

# On the maximum size of an anti-chain of linearly separable sets and convex pseudo-discs<sup>1</sup>

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## Abstract

We answer a question raised by Walter Morris, and independently by Alon Efrat, about the maximum cardinality of an anti-chain composed of intersections of a given set of  $n$  points in the plane with half-planes. We approach this problem by establishing the equivalence with the problem of the maximum monotone path in an arrangement of  $n$  lines. A related problem on convex pseudo-discs is also discussed in the paper.

## 1 Introduction

Let  $P$  be a set of  $n$  points in the plane, no three of which are collinear. A subset of  $P$  is called *linearly separable* if it is the intersection of  $P$  with a closed half-plane. A  $k$ -set of  $P$  is a subset of  $k$  points from  $P$  which is linearly separable. Let  $\mathcal{A}_k = \mathcal{A}_k(P)$  denote the collection of all  $k$ -sets of  $P$ . It is a well-known open problem to determine  $f(k)$ , the maximum possible cardinality of  $\mathcal{A}_k$ , where  $P$  varies over all possible sets of  $n$  points in general position in the plane. The current records are  $f(k) = O(nk^{1/3})$  by Dey ([D98]) and  $f(\lfloor n/2 \rfloor) \geq ne^{\Omega(\sqrt{\log n})}$  by Tóth ([T01]).

Let  $\mathcal{A} = \mathcal{A}(P) = \cup_{k=0}^n \mathcal{A}_k$  be the family of all linearly separable subsets of  $P$ . The family  $\mathcal{A}$  is partially ordered by inclusion. Clearly, each  $\mathcal{A}_k$  is an anti-chain in  $\mathcal{A}$ . The following problem was raised by Walter Morris in 2003 in relation with the *convex dimension* of a point set (see [ES88]) and, as it turns out, it was independently raised by Alon Efrat 10 years before, in 1993:

**Problem 1.** What is the maximum possible cardinality  $g(n)$  of an anti-chain in the poset  $\mathcal{A}$ , over all sets  $P$  with  $n$  points?

In Section 2 we show that in fact  $g(n)$  can be very large, and in particular much larger than  $f(n)$ .

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D2:01 **Theorem 1.**  $g(n) = \Omega(n^{2 - \frac{d}{\sqrt{\log n}}})$ , for some absolute constant  $d > 0$ .

D2:02 In an attempt to bound from above the function  $g(n)$  one can view linearly separable  
D2:03 sets as a special case of a slightly more general concept:

D2:04 **Definition 1.** Let  $P$  be a set of  $n$  points in general position in the plane. A Family  $F$  of  
D2:05 subsets of  $P$  is called a family of *convex pseudo-discs* if the following two conditions are  
D2:06 satisfied:

- D2:07 1. Every set in  $F$  is the intersection of  $P$  with a convex set.
- D2:08 2. If  $A$  and  $B$  are two different sets in  $F$ , then both sets  $\text{conv}(A) \setminus \text{conv}(B)$  and  $\text{conv}(B) \setminus$   
D2:09  $\text{conv}(A)$  are connected (or empty).

D2:10 One natural example for a family of convex pseudo-discs is the family  $\mathcal{A}(P)$ , where  $P$  is  
D2:11 a set of  $n$  points in general position in the plane. To see this, observe that every linearly  
D2:12 separable set is the intersection of  $P$  with a convex set, namely, a half-plane. It is therefore  
D2:13 left to verify that if  $A = P \cap H_A$  and  $B = P \cap H_B$ , where  $H_A$  and  $H_B$  are two half-planes, then  
D2:14 both  $\text{conv}(A) \setminus \text{conv}(B)$  and  $\text{conv}(B) \setminus \text{conv}(A)$  are connected. Let  $A' = A \setminus H_B = A \setminus B =$   
D2:15  $A \setminus \text{conv}(B)$ . Since  $\text{conv}(A') \cap \text{conv}(B) = \emptyset$ , we have  $\text{conv}(A) \setminus \text{conv}(B) \supset \text{conv}(A')$ . For  
D2:16 any  $x \in \text{conv}(A) \setminus \text{conv}(B)$ , we claim that there is a point  $a' \in A'$  such that the line segment  
D2:17  $[x, a']$  is fully contained in  $\text{conv}(A) \setminus \text{conv}(B)$ . This will clearly show that  $\text{conv}(A) \setminus \text{conv}(B)$   
D2:18 is connected. Let  $a_1, a_2, a_3$  be three points in  $A$  such that  $x$  is contained in the triangle  
D2:19  $a_1 a_2 a_3$ . If each line segment  $[x, a_i]$ , for  $i = 1, 2, 3$ , contains a point of  $\text{conv}(B)$ , it follows that  
D2:20  $x \in \text{conv}(B)$ , contrary to our assumption. Thus there must be a line segment  $[x, a_i]$  that is  
D2:21 contained in  $\text{conv}(A) \setminus \text{conv}(B)$ , and we are done.

