) \xrightarrow{\phi_{\beta, \alpha}} (P_\beta, p_\beta)$$

Hence,  $((P_\alpha, p_\alpha), \phi_{\beta, \alpha})$  is a proj. system, and

$$\text{Prop 2. } \pi_1^{\text{ét}}(X, \bar{x}) \simeq \varprojlim \text{Aut}_X(P_\alpha)^{\text{op}}$$

Ex. If  $X = \text{Spec}(K)$ ,

- Ét. cov. are fin. sep. extensions of  $K$
- Gal. cov. fin. Galois
- $\pi_1^{\text{ét}}(X, \bar{x}) \simeq \bar{x} \rightarrow K$  corresponds to an emb.  $K \hookrightarrow \Omega$  alg. closed
- If Prop 1. is just that given a sep. ~~closure~~ ext., there exists a Gal. closure.
- Prop 2 :  $\varprojlim_{\substack{\longleftarrow \\ \text{fin-sep.}}} L = \varprojlim_{\substack{\longleftarrow \\ \text{fin. Gal.}}} L$ .

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Thm

$$\text{Fib}_{\bar{x}} : (\text{ét}/X) \rightarrow \underline{\text{Sets}}$$

Ex.  $Y \rightarrow X$  Galois cov.  $\Leftrightarrow K(Y)/K(X)$  fin. Galois AND  
irr. var. over  $k$  if it is unramified for all valuations  
in  $\mathcal{O}_X(X)$ .

$X$  irr.  
Hence, Prop. 2  $\Rightarrow \pi_{\text{ét}}(X, \bar{x}) \simeq \text{Gal}(K(X)^{\text{unr}}/K(X))$ ,  
 $K(X)^{\text{unr}}$  := maximal sep. ext. var at  $\mathcal{O}_X(X)$ .

Thm. Let  $X$  be a conn. scheme,  $\bar{x} \rightarrow X$  a geom. point.

$$\begin{aligned} \text{Fib}_{\bar{x}} : (\text{ét}/X) &\longrightarrow \underbrace{\text{F Sets + action of } \pi_{\text{ét}}(X, \bar{x})}_{\text{continuous}} \\ (Y \rightarrow X) &\longmapsto Y_{\bar{x}} \end{aligned}$$

is an equiv. of categories (!).

### $\ell$ -adic sheaves

#### Recall

Let  $X$  be separated and noetherian.

#### Recall

Let  $\mathbb{F}$  be an ét sheaf  $^X$  (i.e. a contravariant functor

$\mathbb{F} : (\text{ét}/X) \rightarrow \underline{\text{A-mod}}$  satisfying the sheaf axioms

Then

$$\mathbb{F}_{\bar{x}} := \varprojlim_{\substack{\bar{x} \rightarrow u \\ u \text{ ét. cov.}}} \mathbb{F}(u)$$

Given  $\eta : X \rightarrow Y$ , then  $\eta_X \mathbb{F} : (V) \mapsto \mathbb{F}(V \times_X Y)$

Category theory  $\Rightarrow$   $\exists$  left adjoint:  $\pi^*: (\text{ét}/Y) \rightarrow (\text{ét}/X)$  s.t.

$$\text{Hom}_{X_{\text{ét}}}(\pi^*G, F) \xrightarrow{\cong} \text{Hom}_{Y_{\text{ét}}}(G, \pi_*F)$$

Locally,  $(\pi^*G)_{\bar{x}} = G_{(\pi \circ \bar{x})}$   $\bar{x} \rightarrow X \xrightarrow{\pi} Y$

We denote also  $G|_X := \pi^*G$

Def.  $F$  loc. constant if ~~there exists~~  $\exists \{U_i \rightarrow X\}$  s.t.  $F|_{U_i} = M_{U_i}$   
with stalk  $M$

For coherent.

Extension by 0:  $j: U \rightarrow X$ ,  $i: Z \rightarrow X$

$$j_! F = \ker (i^* j_* F \rightarrow i_* i^* j_* F) \quad (i^* j_* F \rightarrow i_* i^* j_* F)$$

Locally,  $(j_! F)_{\bar{x}} = \begin{cases} F_{\bar{x}} & \text{if } \bar{x} \in U \\ 0 & \text{else.} \end{cases}$

Def. Let  $A$  be noeth ring that is torsion (i.e.  $mA = 0$  for some  $m \in \mathbb{N}_{>0}$ ).

Let  $F$  be a sheaf of  $A$ -mod. on  $X_{\text{ét}}$ . Then  $F$  is constructible if there exist finite type  $A$ -modules

$M_1, \dots, M_n$  and locally closed  $X_1, \dots, X_n \subset X$  s.t.

$$(i) \quad X = \bigsqcup X_i$$

(ii)  $F|_{X_i}$  are locally constant with stalks  $M_i$ .

Rem. Loc. constant  $\Rightarrow$  constructible



$X$  scheme

local

Def. Let  $R$  be a complete dvr with maximal ideal  $m$  and residue field of char.  $l > 0$  (think on  $\mathbb{Z}_l = \varprojlim \mathbb{Z}/l^n$ )

1) constructible  $R$ -sheaf on  $X$  is a proj. system of

$R$ -modules  $F := (F_n)_{n \geq 1}$ , each  $F_n$  on  $X_{\text{ét}}$ , s.t.

a)  $F_n$  is a constructible  $R/m^n$ -mod. s.t.  $m^n F_n = 0$ .

b) For all  $n \geq 1$ ,  $F_n = F_{n+1} \otimes_{R/m^{n+1}} R/m^n$

2) lisse  $R$ -sheaf is a const.  $R$ -sheaf  $F = (F_n)$  s.t.

each  $F_n$  is a locally constant  $R/m^n$ -

Def. Let  $X$  scheme with  $l$  invertible, and fix  $\overline{\mathbb{Q}_\ell}/\mathbb{Q}_\ell$ .

• constructible  $E$ -sheaf,  $E/\mathbb{Q}_\ell$  finite, is a constructible  $\mathcal{O}_E$ -sheaf  $F$  tensored with  $E$ .

$F \otimes E$  is lisse if  $\exists \{U_i \rightarrow X\}$  and lisse  $\mathcal{O}_{E_i}$ -sheaves  $F_i$  s.t.  $F|_{U_i} \otimes E \simeq F_i \otimes E$ .

• constructible  $\overline{\mathbb{Q}_\ell}$ -sheaf: is an object on the limit of categories of constructible  $E$ -sheaves,  $\forall E/\mathbb{Q}_\ell$  fin.

$\bigoplus E'/E,$

$$F \otimes_{\mathcal{O}_E} E \hookrightarrow (F \otimes_{\mathcal{O}_E} E) \otimes_E E' = F \otimes_{\mathcal{O}_{E'}} E'$$

Convention: the ring  $A$  is an  $l$ -adic coeff. ring if:

$$\begin{cases} \mathcal{O}_E/m^n, n \geq 1 \\ \mathcal{O}_E \\ E \\ \overline{\mathbb{Q}_\ell} \end{cases}$$

Let  $k$  be a perf. field of char.  $p$ ,  $l \neq p$  another prime and  $A$  an  $l$ -adic coeff. ring.

Let  $F$  be a constructible  $A$ -sheaf, so that

$$F = (\underbrace{F_n}_{\text{const. } \mathbb{Q}_E\text{-sheaf}}) \otimes_{\mathbb{Q}_E} A$$

Def.:  $F_{\bar{x}} := \left\{ \left( \lim_{\leftarrow} F_{n,\bar{x}} \right) \otimes_{\mathbb{Q}_E} A \right\}$

$$\text{Def.: } F_{\bar{x}} := \left\{ \left( \lim_{\leftarrow} F_{n,\bar{x}} \right) \otimes_{\mathbb{Q}_E} A \right\}$$

Rem:  $F$  lisse  $\Rightarrow F_{\bar{x}}$  is a finite type  $A$ -module.

Def.  $F$  is free if  $F_{\bar{x}}$  are free  $A$ -modules.

Def. Let  $M$  be a fin. gen.  $A$ -module,  $X$  a sep noeth. conn. scheme,  $\bar{x} \rightarrow X$  a geom. point.

If  $A \neq \overline{\mathbb{Q}_\ell}$ , an  $A$ -repr. of  $\pi_1^{\text{\'et}}(X, \bar{x})$  is a continuous gp hom.

$$\pi_1^{\text{\'et}}(X, \bar{x}) \rightarrow \text{Aut}_A(M)$$

If  $A = \overline{\mathbb{Q}_\ell}$ , a  $\overline{\mathbb{Q}_\ell}$ -repr. of  $\pi_1^{\text{\'et}}(X, \bar{x})$  is a cts gp hom.