D2:22 In Section 3 we bound from above the maximum size of a family of convex pseudo-discs  
D2:23 of a set  $P$  of  $n$  points in the plane, assuming that this family of subsets of  $P$  is by itself an  
D2:24 anti-chain with respect to inclusion:

D2:25 **Theorem 2.** Let  $F$  be a family of convex pseudo-discs of a set  $P$  of  $n$  points in general  
D2:26 position in the plane. If no member of  $F$  is contained in another, then  $F$  consists of at most  
D2:27  $4 \binom{n}{2} + 1$  members.

D2:28 Clearly, in view of Theorem 1, the result in Theorem 2 is nearly best possible. We show by  
D2:29 a simple construction that Theorem 2 is in fact tight, apart from the constant multiplicative  
D2:30 factor of  $n^2$ .

## 2 Large anti-chains of linearly separable sets

D2:31 Instead of considering Problem 1 directly, we will consider a related problem.

D2:32 **Definition 2.** For a pair  $x, y$  of points and a pair  $\ell_1, \ell_2$  of non-vertical lines, we say that  
D2:33  $x, y$  *strongly separate*  $\ell_1, \ell_2$  if  $x$  lies strictly above  $\ell_1$  and strictly below  $\ell_2$ , and  $y$  lies strictly  
D2:34 above  $\ell_2$  and strictly below  $\ell_1$ .

D2:35 We will also take the dual viewpoint and say that  $\ell_1, \ell_2$  strongly separate  $x, y$ . (In fact,  
D2:36 this relation is invariant under the standard point-line duality.)

D3:01 If we have a set  $L$  of lines, we say that the point pair  $x, y$  is *strongly separated* by  $L$ , if  
D3:02  $L$  contains two lines  $\ell_1, \ell_2$  that strongly separate  $x, y$ .

D3:03 A pair of lines  $\ell_1, \ell_2$  is said to be strongly separated by a set  $P$  of points if there are two  
D3:04 points  $x, y \in P$  that strongly separate  $\ell_1$  and  $\ell_2$ .

D3:05 Using the above terminology one can reduce Problem 1 to the following problem:

D3:06 **Problem 2.** Let  $P$  be a set of  $n$  points in the plane. What is the maximum possible  
D3:07 cardinality  $h(n)$  (taken over all possible sets  $P$  of  $n$  points) of a set of lines  $L$  in the plane  
D3:08 such that for every two lines  $\ell_1, \ell_2 \in L$ ,  $P$  strongly separates  $\ell_1$  and  $\ell_2$ .

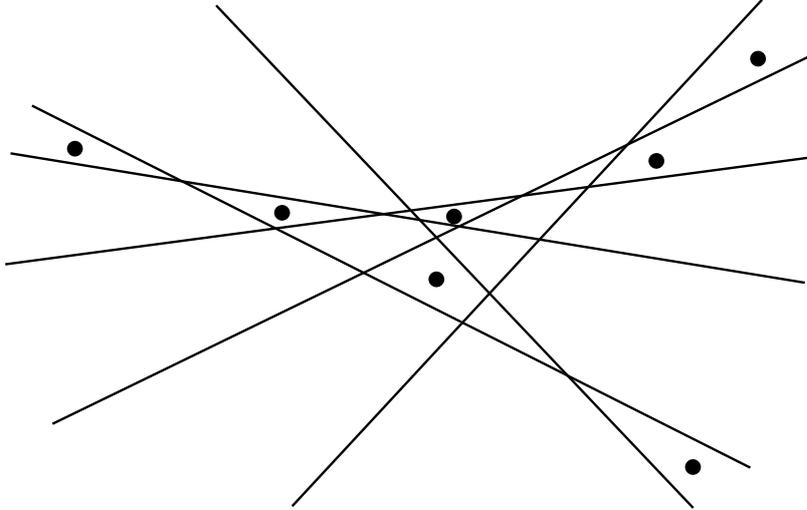


Figure 1: Problem 2.

D3:09 To see the equivalence of Problem 1 and Problem 2, let  $P$  be a set of  $n$  points and  $L$   
D3:10 be a set of  $h(n)$  lines that answer Problem 2. We can assume that none of the points lie  
D3:11 on a line of  $L$ . Then with each of the lines  $\ell \in L$  we associate the subset of  $P$  which is  
D3:12 the intersection of  $P$  with the half-plane below  $\ell$ . We thus obtain  $h(n)$  subsets of  $P$  each  
D3:13 of which is a linearly separable subset of  $P$ . Because of the condition on  $L$  and  $P$ , none of  
D3:14 these linearly separable sets may contain another. Therefore we obtain  $h(n)$  elements from  
D3:15  $\mathcal{A}(P)$  that form an anti-chain, hence  $g(n) \geq h(n)$ .

D3:16 Conversely, assume we have an anti-chain of size  $g(n)$  in  $\mathcal{A}(P)$  for a set  $P$  of  $n$  points.  
D3:17 Each linearly separable set is the intersection of  $P$  with a half-plane, which is bounded by  
D3:18 some line  $\ell$ . We can assume without loss of generality that none of these lines is vertical,  
D3:19 and at least half of the half-spaces lie below their bounding lines. These lines form a set  $L$   
D3:20 of at least  $\lceil g(n)/2 \rceil$  lines, and each pair of lines is separated by two points from the  $n$ -point  
D3:21 set  $P$ . Thus,  $h(n) \geq \lceil g(n)/2 \rceil$ .

D3:22 Before reducing Problem 2 to another problem, we need the following simple lemma.

D3:23 **Lemma 1.** Let  $\ell_1, \dots, \ell_n$  be  $n$  non-vertical lines arranged in increasing order of slopes. Let  
D3:24  $P$  be a set of points. Assume that for every  $1 \leq i < n$ ,  $P$  strongly separates  $\ell_i$  and  $\ell_{i+1}$ .  
D3:25 Then for every  $1 \leq i < j \leq n$ ,  $P$  strongly separates  $\ell_i$  and  $\ell_j$ .

D4:01 *Proof.* We prove the lemma by induction on  $j - i$ . For  $j = i + 1$  there is nothing to prove.  
D4:02 Assume  $j - i \geq 2$ . We first show the existence of a point  $x \in P$  that lies above  $\ell_i$  and below  
D4:03  $\ell_j$ . Let  $B$  denote the intersection point of  $\ell_i$  and  $\ell_j$ . Let  $r_i$  denote the ray whose apex is  
D4:04  $B$ , included in  $\ell_i$ , and points to the right. Similarly, let  $r_j$  denote the ray whose apex is  $B$ ,  
D4:05 included in  $\ell_j$ , and points to the right.

D4:06 Since the slope of  $\ell_{i+1}$  is between the slope of  $\ell_i$  and the slope of  $\ell_j$ ,  $\ell_{i+1}$  must intersect  
D4:07 either  $r_i$  or  $r_j$  (or both, in case it goes through  $B$ ).

D4:08 **Case 1.**  $\ell_{i+1}$  intersects  $r_i$ . Then there is a point  $x \in P$  that lies above  $\ell_i$  and below  $\ell_{i+1}$ .  
D4:09 This point  $x$  must also lie below  $\ell_j$ .

D4:10 **Case 2.**  $\ell_{i+1}$  intersects  $r_j$ . Then, by the induction hypothesis, there is a point  $x \in P$  that  
D4:11 lies above  $\ell_{i+1}$  and below  $\ell_j$ . This point  $x$  must also lie above  $\ell_i$ .

D4:12 The existence of a point  $y$  that lies above  $\ell_j$  and below  $\ell_i$  is symmetric. □

D4:13 By Lemma 1, Problem 2 is equivalent to following problem.

D4:14 **Problem 3.** What is the maximum cardinality  $h(n)$  of a collection of lines  $L = \{\ell_1, \dots, \ell_{h(n)}\}$   
D4:15 in the plane, indexed so that the slope of  $\ell_i$  is smaller than the slope of  $\ell_j$  whenever  $i < j$ ,  
D4:16 such that there exists a set  $P$  of  $n$  points that strongly separates  $\ell_i$  and  $\ell_{i+1}$ , for every  
D4:17  $1 \leq i < h(n)$ ?

D4:18 We will consider the dual problem of Problem 3:

D4:19 **Problem 4.** What is the maximum cardinality  $h(n)$  of a set of points  $P = \{p_1, \dots, p_{h(n)}\}$   
D4:20 in the plane, indexed so that the  $x$ -coordinate of  $p_i$  is smaller than the  $x$ -coordinate of  $p_j$ ,  
D4:21 whenever  $i < j$ , such that there exists a set  $L$  of  $n$  lines that strongly separates  $p_{i+1}$  and  $p_i$ ,  
D4:22 for every  $1 \leq i < h(n)$ ?