$\pi_1^{\text{\'et}}(X, \bar{x}) \rightarrow \text{Aut}_{\overline{\mathbb{Q}_\ell}}(M)$  coming from an  $E$ -repr, with  $E/\mathbb{Q}_\ell$  fin.

Thm.  $X$  conn.,  $\bar{x} \rightarrow X$  geom. pt,  $A$   $l$ -adic coeff. ring.

$$(\text{lisse } A\text{-sheaves on } X) \longrightarrow (A\text{-repr. of } \pi_1^{\text{\'et}}(X, \bar{x}))$$

$$F \xrightarrow{\quad} F_{\bar{x}}$$

The action is as follows:

For  $\sigma \in \pi_1^{\text{\'et}}(X, \bar{x})$  and  $\begin{matrix} P_\alpha \\ \downarrow \\ X \end{matrix}$ , we have  $\sigma^*: F(P_\alpha) \rightarrow F(P_\alpha)$

If  $U \rightarrow X$  et.,  $U_\alpha := U \times_{P_\alpha} \text{neighbor.}$  of  $\bar{x}$ , we have  $F(U) \rightarrow F(U_\alpha) \rightarrow F(U_\alpha) \rightarrow F_{\bar{x}}$

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This system is compatible as we change  $U$ , so we obtain

$$\sigma^*: \mathcal{F}_{\bar{x}} \rightarrow \mathcal{F}_{\bar{x}}$$

and this is the representation.

Furthermore, if  $\pi: X' \rightarrow X$  is a finite Galois cover with Galois gp  $G$ , we have an equivalence

$$(\text{fishe } A\text{-sheaves on } X, \text{ constant on } X') \xleftrightarrow{\sim} (\text{fin. gen. } A[G]\text{-modules})$$

Action of a gp on a scheme:

We say that  $G \curvearrowright X$  if there is a hom.  $G \rightarrow \text{Aut}(X)$ .

- $G \curvearrowright X$  admissibly i.f.  $X$  is a union of - open, affine  $U$  s.t.  $G$  acts on  $U$ .

in this case,

E.g.:  $G$  finite  $\curvearrowright X$  quasi-proj. over a field  $\Rightarrow G \curvearrowright X$  adm.

- If  $G \curvearrowright X$  is admissible, we can form the quotient  $\pi: X \rightarrow X/G$  by glueing  $U/G := \text{Spec}(A^G)$ . Then,

$$\text{Hom}(X, Y)^G = \text{Hom}(X/G, Y) \quad \forall Y.$$

Def. Let  $G \curvearrowright X$  admissibly,  $\mathcal{F}$  a sheaf of  $A$ -modules on  $X$  et together with morph.

$$\mathcal{F}(\sigma): \mathcal{F} \rightarrow \sigma^* \mathcal{F}$$

$$\mathcal{F} \xrightarrow{\tau} \tau^* \mathcal{F}$$

$$\tau^* \mathcal{F} \rightarrow \tau^* \sigma^* \mathcal{F}$$

$$\text{s.t. } \mathcal{F}(1_G) = \text{id}_{\mathcal{F}}, \quad \mathcal{F}(\sigma \tau) = \tau^*(\mathcal{F}(\sigma)) \circ \mathcal{F}(\tau)$$

Then  $\mathcal{F}$  is a sheaf with a  $G$ -action.

Rem. Given  $\pi: X \rightarrow X/G$ , then  $G$  has trivial action on  $X/G$  and acts on  $\pi_* \mathcal{F}$ . Hence:

Def.  $(\pi_* \mathcal{F})^G: U \mapsto \left\{ a \in \mathcal{F}(U \times_{X/G} X) \mid \mathcal{F}(\sigma)(a) = a \quad \forall \sigma \in G \right\}$

$$X/G$$

Similarly, if  $\ell$  is inv. in  $X$ , with  $A$  an  $\ell$ -adic coeff. ring and  $F = (F_n) \otimes_{\mathcal{O}_E} A$  a constructible  $A$ -sheaf;

$$F^G = (F_n^G) \otimes_{\mathcal{O}_E} A$$

Even more in our thm:  $\tilde{F} \rightarrowtail \tilde{F}_{\bar{\pi}}$

If  $A$  is finite,  $(n_* M_{X'})^G \hookrightarrow M$  (fin. gen.  $A[G]$ -mod)

If  $A$  infinite,  $M = N \otimes_{\mathcal{O}_E} A$  and

$$\left( (n_* N_{X'})^G \otimes_{\mathcal{O}_E / m^n} \right)_n \otimes_{\mathcal{O}_E} A \hookrightarrow M$$

Wild ramification of an  $\ell$ -adic sheaf.