D4:23 We will relate Problem 4 to another well-known problem: the question of the longest  
D4:24 monotone path in an arrangement of lines.

D4:25 Consider an  $x$ -monotone path in a line arrangement in the plane. The *length* of such  
D4:26 a path is the number of different line segments that constitute the path, assuming that  
D4:27 consecutive line segments on the path belong to different lines in the arrangement. (In other  
D4:28 words, if the path passes through a vertex of the arrangement without making a turn, this  
does not count as a new edge.)

D4:29 **Problem 5.** What is the maximum possible length  $\lambda(n)$  of an  $x$ -monotone path in an  
D4:30 arrangement of  $n$  lines?

D4:31 A construction of [BRSSS04] gives a simple line arrangement in the plane which consists  
D4:32 of  $n$  lines and which contains an  $x$ -monotone path of length  $\Omega(n^{2 - \frac{d}{\sqrt{\log n}}})$  for some absolute  
D4:33 constant  $d > 0$ . No upper bound that is asymptotically better than the trivial bound of  
D4:34  $O(n^2)$  is known.

D4:35 Problem 5 is closely related to Problem 4, and hence also to the other problems:

D4:36 **Proposition 1.**

$$D4:37 \quad h(n) \geq \left\lceil \frac{\lambda(n) + 1}{2} \right\rceil, \tag{1}$$

$$D4:38 \quad \lambda(n) \geq h(n) - 2 \tag{2}$$

D5:01 *Proof.* We first prove  $h(n) \geq \lceil (\lambda(n) + 1)/2 \rceil$ . Let  $L$  be a simple arrangement of  $n$  lines that  
D5:02 admits an  $x$ -monotone path of length  $m = \lambda(n)$ . Denote by  $x_0, x_1, \dots, x_m$  the vertices of a  
D5:03 monotone path arranged in increasing order of  $x$ -coordinates. In this notation  $x_1, \dots, x_{m-1}$   
D5:04 are vertices of the line arrangement  $L$ , while  $x_0$  and  $x_m$  are chosen arbitrarily on the corre-  
D5:05 sponding two rays which constitute the first and last edges, respectively, of the path. For  
D5:06 each  $1 \leq i < m$  let  $s_i$  denote the line that contains the segment  $x_{i-1}x_i$ , and let  $r_i$  denote the  
D5:07 line through the segment  $x_i x_{i+1}$ .

D5:08 For  $1 \leq i < m$ , we say that the path bends downward at the vertex  $x_i$  if the slope of  $s_i$  is  
D5:09 greater than the slope of  $r_i$ , and it bends upward if the slope of  $s_i$  is smaller than the slope of  
D5:10  $r_i$ . Without loss of generality we may assume that at least half of the vertices  $x_1, \dots, x_{m-1}$   
D5:11 of the monotone path are downward bends.

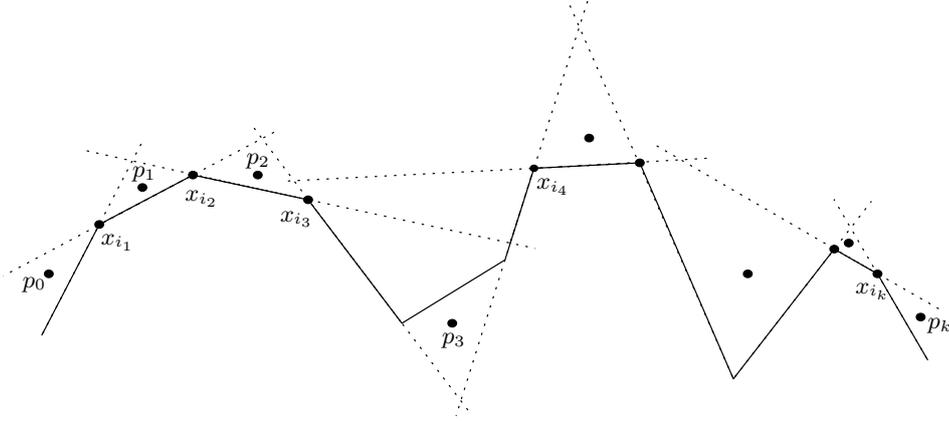


Figure 2: Constructing a solution for Problem 4.

D5:12 Let  $i_1 < i_2 < \dots < i_k$  be all indices such that  $x_{i_j}$  is a downward bend, where  $k \geq$   
D5:13  $(m - 1)/2$ . Observe that for every  $1 \leq j < k$ , the monotone path between  $x_{i_j}$  and  $x_{i_{j+1}}$  is  
D5:14 an upward-bending convex polygonal path.

D5:15 We will now define  $k + 1$  points  $p_0, p_1, \dots, p_k$  such that for every  $0 \leq j < k$  the  $x$ -  
D5:16 coordinate of  $p_j$  is smaller than the  $x$ -coordinate of  $p_{j+1}$ , and the line  $r_{i_j}$  lies above  $p_j$  and  
D5:17 below  $p_{j+1}$  while the line  $s_{i_j}$  lies below  $p_j$  and above  $p_{j+1}$ . This construction will thus show  
D5:18 that  $h(n) \geq \lceil \frac{\lambda(n)+1}{2} \rceil$ .

D5:19 For every  $1 \leq j \leq k$  let  $U_j$  and  $W_j$  denote the left and respectively the right wedges  
D5:20 delimited by  $r_{i_j}$  and  $s_{i_j}$ . That is,  $U_j$  is the set of all points that lie below  $r_{i_j}$  and above  $s_{i_j}$ .  
D5:21 Similarly,  $W_j$  is the set of all points that lie above  $r_{i_j}$  and below  $s_{i_j}$ .

D5:22 **Claim 1.** For every  $1 \leq j < k$ ,  $W_j$  and  $U_{j+1}$  have a nonempty intersection.

D5:23 *Proof.* We consider two possible cases:

D5:24 **Case 1.**  $i_{j+1} = i_j + 1$ . In this case  $r_{i_j} = s_{i_{j+1}}$ . Therefore any point above the line segment  
D5:25  $[x_{i_j} x_{i_{j+1}}]$  that is close enough to that segment lies both below  $s_{i_j}$  and below  $r_{i_{j+1}}$  and hence  
D5:26  $W_j \cap U_{j+1} \neq \emptyset$ .

D5:27 **Case 2.**  $i_{j+1} - i_j > 1$ . In this case, as we observed earlier, the monotone path between  $x_{i_j}$   
D5:28 and  $x_{i_{j+1}}$  is a convex polygonal path. Therefore,  $r_{i_j}$  and  $s_{i_{j+1}}$  are different lines that meet at

D6:01 a point  $B$  whose  $x$ -coordinate is between the  $x$ -coordinates of  $x_{i_j}$  and  $x_{i_{j+1}}$ . Any point that  
D6:02 lies vertically above  $B$  and close enough to  $B$  belongs to both  $W_j$  and  $U_{j+1}$ .  $\square$

D6:03 Now it is very easy to construct  $p_0, p_1, \dots, p_k$ , see Figure 2. Simply take  $p_0$  to be any  
D6:04 point in  $U_1$ , and for every  $1 \leq j < k$  let  $p_j$  be any point in  $W_j \cap U_{j+1}$ . Finally, let  $p_k$  be  
D6:05 any point in  $W_k$ . It follows from the definition of  $U_1, \dots, U_k$  and  $W_1, \dots, W_k$  that for every  
D6:06  $0 \leq j < k$ ,  $r_{i_{j+1}}$  lies above  $p_j$  and below  $p_{j+1}$  and the line  $s_{i_{j+1}}$  lies below  $p_j$  and above  $p_{j+1}$ .

D6:07 We now prove the opposite direction:  $\lambda(n) \geq h(n) - 2$ .

D6:08 Assume we are given  $h(n)$  points  $p_1, \dots, p_{h(n)}$  sorted by  $x$ -coordinate and a set of  $n$  lines  $L$   
D6:09 such that every pair  $p_i, p_{i+1}$  is strongly separated by  $L$ . By perturbing the lines if necessary,  
D6:10 we can assume that none of the lines goes through a point, and no three lines are concurrent.  
D6:11 For  $1 < i < h(n)$ , let  $f_i$  be the face of the arrangement that contains  $p_i$ , and let  $A_i$  and  $B_i$  be,  
D6:12 respectively, the left-most and right-most vertex in this face. (The faces  $f_i$  are bounded, and  
D6:13 therefore  $A_i$  and  $B_i$  are well-defined.) The monotone path will follow the upper boundary  
D6:14 of each face  $f_i$  from  $A_i$  to  $B_i$ .