Setting:

- $C$  smooth proper geom. conn. curve /  $k$  perfect,  $\text{char } p > 0$   
 $U$  affine open subset.
- $\ell \neq p$  prime number
- $K = k(U)$ , fix  $\bar{K}/K$ . If  $\eta \rightarrow C$  gen. point,  
 $\bar{\eta} \rightarrow C$  geometric point  $\rightarrow \bar{K}/K^{\text{sep}}/K$ .
- If  $x \in C$  closed,  $K_x :=$  completion of  $K$  wrt  $x$ .  $\leadsto$  complete idv. field  
Choose  $i_x: K^{\text{sep}} \rightarrow K_x^{\text{sep}}$  over  $K$ .
- $G = \text{Gal}(K^{\text{sep}}/K) \rightarrow D_x \rightarrow I_x \rightarrow P_x$   
 $\text{Gal}(K_x^{\text{sep}}/K_x) \rightarrow I_x^{\text{inj}}$  inertia  $\rightarrow$  wild inertia, is pro-p-grp

Let  $A$  be an  $\ell$ -adic coeff. ring,  $\overset{\text{free}}{F}$  a free lisse  $A$ -module on  $U$ .

Thm  $\Rightarrow F$  corresponds to  $n_i^{\text{ét}}(U, \bar{\eta}) \rightarrow F_{\bar{\eta}}$ .

Recall:  $\text{Gal}(\mathbb{K}^{\text{unr}}(u)/\mathbb{K}(u)) \cong n_i^{\text{ét}}(U, \bar{\eta})$

We have a surjection  $G \rightarrow \text{Gal}(\mathbb{k}^{\text{unr}}(u)/\mathbb{k}(u))$

~~Let~~ Let  $x \in C$  be a closed point, then we have

$$P_x \hookrightarrow D_x \hookrightarrow G \rightarrow \text{Gal}(\mathbb{k}^{\text{unr}}(u)/\mathbb{k}(u)) \xrightarrow{\sim} n_i^{\text{ét}}(U, \bar{\eta}) \rightarrow F_{\bar{\eta}}$$

Fact: If  $P_x \rightarrow F_{\bar{\eta}}$  is the repr. of a pro- $\mathfrak{p}$ -gp, it factors through a finite quotient of  $P_x$ .

Fact: If  $P_x \rightarrow F_{\bar{\eta}}$  factors through a fin. quotient, there exists a break decomposition.

$$F_{\bar{\eta}} = \bigoplus_{t_x \in R_{\geq 0}} F_{\bar{\eta}}(t_x) \quad (\text{the } x \text{ is to remember the choice})$$

with nice properties.

Def (Swan conductor):  $\text{Swan}_x F_{\bar{\eta}} := \sum_{t_x \geq 0} t_x \cdot \text{rank}(F_{\bar{\eta}}(t_x)) \in \mathbb{R}$

Def. The wild ramification of  $F$  at  $x$  is

$$\text{Swan}_x(F) := \text{Swan}_x(F_{\bar{\eta}}).$$

G.O.S. Let  $F$  be a lisse  $\overline{\mathbb{Q}_\ell}$ -sheaf on  $U$ . Then

$$X_c(\bar{U}, F) = \text{rk}(F) \cdot X_c(\bar{U}, \overline{\mathbb{Q}_\ell}) - \sum_{x \in C \setminus U} [k(x):k] \cdot \text{Swan}_x(F)$$

$\bar{U} := U \otimes \bar{k}, \quad \overline{\mathbb{Q}_\ell} = (\mathbb{Z}/\ell^n\mathbb{Z}) \otimes_{\mathbb{Z}} \overline{\mathbb{Q}_\ell}$  (9)