D6:15 We have to show that we can connect  $B_i$  to  $A_{i+1}$  by a monotone path. This follows  
D6:16 from the separation property of  $L$ . Let  $s_i, r_i$  be a pair of lines that strongly separates  $p_i$  and  
D6:17  $p_{i+1}$  in such a way that  $r_i$  lies above  $p_i$  and below  $p_{i+1}$  and  $s_i$  lies below  $p_i$  and above  $p_{i+1}$ .  
D6:18 Since  $B_i$  lies on the boundary of the face  $f_i$  that contains  $p_i$ ,  $B_i$  lies also between  $r_i$  and  $s_i$ ,  
D6:19 including the possibility of lying on these lines. We can thus walk on the arrangement from  
D6:20  $B_i$  to the right until we hit  $r_i$  or  $s_i$ , and from there we proceed straight to the intersection  
D6:21 point  $Q_i$  of  $r_i$  and  $s_i$ . Similarly, there is a path in the arrangement from  $A_{i+1}$  to the left that  
D6:22 reaches  $Q_i$ . and these two paths together link  $B_i$  with  $A_{i+1}$ .

D6:23 To count the number of edges of this path, we claim that there must be at least one bend  
D6:24 between  $B_i$  and  $A_{i+1}$  (including the boundary points  $B_i$  and  $A_{i+1}$ ). If there is no bend at  
D6:25  $Q_i$ , the path must go straight through  $Q_i$ , say, on  $r_i$ . But then the path must leave  $r_i$  at  
D6:26 some point when going to the right: if the path has not left  $r_i$  by the time it reaches  $A_{i+1}$   
D6:27 and  $A_{i+1}$  lies on  $r_i$ , then the path must bend upward at this point, since it proceeds on the  
D6:28 upper boundary of the face  $f_{i+1}$  that lies above  $r_i$ .

D6:29 Thus, the path makes at least  $h(n) - 3$  bends (between  $B_i$  and  $A_{i+1}$ , for  $1 < i < h(n) - 1$ )  
D6:30 and contains at least  $h(n) - 2$  edges.  $\square$

D6:31 Now it is very easy to give a lower bound for  $g(n)$ , and prove Theorem 1. Indeed, this  
D6:32 follows because  $g(n) \geq h(n)$  and  $h(n) \geq \lceil \frac{\lambda(n)+1}{2} \rceil = \Omega(n^{2 - \frac{d}{\sqrt{\log n}}})$ ,

D6:33 The close relation between Problems 1 and 5 comes probably as no big surprise if one  
D6:34 considers the close connection between  $k$ -sets and *levels* in arrangements of lines (see [E87,  
D6:35 Section 3.2]). For a given set of  $n$  points  $P$ , the  $k$ -sets are in one-to-one correspondence with  
D6:36 the faces of the dual arrangements of lines which have  $k$  lines passing below them and  $n - k$   
D6:37 lines passing above them (or vice versa). The lower boundaries of these cells form the  $k$ -th  
D6:38 level in the arrangement, and the upper boundaries form the  $(k + 1)$ -st level.

D6:39 Our chain of equivalence from Problem 1 to Problem 5 extends this relation between  
D6:40  $k$ -sets and levels in a way that is not entirely trivial: for example, establishing that we get  
D6:41 sets that form an antichain requires some work, whereas for  $k$ -sets this property is fulfilled  
D6:42 automatically.

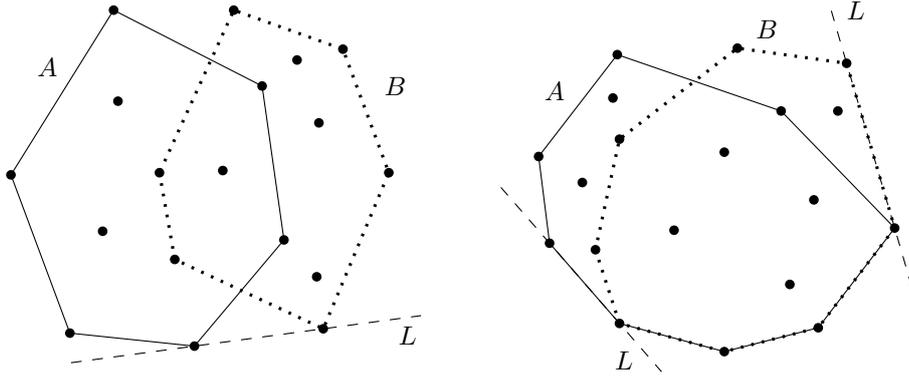


Figure 3: The two cases of common tangents in Lemma 2

D7:01

### 3 Proof of Theorem 2

D7:02

The heart of our argument uses a linear algebra approach first applied by Tverberg [T82] in his elegant proof for a theorem of Graham and Pollak [GP72] on decomposition of the complete graph into bipartite graphs.

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D7:05

Let  $F$  be a collection of convex pseudo-discs of a set  $P$  of  $n$  points in general position in the plane. We wish to bound from above the size of  $F$  assuming that no set in  $F$  contains another. For every directed line  $L = \overrightarrow{xy}$  passing through two points  $x$  and  $y$  in  $P$  we denote by  $L_x$  the collection of all sets  $A \in F$  that lie in the closed half-plane to the left of  $L$  such that  $L$  touches  $\text{conv}(A)$  at the point  $x$  only. Similarly, let  $L_y$  be the collection of all sets  $A \in F$  that lie in the closed half-plane to the left of  $L$  such that  $L$  touches  $\text{conv}(A)$  at the point  $y$  only. Finally, let  $L_{xy}$  be those sets  $A \in F$  that lie in the closed half-plane to the left of  $L$  such that  $L$  supports  $\text{conv}(A)$  at the edge  $xy$ .

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D7:11

D7:12

**Definition 3.** Let  $A$  and  $B$  be two sets in  $F$ . Let  $L$  be a directed line through two points  $x$  and  $y$  in  $P$ . We say that  $L$  is a common tangent of the *first kind* with respect the pair  $(A, B)$  if  $A \in L_x$  and  $B \in L_y$ .

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We say that  $L$  is a common tangent of the second kind with respect to  $(A, B)$  if  $A \in L_{xy}$  and  $B \in L_y$ , or if  $A \in L_x$  and  $B \in L_{xy}$ .

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The crucial observation about any two sets  $A$  and  $B$  in  $F$  is stated in the following lemma.

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**Lemma 2.** *Let  $A$  and  $B$  be two sets in  $F$ . Then exactly one of the following conditions is true.*

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1. *There is precisely one common tangent of the first kind with respect to  $(A, B)$  and no common tangent of the second kind with respect to  $(A, B)$ , or*

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2. *there is no common tangent of the first kind with respect to  $(A, B)$ , and there are precisely two common tangents of the second kind with respect  $(A, B)$ .*

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*Proof.* The idea is that because  $A$  and  $B$  are two pseudo-discs and none of  $\text{conv}(A)$  and  $\text{conv}(B)$  contains the other, then as we roll a tangent around  $C = \text{conv}(A \cup B)$ , there is

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precisely one transition between  $A$  and  $B$ , and this is where the situation described in the lemma occurs (see Figure 3).

Formally, by our assumption on  $F$ , none of  $A$  and  $B$  contains the other. Any directed line  $L$  that is a common tangent of the first or second kind with respect to  $A$  and  $B$  must be a line supporting  $\text{conv}(A \cup B)$  at an edge.

Let  $x_0, \dots, x_{k-1}$  denote the vertices of  $C = \text{conv}(A \cup B)$  arranged in counterclockwise order on the boundary of  $C$ . In what follows, arithmetic on indices is done modulo  $k$ .

There must be an index  $i$  such that  $x_i \in A \setminus B$ , for otherwise every  $x_i$  belongs to  $B$  and therefore  $\text{conv}(B) = \text{conv}(A \cup B) \supset \text{conv}(A)$  and therefore  $B \supset A$  (because both  $A$  and  $B$  are intersections of  $P$  with convex sets) in contrast to our assumption. Similarly, there must be an index  $i$  such that  $x_i \in B \setminus A$ .

Let  $I_A$  be the set of all indices  $i$  such that  $x_i \in A \setminus B$ , and let  $I_B$  be the set of all indices  $i$  such that  $x_i \in B \setminus A$ .

We claim that  $I_A$  (and similarly  $I_B$ ) is a set of consecutive indices. To see this, assume to the contrary that there are indices  $i, j, i', j'$  arranged in a cyclic order modulo  $k$  such that  $x_i, x_{i'} \in A \setminus B$  and  $x_j, x_{j'} \in B$ . Then it is easy to see that  $\text{conv}(A) \setminus \text{conv}(B)$  is not a connected set because  $x_i$  and  $x_{i'}$  are in different connected components of this set.

We have therefore two disjoint intervals  $I_A = \{i_A, i_A + 1, \dots, j_A\}$  and  $I_B = \{i_B, i_B + 1, \dots, j_B\}$ . It is possible that  $i_A = j_A$  or  $i_B = j_B$ .

Observe that  $x_{i_A}, x_{j_A}, x_{i_B}, x_{j_B}$  are arranged in this counterclockwise cyclic order on the boundary of  $C$ , and for every index  $i \notin I_A \cup I_B$ ,  $x_i \in A \cap B$ . The only candidates for common tangents of the first kind or of the second kind with respect to  $A$  and  $B$  are of the form  $\overrightarrow{x_i x_{i+1}}$ , that is, they must pass through two consecutive vertices of  $C$ .

We distinguish two possible cases:

1.  $i_B = j_A + 1$ . In this case the line through  $x_{j_A}$  and  $x_{i_B}$  is the only common tangent of the first kind with respect to  $(A, B)$  and there are no common tangents of the second kind with respect to  $(A, B)$ .
2.  $i_B \neq j_A + 1$ . In this case, there is no common tangent of the first kind with respect to  $(A, B)$ . The line through  $x_{i_B-1}$  and  $x_{i_B}$  and the line through  $x_{j_A}$  and  $x_{j_A+1}$  are the only common tangents of the second kind with respect to  $(A, B)$ .

This completes the proof of the lemma.  $\square$

Let  $A_1, \dots, A_m$  be all the sets in  $F$ , and for every  $1 \leq i \leq m$  let  $z_i$  be an indeterminate associated with  $A_i$ . For each directed line  $L = \overrightarrow{xy}$ , define the following polynomial  $P_L$ :

$$P_L(z_1, \dots, z_m) = \left( \sum_{A_i \in L_x} z_i \right) \left( \sum_{A_j \in L_y} z_j \right) + \frac{1}{2} \left( \sum_{A_i \in L_x} z_i \right) \left( \sum_{A_j \in L_{xy}} z_j \right) + \frac{1}{2} \left( \sum_{A_i \in L_y} z_i \right) \left( \sum_{A_j \in L_{xy}} z_j \right)$$

This polynomial contains a term  $z_u z_v$  whenever  $L$  is a tangent line for the pair  $(A_u, A_v)$  or for the pair  $(A_v, A_u)$  (of the first or of the second kind, and with coefficient 1 or  $\frac{1}{2}$ , accordingly). If we sum this equation over all directed lines  $L$ , it follows by Lemma 2 that every term  $z_u z_v$  with  $u \neq v$  appears with coefficient 2:

$$\sum_L P_L(z_1, \dots, z_m) = \sum_{u < v} 2z_u z_v = (z_1 + \dots + z_m)^2 - (z_1^2 + \dots + z_m^2) \quad (3)$$

D9:01 Consider the system of linear equations  $\sum_{A_i \in L_x} z_i = 0$  and  $\sum_{A_i \in L_y} z_i = 0$ , where  $L = \overrightarrow{xy}$   
D9:02 varies over all directed lines determined by  $P$ . Add to this system the equation  $z_1 + \dots + z_m =$   
D9:03  $0$ . There are  $4\binom{n}{2} + 1$  equations in this system and if  $m > 4\binom{n}{2} + 1$ , there must be a nontrivial  
D9:04 solution. However, it is easily seen that a nontrivial solution  $(z_1, \dots, z_m)$  will result in a  
D9:05 contradiction to (3). This is because the left-hand side of (3) vanishes, while the right-hand  
D9:06 side equals  $-(z_1^2 + \dots + z_m^2) \neq 0$ . We conclude that  $|F| = m \leq 4\binom{n}{2} + 1$ .  $\square$

D9:07 We now show by a simple construction that Theorem 2 is tight apart from the multi-  
D9:08 plicative constant factor of  $n^2$ . Fix three rays  $r_1, r_2$ , and  $r_3$  emanating from the origin such  
D9:09 that the angle between two rays is 120 degrees. For each  $i = 1, 2, 3$ , let  $p_1^i, \dots, p_n^i$  be  $n$  points  
D9:10 on  $r_i$ , indexed according to their increasing distance from the origin. Slightly perturb the  
D9:11 points to get a set  $P$  of  $3n$  points in general position in the plane. For every  $1 \leq j, k, l \leq n$   
D9:12 define

$$D9:13 F_{jkl} = \{p_1^1, \dots, p_j^1\} \cup \{p_1^2, \dots, p_k^2\} \cup \{p_1^3, \dots, p_l^3\}.$$

D9:14 It can easily be checked that the collection of all  $F_{jkl}$  such that  $1 \leq j, k, l \leq n$  and  $j + k + l =$   
D9:15  $n + 2$  is an anti-chain of convex pseudo-discs of  $P$ . This collection consists of  $\binom{n+1}{2}$  sets.

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